UNIVERSITY OF HOUSTON SYSTEM

BOARD OF REGENTS MEETING

Tuesday, February 16, 2010

8:30 a.m. – 1:00 p.m.

AGENDA

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS MEETING

DATE: **Tuesday, February 16, 2010**

TIME: **8:30 a.m.**

PLACE: **University of Houston**
Athletics/Alumni Center
Melcher Board Room 100B
3100 Cullen Boulevard
Houston, Texas 77204

Chair: **Welcome W. Wilson, Sr.**
Vice Chair: **Jim P. Wise**
Secretary: **Nelda Luce Blair**

I. Board of Regents Meeting

A. Call to Order

B. Open Forum

C. Presentation of Video – "Legacy of the Pride" – UH System BOR – 1

Action: Information

D. Approval of Minutes:
- Academic and Student Success Committee, November 4, 2009
- Endowment Management Committee, November 4, 2009
- Audit and Compliance Committee, November 5, 2009
- Finance and Administration Committee, November 5, 2009
- Board of Regents Meeting, November 11, 2009

E. Presentation of Resolutions of Appreciation – UH System BOR – 2

Action: Information

F. Resolution in Remembrance and Appreciation - Cynthia Woods Mitchell – UH System BOR – 3

Action: Approval

G. Resolution in Remembrance and Appreciation – Robert A. Mosbacher BOR – 4

Action: Approval

H. Approval of Honorary Degrees – University of Houston BOR – 5

Action: Approval

I. Update to the Board by UH Faculty Senate President, Dr. Mark Clarke, on the ongoing Faculty Senate initiatives and plans for the upcoming year – University of Houston BOR – 6

Action: Information

II. Audit and Compliance Committee

A. New Item(s)

1. Review and Approval of Audit Report and Financial Statements, University of Houston Charter School, FY 2009 – University of Houston AUDIT – 1

   Action: Approval

2. External Audit Reports – UH System AUDIT – 2
   - UH Athletics Department Independent Accountants' Report on the Application of Agreed-Upon Procedures for Year ended August 31, 2009 (excerpts)

   Action: Information

III. Committee Reports

The following items were considered and approved by the Academic and Student Success Committee, the Endowment Management Committee, and the Facilities, Construction and Master Planning Committee on Tuesday, February 9, 2010; the following items were considered and approved by the Finance and Administration Committee on Wednesday, February 10, 2010; and the following items were approved by the Endowment Management Committee on February 16, 2010:

Academic and Student Success Committee

A. Committee Report – February 9, 2010

1. Approval of Mission Statements – UH System A&SS – 1

2. Appointment of Dean, Gerald D. Hines College of Architecture – University of Houston A&SS – 2

3. Approval of Master of Arts Degree in Forensic Psychology at A&SS – 3

University of Houston Victoria – UH-Victoria

4. Approval of Preliminary Planning Authority for a Bachelor of Science Degree in Software Engineering and a Doctor of Education Degree in Curriculum and Instruction at University of Houston Clear Lake – UH-Clear Lake — A&SS – 4

B. Board Approval of Academic and Student Success Committee Report

C. New Item(s):

1. Report by Alice Valdez, University of Houston engineering technology major on the impact of her student experiences – University of Houston — A&SS – 5

Action: Information

Endowment Management Committee

A. **Committee Reports – February 9, 2010 and February 16, 2010:**

1. Approval to modify Board of Regents bylaws section 5 (Committees of the Board) – UH System — EM – 1

2. Approval to modify Board of Regents policies 55.01.1(D); 55.01.1(E); 55.01.1(F) – UH System — EM – 2

3. Approve the FY10 University Advancement Endowment Assessment Rate of 1.5% – UH System — EM – 3

4. Approval to modify the UH System Endowment Fund Statement of Investment Objectives and Policies – UH System — EM – 4

5. Approval to modify the UH System Investment Policy for Non-Endowed Funds – UH System — EM – 5

6. Approval is requested for delegation of authority to the Chancellor to negotiate and execute contracts associated with the hiring of one or more investment managers for the University of Houston System Endowment – UH System — EM – 6

B. Board Approval of Endowment Management Committee Report

Facilities, Construction and Master Planning Committee

A. Committee Report - February 9, 2010:

1. Approval is requested to name the new freshmen residence hall under construction as the University of Houston Cougar Village – University of Houston — FCMP – 1

2. Approval of UH Renovation of Science, Engineering, and Classroom Laboratory build-out Phase 4 – University of Houston — FCMP – 2

B. Board Approval of Facilities, Construction and Master Planning Committee Report

Finance and Administration Committee

A. Committee Report – February 10, 2010:

1. Approval is requested for the changes to the undergraduate tuition and fee schedule for the University of Houston System campuses – UH System — F&A – 1

2. Approval is requested for the changes to the graduate tuition and fee schedule for the University of Houston System campuses – UH System — F&A – 2

3. Approval of changes to the voluntary and optional fees and charges schedule for the University of Houston System campus services – UH System — F&A – 3

4. Approval is requested to write off Accounts and Notes Receivable for FY 2009 – UH System — F&A – 4

5. Approval is requested to write off obsolete equipment and library book inventories for FY 2009 – UH System — F&A – 5

6. Approval to renew investment resolutions for the University of Houston System – UH System — F&A – 6

7. Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts for insurance coverage terms and rates for Fiscal Year 2010 not to exceed $5 million in premiums – UH System — F&A – 7

8. Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $15 million for the build-out of the Science and Engineering Research Center (SERC) Phase 4 – University of Houston — F&A – 8

B. Board Approval of Finance and Administration Committee Report

C. New Item(s):

1. University Center (UC) Fee Increase for FY 2011 and FY 2013 University Center Addition and Transformation Project — F&A – 9

Action: Approval

IV. FY 2010 Sponsored Research Activity Quarterly Report, Division of Research – University of Houston — A&SS – 6

Action: Information

V. Chancellor's Report regarding System-wide Accomplishments

VI. Executive Session

A. Section 551.071 – Consultation with System Attorneys

B. Section 551.072 – Deliberations Concerning Purchase, Lease or Value of Real Property

C. Section 551.073 – Deliberations about Negotiated Contracts for Prospective Gifts or Donations

D. Section 551.074 – Personnel Matters, Including Appointment, Evaluation or Dismissal of Personnel

VII. Report and Action from Executive Session

VIII. Authority for the Chancellor or her designee to negotiate an agreement and/or lease of property in Northwest Houston – UH System — BOR – 7

Action: Approval

IX. Chair's Report

X. Adjourn

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

BOARD

AGENDA ITEM(S)

FOR

FEBRUARY 16, 2010

BOARD OF REGENTS MEETING

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:

ITEM: Presentation of video "Legacy of the Pride"

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The video "Legacy of the Pride," created by University Advancement cataloging the history of the University of Houston and recognizing some of its notable alumni, will be shown.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:

ACTION REQUESTED: Information

COMPONENT: University of Houston

Renu Khator — 2-11-10
PRESIDENT Renu Khator **DATE**

Michael Rierson — 2/11/10
VICE CHANCELLOR Michael Rierson **DATE**

Renu Khator — 2-11-10
CHANCELLOR Renu Khator **DATE**

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:

ITEM: Presentation of Resolutions of Appreciation

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Chairman Welcome W. Wilson will present a Resolution of Appreciation to former Regents Dr. Dennis D. Golden, O.D. Mr. Lynden B. Rose and Mr. Calvin W. Stephens for their devoted and distinguished service to the University of Houston System.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:
Resolution of Appreciation – Dr. Dennis D. Golden, O.D.
Resolution of Appreciation – Lynden B. Rose
Resolution of Appreciation – Calvin W. Stephens

ACTION REQUESTED: Information

COMPONENT: UH System

PRESIDENT	**DATE**
VICE CHANCELLOR	**DATE**
CHANCELLOR Renu Khator	**DATE** 2/11/10

PASSED

RESOLUTION IN APPRECIATION

DENNIS D. GOLDEN, O.D.

WHEREAS, Dennis D. Golden, O.D., was appointed to the University of Houston System Board of Regents on January 2, 2004 by Governor Rick Perry, serving with distinction until his term ended August 31, 2009; and,

WHEREAS, during his tenure Dennis D. Golden, O.D. diligently and wholeheartedly fulfilled a wide variety of board assignments, including:

- Member, Finance and Administration Committee, 2008 – 2009.
- Member, Academic and Student Affairs Committee, 2006 – 2007, 2007 – 2008; and Vice Chair, 2003 – 2004, 2004 – 2005, 2005 – 2006.
- Vice Chair, Audit Committee, 2006 – 2007, 2007 – 2008; and Chair, 2008 – 2009.
- Vice Chair, Medical Center Task Force, 2005 – 2006, and Chair, 2003 – 2004, 2004 – 2005.
- Chair, University Advancement Committee, 2005 – 2006, 2006 – 2007, 2007 – 2008.
- Member, Executive Committee, 2005-2006; and,

WHEREAS, Dennis D. Golden, O.D., further served the institution as Secretary of the Board of Regents, 2005 – 2006, distinguishing himself as a champion on behalf of accountability efforts at all levels of the organization; and,

WHEREAS, Dennis D. Golden, O.D., is a proud alumnus of the University of Houston, where he earned his bachelor of science degree, *cum laude*, and his doctor of optometry degree from the UH College of Optometry, who recognized him with its "Distinguished Alumnus Award"; and,

WHEREAS, during his student years Dennis D. Golden, O.D., served as the President of the Optometric Student Association and was the recipient of the Outstanding Fourth-Year Student Award; and,

WHEREAS, Dennis D. Golden, O.D. having applied the knowledge gained at the University of Houston into a successful professional career; and,

WHEREAS, Dennis D. Golden founded Golden Eye Associates in Carthage and was recognized as the state's "Optometrist of the Year" in 2003; and,

WHEREAS, Dennis D. Golden, O.D. has served his profession and his community as President, Texas Optometric Association, and Chairman of the Board of Trustees, Panola College, among others, and continues to bring honor to the University of Houston System, most recently with his appointment by Governor Rick Perry to the Texas Higher Education Coordinating Board,

NOW BE IT THEREFORE RESOLVED that the University of Houston System Board of Regents issues this resolution in appreciation of David L. Maggard for his service to the University of Houston, with our best wishes to him and his wife Carolyn and their family.

Dated this eleventh day of November, 2009.

Welcome W. Wilson, Sr.
Chairman, Board of Regents, University of Houston System

Renu Khator
Chancellor, University of Houston System

Jim P. Wise, Vice Chairman

Nelda Luce Blair, Secretary

Carroll Robertson Ray, Regent

Michele "Mica" Mosbacher, Regent

Jacob M. Monty, Regent

Nandita V. Berry, Regent

Tilman J. Fertitta, Regent

Jarvis V. Hollingsworth, Regent

Kristen Lindley, Regent

PASSED

RESOLUTION IN APPRECIATION

LYNDEN B. ROSE

WHEREAS, Lynden B. Rose was appointed to the University of Houston System Board of Regents on January 2, 2004 by Governor Rick Perry, serving with distinction until his term ended August 31, 2009; and,

WHEREAS, during his tenure Lynden B. Rose diligently and wholeheartedly fulfilled a wide variety of board assignments, including:

- Vice Chair, University Advancement Committee, 2005 – 2006.
- Vice Chair, Academic and Student Affairs Committee, 2006 – 2007, 2007 – 2008.
- Vice Chair, External Affairs Committee, 2006 – 2007, 2007 – 2008.
- Vice Chair, Administration and Finance Committee, 2003 – 2004; and Chair, 2007 – 2008.
- Chair, Facilities, Construction and Master Planning Committee, 2008 – 2009.
- Member, Executive Committee, 2008-2009; and,

WHEREAS, Lynden B. Rose further served the institution as Vice Chair, Board of Regents, 2008 – 2009, distinguishing himself as a champion on behalf of student access and success; and,

WHEREAS, Lynden B. Rose is a proud alumnus of the University of Houston, where he earned his bachelor of science degree in political science in 1983 and his doctor of jurisprudence degree from the UH Law Center in 1989; and,

WHEREAS, during his student years Lynden B. Rose was Co-Captain of the Cougar basketball team that reached the NCAA Final Four in New Orleans in 1982; and,

WHEREAS, Lynden B. Rose, having applied the knowledge gained at the University of Houston into a successful professional career; and,

WHEREAS, Lynden B. Rose founded Rose Sports Management, of which he is a former President; and,

WHEREAS, Lynden B. Rose has served his profession and his community as a member of the Governor's Council of Physical Education and the Harris County Sheriff's Department Civil Service Commission, among others,

NOW BE IT THEREFORE RESOLVED that the Board of Regents of the University of Houston System issues this resolution in appreciation of Lynden B. Rose for his devoted and distinguished service to the University of Houston System.

Dated this eleventh day of November, 2009.

Welcome W. Wilson, Sr.
Chairman, Board of Regents, University of Houston System

Renu Khator
Chancellor, University of Houston System

Jim P. Wise, Vice Chairman

Nelda Luce Blair, Secretary

Carroll Robertson Ray, Regent

Michele "Mica" Mosbacher, Regent

Jacob M. Monty, Regent

Nandita V. Berry, Regent

Tilman J. Fertilla, Regent

Jarvis V. Hollingsworth, Regent

Kristen Lindley, Regent

PASSED

RESOLUTION IN APPRECIATION

CALVIN W. STEPHENS

WHEREAS, Calvin W. Stephens was appointed to the University of Houston System Board of Regents on September 27, 2004 by Governor Rick Perry, serving with distinction until his term ended August 31, 2009; and,

WHEREAS, during his tenure Calvin W. Stephens diligently and wholeheartedly fulfilled a wide variety of board assignments, including:

- Vice Chair, Administration and Finance Committee, 2005 – 2006; Member, 2007 – 2008; and Chair, Finance and Administration Committee, 2008 – 2009.
- Chair, Audit Committee, 2005 – 2006, 2006 – 2007, 2007 – 2008.
- Vice Chair, Endowment Management Committee 2006 – 2007; and Member, 2007 – 2008, 2008 – 2009.
- Vice Chair, University Advancement Committee, 2007 – 2008.
- Member, Academic and Student Affairs, 2007 – 2008.
- Member, Executive Committee, 2006 – 2007, 2007 – 2008; and,

WHEREAS, Calvin W. Stephens further served the institution as Secretary, Board of Regents, 2006 – 2007, and Vice Chair, Board of Regents, 2007 – 2008, distinguishing himself as a champion on behalf of student access and success; and,

WHEREAS, Calvin W. Stephens is a proud alumnus of the University of Houston, where he earned his bachelor of science degree in marketing in 1972; and,

WHEREAS, Calvin W. Stephens, having applied the knowledge gained at the University of Houston into a successful professional career and rewarding personal life, including, Chairman and President of SSP Consulting, L. C.; Chairman and Executive Officer, National Corporate Network; Member, Advisory Board, University of North Texas College of Business Administration; Member, Associate Board, Southern Methodist University Cox School of Business; Member, Board of Directors, Cotton Bowl Athletic Association; Member, Board of Directors, YMCA of Metropolitan Dallas; former Chairman, Southern Dallas Development Corporation; Chairman, African American Leadership Council; Member, Chief Executives Round Table; and Chairman, Board of Trustees, Pilgrim Rest Baptist Church of Dallas, where he also serves as an ordained deacon; and,

WHEREAS, Calvin W. Stephens was instrumental in creating the African American Initiative for Scholarships, a program that has reenergized donors in the African American community and throughout Houston in support of scholarships for deserving UH students,

NOW BE IT THEREFORE RESOLVED that the Board of Regents of the University of Houston System issues this resolution in appreciation of Calvin W. Stephens for his devoted and distinguished service to the University of Houston System.

Dated this eleventh day of November, 2009.

Welcome W. Wilson, Sr.
Chairman, Board of Regents, University of Houston System

Renu Khator
Chancellor, University of Houston System

Jim P. Wise, Vice Chairman

Nelda Luce Blair, Secretary

Carroll Robertson Ray, Regent

Michele "Mica" Mosbacher, Regent

Jacob M. Monty, Regent

Nandita V. Berry, Regent

Tilman J. Fertitta, Regent

Jarvis V. Hollingsworth, Regent

Kristen Lindley, Regent

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:

ITEM: Resolution in Remembrance and Appreciation – Cynthia Woods Mitchell

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Resolution in Remembrance and Appreciation - Cynthia Woods Mitchell

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Resolution in Remembrance and Appreciation – Cynthia Woods Mitchell

ACTION REQUESTED: Approval

COMPONENT: UH System

PRESIDENT	**DATE**
VICE CHANCELLOR	**DATE**
CHANCELLOR Renu Khator	**DATE** 2/11/10

RESOLUTION IN REMEMBRANCE AND APPRECIATION
CYNTHIA WOODS MITCHELL

WHEREAS, in 1939, Cynthia Woods and her family moved from New York City to Houston, where she enrolled in the University of Houston to study literature, art and psychology; and,

WHEREAS, Cynthia Woods marriage to George Mitchell became the foundation of a life-long partnership in support of the arts, historical renovation, and public service, highlighted by their initiative to breathe new life into Galveston, George's hometown, by restoring several buildings in the city's historic downtown, as well as reviving the tradition of the Galveston Mardi Gras celebration; and,

WHEREAS, Cynthia Woods Mitchell's interest in historic preservation manifested itself on the national level in the 1990s when she was appointed to the National Trust for Historic Preservation; and,

WHEREAS, Cynthia Woods Mitchell lived a productive and creative life with extraordinary commitment to the University of Houston – her alma mater – and our community, inspiring collaboration that enriches our lives and sustains our souls, and leaving a legacy that will inspire creativity for future generations; and,

WHEREAS, the Cynthia Woods Mitchell Center for the Arts was created to honor her many philanthropic acts benefiting the University of Houston and to be a center for artistic collaboration among the visual, literary, and performing arts on campus; and,

WHEREAS, Cynthia Woods Mitchell was a benefactor of innumerable organizations locally and nationally, highlighted by her many contributions to the University of Houston, including the Gerald D. Hines College of Architecture, the School of Theater and Dance, and the Texas Music Festival at the Moores School of Music, where some of the best music students from across the country train and compete in the Cynthia Woods Mitchell Young Artists Competition, among others,

NOW BE IT THEREFORE RESOLVED that the Board of Regents of the University of Houston System issues this resolution as an expression of our deepest and most heartfelt condolences to Mr. George Mitchell and the Mitchell family with sadness for our shared loss, but in joyful remembrance of the life of an exceptional, extraordinary, and unique lady.

Dated this 16th day of February, 2010.

Welcome W. Wilson, Sr.
Chairman, Board of Regents, University of Houston System

Renu Khator
Chancellor, University of Houston System

Jim P. Wise, Vice Chairman

Nelda Luce Blair, Secretary

Carroll Robertson Ray, Regent

Mica Mosbacher, Regent

Jacob M. Monty, Regent

Nandita V. Berry, Regent

Tilman J. Fertitta, Regent

Jarvis V. Hollingsworth, Regent

Kristen Lindley, Regent

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:

ITEM: Resolution in Remembrance and Appreciation – Robert A. Mosbacher

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

A Resolution in Remembrance and Appreciation - Robert A. Mosbacher

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Resolution in Remembrance and Appreciation – Robert A. Mosbacher

ACTION REQUESTED: Approval

COMPONENT: UH System

PRESIDENT	**DATE**
VICE CHANCELLOR	**DATE**
CHANCELLOR Renu Khator	**DATE** 2/11/10

RESOLUTION IN REMEMBRANCE AND APPRECIATION

ROBERT A. MOSBACHER

WHEREAS, Robert A. Mosbacher, after earning his degree from Washington and Lee University, moved to Texas, a state that would become his home and the place where he established himself as a leader in the energy business and a leading light of the Republican Party; and,

WHEREAS, Robert A. Mosbacher served as U.S. Secretary of Commerce from 1989 to 1992, where he distinguished himself laying the groundwork for the North American Free Trade Agreement; and,

WHEREAS, Robert A. Mosbacher engaged in numerous philanthropic causes throughout his life, including the M.D. Anderson Cancer Center, the Naval Academy Endowment, the American Hospital in Paris Foundation, and the Odyssey Academy Charter School in Galveston, service that elicited the comment from President George H.W. Bush that "Bob was a true Point of Light, someone who generously helped so many different causes, but never seeking the limelight for it;" and,

WHEREAS, in 2000, Robert A. Mosbacher and Michele "Mica" McCutchen were married, forming a personal and professional partnership that guided their joint philanthropic and public service endeavors; and,

WHEREAS, Robert A. Mosbacher's support and encouragement inspired Mica Mosbacher in her service as a member of the University of Houston System Board of Regents,

NOW BE IT THEREFORE RESOLVED that the Board of Regents of the University of Houston System issues this resolution as an expression of our deepest and most heartfelt condolences to Mica Mosbacher and the Mosbacher family with sadness for our shared loss, but in joyful remembrance of the life of an exceptionally accomplished business man, philanthropist, political figure, and public servant.

Dated this 16th day of February, 2010.

Welcome W. Wilson, Sr.
Chairman, Board of Regents, University of Houston System

Renu Khator
Chancellor, University of Houston System

Jim P. Wise, Vice Chairman

Nelda Luce Blair, Secretary

Carroll Robertson Ray, Regent

Mica Mosbacher, Regent

Jacob M. Monty, Regent

Nandita V. Berry, Regent

Tilman J. Fertitta, Regent

Jarvis V. Hollingsworth, Regent

Kristen Lindley, Regent

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:

ITEM: Approval of Honorary Degrees

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The University of Houston seeks approval to bestow honorary Doctor of Humane Letters on Lyle Lovett, John Hofmeister, and Walter Kase in recognition of their significant contributions to the community at large and to the University of Houston.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Brief biographies of Lyle Lovett, John Hofmeister, and Walter Kase

ACTION REQUESTED: Approval

COMPONENT: University of Houston

Renu Khator — 2/11/10
PRESIDENT Renu Khator **DATE**

2/11/10
VICE CHANCELLOR John Antel **DATE**

Renu Khator — 2/11/10
CHANCELLOR Renu Khator **DATE**

Walter Kase
Nomination for University of Houston Honorary Degree

Walter Kase is a Holocaust survivor who spends much of his time speaking to high school and college students, businesses and organizations about the harrowing experiences he suffered under Nazi tyranny. Born in Poland in 1930, Walter Kase and his family were swept up in the events of the Holocaust in 1939 when they were given 15 minutes to leave their home and move into the Lodz ghetto. During the war he endured forced labor camps and five concentration camps including Auschwitz, Mauthausen and Bergen-Belsen. At the end of the war, Mr. Kase, then 15 years old, weighed 64 pounds, and had pyorrhea and tuberculosis. Mr. Kase shares, "I have seen my little sister shot before my eyes....I live the Holocaust just about every day of my life because I speak about it in schools."

Walter Kase is a true hero, one who serves as a role model for what is good about all people. He certainly has every reason to be angry and filled with hate towards others. Yet, he transformed the most horrific experience known to the world into a powerful, personal story that impacts the lives of those with whom he meets. He does this without asking for anything in return other then to treat people with respect and dignity. In a very quiet way Mr. Kase challenges each of us when he says, "I am a walking American dream, and I love, cherish and appreciate the U.S.A. All of us are witnesses to the most tragic chapter in human history, and we must never, never allow a Holocaust again."

Mr. Kase has spoken to tens of thousands of people over the years sharing his personal experiences in the Holocaust and his hopes for our world. Time and again, Walter Kase has met with University of Houston students, faculty, and staff. He has been an honored guest in many colleges including the Honors College, the College of Liberal Arts and Social Sciences, and the Graduate College of Social Work.

Mr. Kase has been recognized by others including in January 2006, when *H Texas* identified him as one of Houston's twenty-five most significant "Leaders and Legends". The Anti Defamation League established *The Walter Kase Teacher Excellence Award* to honor teachers each year "who help create an ethic and atmosphere inside their schools that rejects prejudice, questions stereotype, and promotes diversity and confronts hate in all of its forms."

The call for nominations for the honorary degree notes that individual is one of distinction who has "made exceptional contributions to the University of Houston." Walter Kase is one person who has impacted the lives of many Cougars and his story will never be forgotten by those fortunate enough to who have been in his seminars.

John Hofmeister
Nomination for University of Houston Honorary Degree

John Hofmeister spent over 25 years in key leadership positions with General Electric, Nortel and Allied Signal (now Honeywell International). In 2007, Mr. Hofmeister served as Chairman of the Greater Houston Partnership.

As president of Shell Oil Company, Mr. Hofmeister led an unprecedented outreach campaign to discuss critical global energy challenges. His 18 month campaign took him to 50 cities across the country where he and his team met with over 15,000 business, community and civic leaders, policymakers, and academics to discuss what measures were required to ensure affordable, available energy for the future.

Upon his retirement from Shell Oil Company in 2008, John Hofmeister founded and currently heads the not-for-profit organization, Citizens for Affordable Energy. This national organization is devoted to public policy education to promote sound U.S. energy solutions, including the development of a broad range of affordable energy technologies, efficiency improvements, and sustainable environmental policy.

He currently serves as the chairman of the National Urban League and is a member of the U.S. Department of Energy's Hydrogen and Fuel Cell Technical Advisory Committee. He is also on the boards of the Foreign Policy Association, the United States Energy Association and the National Association of Manufacturers. He is a Fellow of the National Academy of Human Resources and a member of the American Petroleum Institute's Executive Committee and Policy Committee.

On the local level, Mr. Hofmeister has been extremely active promoting his vision for energy security as Chair of the Greater Houston Partnership's Energy Collaborative (EC). His leadership of the EC has helped it emerge as a major resource for maintaining Houston's leadership as the global energy capital. In addition, he serves as the chair of the University of Houston's Presidential Advisory Board on Energy. In that capacity, he provides leadership and keen insight into opportunities where UH might take a more prominent role in developing energy resources, creating the next generation of energy workforce, and promoting key research initiatives. He has also emerged as a public, vocal supporter of the University of Houston's efforts to attain Tier 1 status and lobbied organizations at both the local and state level on UH's behalf.

Lyle Lovett
Nomination for University of Houston Honorary Degree

Mr. Lovett has distinguished himself in the arts— he has won multiple Grammy awards, and a past feature article in the New Yorker magazine (http://www.newyorker.com/archive/2004/03/01/040301fa_fact1) focused the national and international attention given to him and to his career.

Mr. Lovett has also distinguished himself in public service and philanthropy, giving his time and resources to countless causes in the city of Houston and beyond. In particular, Mr. Lovett has contributed significantly to the University of Houston and the Gerald D. Hines College of Architecture by providing three pro-bono appearances at Blue Print Balls fundraising events in support of the UH Hines College of Architecture. Mr. Lovett's performances have resulted in the UH Architecture Alumni Association doubling its net proceed record from its most successful previous galas.

Mr. Lovett has demonstrated that he is acutely aware of architecture, design, and the power of the environment to shape our lives. He has utilized his personal resources to preserve 150 acres of Texas rangeland that were originally settled in Kline, Texas, by his ancestors. While increasing development has surrounded this property, Mr. Lovett has been tenacious in keeping intact (and living in) the small Texas farmhouse built by his grandparents, along with its surroundings. Mr. Lovett has meticulously preserved the house and out- buildings of the family farm in an effort to resist the haphazard destruction of artifacts from our Texas heritage that he values so highly. The surrounding community is the beneficiary of Mr. Lovett's love for and dedication to his Texas roots.

Mr. Lovett also owns and sensitively maintains another small house in rural Texas that has received numerous design awards and has been internationally published because of its response to Texas traditions, climate and landscape. He has studied and worked to become an accomplished horseman because he wants to preserve skills and traditions that have shaped the heritage of our region.

Mr. Lovett is much more than a successful songwriter, actor, and recording star. He is an exemplary citizen and a dedicated patron of historic preservation who actively invests his time and resources to support these interests. He has at least four Gold-certified albums and has won four Grammy Awards, including Best Country Album, Best Country Duo/Group with vocal, Best Pop Vocal Collaboration, and Best Country Male vocal. He is also known for his acting including roles in movies directed by Robert Altman. Mr. Lovett has contributed unselfishly in support of social causes in his community — including the University of Houston.

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:

ITEM: Update to the Board by UH Faculty Senate President, Dr. Mark Clarke, on the ongoing Faculty Senate initiatives and plans for the upcoming year

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Dr. Mark Clarke, University of Houston Faculty Senate President, will address the Board concerning ongoing Faculty Senate initiatives and their plans for the upcoming fiscal year.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:

ACTION REQUESTED: Information

COMPONENT: University of Houston

Renu Khator — 2/11/10
PRESIDENT Renu Khator **DATE**

VICE CHANCELLOR **DATE**

Renu Khator — 2/11/10
CHANCELLOR Renu Khator **DATE**

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:

ITEM: Authority for the Chancellor or her designee to negotiate an agreement and/or lease of property in Northwest Houston.

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Approval for the authority for the Chancellor or her designee to negotiate an agreement and/or lease of property in Northwest Houston.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
VICE CHANCELLOR	Carl Carlucci	2/12/2010 **DATE**
CHANCELLOR	Renu Khator	2/12/10 **DATE**

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

AUDIT AND COMPLIANCE

AGENDA ITEM(S)

FOR

FEBRUARY 16, 2010

BOARD OF REGENTS MEETING

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Audit & Compliance

ITEM: Review and Approval of Audit Report and Financial Statements, University of Houston Charter School, FY 2009

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Approval is requested for the Audit Report and Financial Statements of the University of Houston Charter School for Fiscal Year 2008. Certification of the audit and financial information by the Board is required by the Texas Education Code, Title 2, Chapter 44, Section 44.008(d).

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Audit Report and Financial Statements of the UH Charter School for FY 2009 and Texas Education Agency required Certification Form (under separate cover)

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

Don Guyton 1/22/10

CHIEF AUDIT EXECUTIVE Don F. Guyton **DATE**

Renu Khator 2/2/10

CHANCELLOR Renu Khator **DATE**

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Audit & Compliance

ITEM: External Audit Report

- UH Athletics Department Independent Accountants' Report on the Application of Agreed-Upon Procedures for Year ended August 31, 2009 (excerpts)

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The Audit & Compliance Committee Charter and Checklist, item number 13, requires the Committee to review any significant findings and recommendations of the State Auditor and any employed public accounting firm.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: External Audit Report (under separate cover)

ACTION REQUESTED: Information

COMPONENT: University of Houston System

Don Guyton 1/22/10

CHIEF AUDIT EXECUTIVE Don F. Guyton **DATE**

Renu Khator 2/2/10

CHANCELLOR Renu Khator **DATE**

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

ACADEMIC AND STUDENT SUCCESS

AGENDA ITEM(S)

FOR

FEBRUARY 16, 2010

BOARD OF REGENTS MEETING

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: Approval of Mission Statements

DATE PREVIOUSLY SUBMITTED:

SUMMARY

Last fall, the University of Houston System universities began the process of revising their mission statements so that they better reflect their distinctiveness within the UH System. Board of Regents approval is sought for the new mission statements for each university. Once approved, the universities' mission statements will be reviewed by the Coordinating Board in April 2010. The System mission statement is presented for Board approval but does not require Coordinating Board approval.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Proposed Mission Statements
UH System Goals and Principles
UH System Progress Cards

ACTION REQUESTED: Approval

COMPONENT: UH System

PRESIDENT		**DATE**
Renu Khator		2/2/10
SENIOR VICE CHANCELLOR	John Antel	**DATE**
Renu Khator		2-2-10
CHANCELLOR	Renu Khator	**DATE**

University of Houston System

Proposed New Mission Statements

Overview of the Process

Over the past two years, the UH System has made considerable progress in refining its strategic direction. We've established new goals, principles and progress cards for our universities (attached), and we've engaged in realistic and valuable discussions about the distinctiveness of our institutions in terms of their students, faculty, programs and aspirations. Recognizing these distinctions is essential if we are to fully serve the needs of the Houston area, avoid unnecessary duplication of effort, and work more cooperatively and efficiently with one another.

As a next step in this process, the UH System universities were asked last fall to revise their mission statements. Too often mission statements speak in common higher education generalities that provide little specific insight into the identity of the university. For many institutions this might not pose a problem. But for a system like ours, where the universities are located close together, it is important that our mission statements clearly distinguish the universities, both for our own benefit as well as that of our constituents (e.g., students, the business community, legislators). Specifically, the universities were asked to revise their mission statements so that they reflect their distinctiveness within the UH System, especially in three areas – undergraduate education, graduate and professional education, and research and scholarship.

Below are the results of this process: new mission statements for each of the universities (developed collaboratively through the shared governance process) plus a mission statement for the UH System as a whole. Once Board of Regents approval is secured, the mission statements will be taken to the Texas Higher Education Coordinating Board in April for approval.

University of Houston

The mission of the University of Houston is to offer nationally competitive and internationally recognized opportunities for learning, discovery and engagement to a diverse population of students in a real-world setting. The University of Houston offers a full range of degree programs at the baccalaureate, master's, doctoral and professional levels and pursues a broad agenda of research and creative activities. As a knowledge resource to the public, the university builds partnerships with other educational institutions, community organizations, government agencies, and the private sector to serve the region and impact the world.

UH-Clear Lake

The University of Houston-Clear Lake is a student-centered, community-minded, partnership-oriented university that offers bachelors, masters and selected doctoral programs to enhance the educational, economic and cultural environment of the Houston-Galveston metropolitan region. UH-Clear Lake serves a diverse student body with special emphasis on undergraduate transfer, graduate and international students. The university offers the highest quality instruction and nationally accredited academic programs designed to develop the critical thinking, creative, quantitative, leadership and communication skills of students. The university

conducts applied and basic research and engages in community and professional service that support both the economic development and the quality of life of the area. The university is committed to community engagement through partnerships with educational institutions, businesses, government agencies and nonprofit organizations.

UH-Downtown

The University of Houston-Downtown is a comprehensive four-year university offering bachelor's and selected master's degree programs and providing strong academic and career preparation as well as life-long learning opportunities. Located in the heart of the city, the University reflects the diversity of the Greater Houston Metropolitan Area and, through its academic programs, engages with the community to address the needs and advance the development of the region. UHD is an inclusive community dedicated to integrating teaching, service, and scholarly research to develop students' talents and prepare them for success in a dynamic global society.

UH-Victoria

The University of Houston-Victoria (UHV) is a dynamic destination university in the Coastal Bend Region of Texas. UHV serves the educational needs, promotes the economic well-being, and advances the quality of life for the university and community through teaching, research, and service excellence.

As a separately accredited university in the University of Houston System, UHV is dedicated to providing students with educational and leadership opportunities that empower them to be successful 21st century professionals and citizens in the global economy. UHV offers undergraduate and graduate degrees in four schools: Arts and Sciences, Business Administration, Education and Human Development, and Nursing. Fully-online programs complement face-to-face programs and allow convenient access for UHV's traditional and non-traditional students. UHV also serves transfer students through articulation agreements with community colleges.

Civic engagement and service learning provide students the opportunity to make meaningful connections between their classroom experiences and their lives in an ever-changing and increasingly complex world. UHV enriches the region by offering economic development, life-long learning, outreach and special events, athletics and cultural experiences for the community.

UH System

The University of Houston System provides, through the complementary missions of its four universities, access to comprehensive higher education programs and services to the diverse community of students in the Houston metropolitan area and beyond. The UH System's research and scholarship are globally significant, nationally competitive, and serve as an engine for economic development, while its public service activities enhance the quality of life in the region.

University of Houston System Goals

1. **National Competitiveness**
   - The City of Houston (and the Greater Houston Region) will be known for having one of the best and most diverse metropolitan systems of higher education in the nation.
   - Each university within the UH System will benchmark itself nationally for excellence within the context of its unique mission.

2. **Student Success**
   - The UH System will commit to providing access to the people of the Greater Houston Region and the state.
   - Each university within the UH System will make student success its top priority and will hold itself publicly accountable for achieving this goal.
   - The UH System will help students identify the UHS university that best serves their needs and academic goals, so that they are not lost to the UH System or to higher education. Furthermore, pathways will be developed for transfers between campuses and admissions to graduate programs.

3. **Community Advancement**
   - The UH System will be the engine of social and economic advancement of the metropolitan region and the state.
   - Each university within the UH System will engage with its community and will hold itself publicly accountable for contributing toward community advancement.

University of Houston System Principles

Principle 1: The whole of the UH System should be greater than the sum of its parts.

Principle 2: The UH System should provide access to the people of Houston throughout the Houston metropolitan region, making that access as convenient as is academically and financially possible.

Principle 3: The UH System's institutions should be the primary providers of educational access in the Houston metropolitan region. However, they should seek partnerships with other institutions when it benefits students and when it is naturally advantageous.

Principle 4: The UH System should establish some distinctive mission differentiation among its institutions, while still enabling them to serve the needs of their region.

Principle 5: The UH System management structure should be as simple as is possible and should ensure clarity of responsibility and accountability.

Principle 6: The UH System should be strategic about its enrollment growth and intentional about its tuition and other financial strategies.

Principle 7: The UH System should ensure a standard of excellence throughout its institutions, while recognizing differences in student populations and missions.

Principle 8: The UH System should have a clear nomenclature that will enhance international, national, state, and regional marketing and branding.

Principle 9: The UH System should maximize opportunities for both its faculty and students to benefit from being within a system.

University of Houston Progress Card

1. Nationally Competitive Research University	Source	Period	Jun-08	Jun-09
1.a. Total Research Expenditures	NSF	Fiscal year	$73,542,000	$84,490,000
1.b. Federal Research Expenditures	NSF	Fiscal year	$40,116,000	$43,162,000
1.c. Number of Graduate Programs ranked in Top 50	US News	Edition published	4 (2008)	5
1.d. Number of Citations	UH IR ISI	Rolling 5 year	NA	20,828
1.e. Number of Doctorates Awarded	IPEDS	Fiscal year	239	259
1.f. Number of Postdoctoral Appointees	NSF	Fall	158	173
2.A. UH System: Student Success				
2.A.a. Total Enrollment	IPEDS	Fall	34,663	36,104
2.A.b. Transfer Retention Rate (First Year)	UH IR	Fall	77%	81%
2.A.c. Transfer Graduation Rate (4 Year)	LBB	Fall, 4 years	58%	59%
2.A.d. Total Degrees Awarded	IPEDS	Fiscal year	6,961	7,016
2.A.e. Student Satisfaction (Exiting Seniors)	NSSE	Spring	77% (2001)	77% (2001)
2.B. UH: Student Success				
2.B.a. FTIC Retention Rate (First Year)	IPEDS	Fall	77%	79%
2.B.b. FTIC Graduation Rate (6 Year)	IPEDS	Fall, 6 years	43%	42%
2.B.c. Percentage of Graduate/Professional Students	IPEDS	Fall	20%	20%
2.B.d. Freshmen Acceptance Rate	IPEDS	Fall	77%	79%
2.B.e. Freshman Average SAT	IPEDS	Fall	1055	1061
2.B.f. Course Completion Rate	THECB	Fall	88.2%	94.4
2.B.g. Student Satisfaction (All Students)	UH SA	Fiscal year	NA	NA
3. Community Advancement				
3.a. Percent Baccalaureate Graduates Enrolled or Employed within One Year	THECB	Fiscal year	79%	79%
3.b. Number of Courses with Community Engagement Activities Offered Annually	UH IR	Fiscal year	537	560
3.c. Research Awards with Community Emphasis	UH Research	Fiscal year	121	211
4. Athletics Competitiveness				
4.a. University Athletics Overall Academic Progress Rate	NCAA	Fiscal year	944	947
4.b. Total Team Sports Wins	NCAA	Fiscal year	185	124
4.c. C-USA Championships (Individual/Team)	UH Athletics	Fiscal year	10. / 4	15/1
4.d. NCAA Post Season Qualifiers (Individual/Team)	UH Athletics	Fiscal year	36 / 5	15/4
4.e. Attendance (Average) Football / Men's Basketball	UH Athletics	Fiscal year	19,627 / 4,342	15,771 / 3,814
5. Local and National Recognition				
5.a. National and local media placements	Critical Mention	Fiscal year	6,000	32.6% increase
5.b. Web site visits in priority areas like UH Energy, UH Arts, etc.	Web Analytics	Fiscal year	NA	106.8 M views & 9.8 M visitors
5.c. Top of mind awareness, expressed as a %	Customer Value Systems	Fiscal year	NA	47%
5.d. Messages supporting top tier (cost per impression, reach and frequency)	Critical Mention	Fiscal year	25 M impressions @ $.012	27.3 M impressions @ $.01
6. Competitive Resources				
6.a. Total State Appropriations per FTE Student	THECB	Fiscal year	$6,695	$7,487
6.b. Total Expenditures per FTE Student	THECB	Fiscal year	$19,413	$25,905
6.c. Endowment	UH A&F	Fiscal year	$583,733,523	$586,121,085
6.d. Total Annual Giving / New Commitments	VSE/UHS	Fiscal year	$48,600,000 / $48,900,000	$61,394,967 / $82,300,000
6.e. Alumni Giving Rate	US News	Fiscal year	5.30%	10.1%

A&SS – 1.3.1

University of Houston - Clear Lake Progress Card

1. National Competitive University	Source	Period	Jun-08	Jun-09
1.a. Total Research Expenditures	NSF	Fiscal Year	$1,249,000	$1,023,000
1.b. Federal Research Expenditures	NSF	Fiscal Year	$159,000	$111,000
1.c. Total Sponsored Program Expenditures	UHCL	Fiscal Year	$2,518,000	$2,562,000
1.d. Number of Specialized Accredited Programs	UHCL	Fiscal Year	10	10
1.e. Master's degrees awarded compared to national peers (UHCL / peer group median)	IPEDS Data Feedback Report	Fiscal Year	997 / 457	1,014 / 424
2.A. UHCL: Student Success				
2.A.a. Total Enrollment	IPEDS	Fall	7,522	7,658
2.A.b. Transfer retention rate (first year)	LBB	Fiscal Year	82.5%	81.5%
2.A.c. Transfer graduation rate (4 years)	LBB	Fall	73.5%	73.6%
2.A.d.Total degrees awarded	IPEDS	Calendar Year	2,131	2,140
2.A.e. Student satisfaction index	NSSE	Spring	NA	82%
2.B. UHCL Student Success				
2.B.a. Hispanic Transfer retention rate (first year)	LBB	Fiscal Year	87%	87%
2.B.b.Hispanic Transfer graduation rate (4 years)	LBB	Fall	80%	81%
2.B.c. Degrees awarded: rank among all 12 Master's universities in Texas	THECB	Fiscal Year	ranked #1	ranked #1
2.B.d. Bachelor's degrees awarded compared to national peers (UHCL / peer group median)	IPEDS Data Feedback Report	Fiscal Year	1,154 / 946	1,117 / 1,039
2.B.e. Mean student rating on NSSE Item "If you could start over again, would you go to the same institution you are now attending?" Scale: 1=Definitely No, 2=Probably No, 3=Probably Yes, 4=Definitely Yes	NSSE	Spring	NA	85%
2.B.f. Percent of graduating students responded "yes" to "Would you recommend UHCL to friends and family?"	Graduating Students Survey	Fall	89% (ugrd), 92% (grad); overall (90%)	93% (ugrd), 90% (grad); overall (91%)
2.B.g. Certification Rate of Teacher Education Graduates	LBB	Fiscal Year	92.8%	93.1%
2.B.h. Course Completion Rates	LBB	Fiscal Year	93.5%	93.9%
3. Community Advancement				
3.a. Percent Baccaleuarate Graduates Enrolled or Employed within one year	THECB	Fiscal Year	84%	85%
3.b. Number of Courses with community engagement activity	CBM004	Fiscal Year	231	269
3.c. Number of community partnerships	UHCL	Fiscal Year	80	81
4. Competitive Resources				
4.a. Total state appropriations per student	THECB	Fiscal Year	$6,276	$6,852
4.b. Total expenditure per FTE	THECB	Fiscal Year	$13,717	$14,931
4.c. Endowment	NACUBO	Fiscal Year	$18,891,454	$28,655,217
4.d. Total annual giving/New Commitments	VSE/UHS	Fiscal Year	$614,000/$634,000	$636,641/$797,000

A&SS – 1.3.2

University of Houston-Downtown Progress Card

1. National Competitiveness	Source	Period	Jun-08	Jun-09
1.a. Total research expenditures	NSF	Fiscal year	$175,000 (FY 2007)	$292,000 (FY 2008)
1.b. Federal research expenditures	NSF	Fiscal year	$123,000 (FY 2007)	$179,000 (FY2008)
1.c. Federal Expenditures from Hispanic Serving Institution (HSI) Funds	UHD Sponsored Programs	Fiscal year	NA	$1,631,701 (FY 2008)
2.A. UH System: Student Success				
2.A.a Total enrollment	IPEDS	Fall	11,793	12,283
2.A.b. Transfer retention rate (first year)	UHD IR	Fall	75.56%	71.97%
2.A.c. Transfer graduation rate (4 year)	UHD IR	Fall, 4 yrs	64.10%	62.54%
2.A.d. Total degrees awarded	IPEDS	Jul - Jun	1,982	2,038
2.A.e. Student satisfaction (exiting seniors)	NSSE	Spring	84.44% (2005)	86.25% (2008)
2.B. Student Success				
2.B.a. FTIC retention rate (1 yr)	LBB	Fall	56.00%	57.64%
2.B.b. FTIC graduation rate (6 yr)	LBB	Fall, 6 yrs	15.42%	13.41%
2.B.c. FTIC graduation and persistence rate (6 yr)	THECB	Fiscal year	45.2% (FY 2006)	47.0% (FY 2007)
2.B.d. Number of degrees awarded in key fields	THECB	Fiscal year	104 (FY 2007)	95 (FY 2008)
2.B.e. Pass rate on certification exam - education	LBB	Fiscal year	93.75%	92.36%
2.B.f. Course completion rate	THECB	Fall	92.64%	91.79%
3. Community Advancement				
3.a. Percent baccalaureate graduates employed or enrolled within one year*	THECB	Fiscal year	82.5% (FY 2006)	82.6% (FY 2007)
3.b. Number of courses with community engagement activities offered annually	UHD IR	Summer - Spring	108**	111
3.c. Number of dual credit students	UHD IR	Fall	14	49
4. Access				
4.a. Percent of students receiving Pell grant	THECB	Fiscal year	36.5% (FY 2006)	35.7% (FY 2007)
4.b. Number of community college transfer students enrolled	LBB	Fall	4,479	4,630
4.c. Number of minority students enrolled	LBB	Fall	7,232	7,650
4.d. Affordability - ranking in state	THECB and UHD IR	Fiscal year	5 of 34	2 of 34
5. Competitive Resources/Operational Effectiveness				
5.a. State appropriations per student	THECB	Fiscal year	$3,817	$4,223
5.b. Total Expenditures per FTE Student	THECB	Fiscal year	$10,838	$10,916
5.c. Endowment	UH A&F	Fiscal year	$34,094,020	$41,630,329
5.d. Total annual giving/New Commitments	VSE/UHS	Fiscal year	$2,548,000/$3,090,000	$2,304,927/$1,915,000

*The methodology used by the THECB changed since the 2008 Progress Card. Data for prior years was recalculated using the new methodology. To give comparative information, FY 2006 with the new methodology is included for June 2009.

**3.b. has been corrected for 2007-2008, it was incorrectly reported on the December 2008 Progress Card as 118.

A&SS – 1.3.3

Last updated 5/19/09

University of Houston - Victoria Progress Card					
1. Nationally Competitive University		**SOURCE**	**PERIOD**	**Jun-08**	**Jun-09**
1.a.	Percentage of Graduates in Critical Fields (Nursing/Education/STEM/ACC)	UHVIR	Fiscal Year	54.3%	54.3%
1.b.	Number of Specialized Accredited Programs	UHVIR	Fiscal Year	1 of 3	1 of 3
2.A. UH System: Student Success					
2.A.a.	Total Enrollment	CB	Fall	2,784	3,174
2.A.b.	Transfer Retention Rate (first year)	LBB	Fall	79.6%	74.1%
2.A.c.	Transfer Graduation Rate (four year)	LBB	Fall	77.9%	64.6%
2.A.d.	Total Degrees Awarded	IPEDS	Fiscal Year	628	704
2.A.e.	Student Satisfaction (exiting seniors)	NSSE	Spring	NA	NA
2.B. UHV: Student Success					
2.B.a.	Percentage of Graduate and Professional Students	IPEDS	Fall	50.2%	50.6%
2.B.b.	Pass Rate on Certification Exams - Education	LBB	Fall	89.3%	93.3%
2.B.c.	Pass Rate on Certification Exams - Nursing	CB	Fall	NA	NA
2.B.d.	Underrepresented Group Graduation Rates	LBB	Fall	65.2%	62.5%
2.B.e.	Course Completion Rates	CB	Fall	91.8%	92.3%
3. Community Advancement					
3.a.	Percent Baccalaureate Graduate Enrolled or Employed within One Year	CB	Fiscal Year	89.0%	86.60%
3.b.	Number of courses with community engagement activities offered annually	UHVIR	Fiscal Year	94	168
3.c.	Small Business Development Center Contributions (Capital Raised)	SBA	Fiscal Year	$10,099,761	$6,270,000
4. Access and Outreach					
4.a.	Enrollment of Underrepresented Students	CB	Fall	899	1,071
4.b.	First Generation College Student Graduation Rates	LBB	Fiscal Year	35.2%	40.2%
4.c.	Online and Distance Education (courses offered/students enrolled)	UHVIR	Fall	171/4,205	206/4,983
4.d.	Affordability - Ranking in State	CB	Fall	6 of 34	6 of 34
5. Athletics Competitiveness					
5.a.	Total Team Sports Wins (Baseball/Softball)	NAIA	Fiscal Year	29/32	34/35
5.b.	Total Season Attendance	UHV-Athletics	Fiscal Year	12,000	10,700
5.c.	Post-season Qualifiers	NAIA	Fiscal Year	1 of 2	2 of 2
6. Competitive Resources					
6.a.	Total State Appropriations per FTE Student	CB	Fiscal Year	$7,939	$9,937
6.b.	Total Expenditures per FTE Student	CB	Fiscal Year	$16,444	$25,085
6.c.	Endowment	UH A&F	Fiscal Year	16,575,003	$17,629,368
6.d.	Total Annual Giving/New Commitments	VSE/UHS	Fiscal Year	$682,000/$655,000	$452,087/$836,000

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: Approval of Appointment of Dean, Gerald D. Hines College of Architecture, University of Houston

DATE PREVIOUSLY SUBMITTED:

SUMMARY

Approve and ratify the appointment of Patricia Oliver as Dean, Gerald D. Hines College of Architecture pursuant to Board Policy 57.10. The effective date of her appointment is January 20, 2010.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Biographical Sketch

ACTION REQUESTED: Approval

COMPONENT: University of Houston

Renu Khator
PRESIDENT Renu Khator **DATE** 2-2-10

Elaine Chaiken
SENIOR VICE CHANCELLOR John Antel **DATE** 2/2/10

Renu Khator
CHANCELLOR Renu Khator **DATE** 2-2-10

University of Houston System Board of Regents

Personnel Recommendations

Organization: University of Houston

Type of Appointment:	Appointment		
Name:	Patricia Oliver		
To Title:	Dean, College of Architecture	Rate:	$ 140,000 (12 Months)
Effective Date:	January 20, 2010		

Patricia Oliver served as Senior Vice President for Educational Planning and Architecture at the Art Center College of Design in Pasadena, California, from 2001 to 2008. Prior to that, she was Chair of the Department of Environmental Design there from 1992 to 2001. In addition, she served as Associate Dean and Acting Dean of the College of Environmental Design at California State Polytechnic University, Pomona, from 1987 to 1992.

From 1977 to 1986, she was affiliated with several different architectural firms, including Frank O. Gehry Associates, Charles Kober Associates, and Oliver Kurze Georges, and was principal of her own firm from 1986 to 2001.

Oliver chaired the 2009 American Institute of Architects (AIA) National Convention and was chair of the 2009 AIA National Education Committee. She also serves on the National Architectural Accrediting Board.

She received her Bachelor of Arts degree, with honors, from UCLA and her Master of Architecture I degree from the School of Architecture and Urban Planning at UCLA.

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: Approval of Master of Arts Degree in Forensic Psychology at University of Houston Victoria

DATE PREVIOUSLY SUBMITTED:

SUMMARY

University of Houston Victoria proposes the establishment of a Master of Arts degree in Forensic Psychology, to be administered by its School of Arts and Sciences. The degree focuses on the intersection of psychology and the legal system. Students will obtain the Licensed Professional Counselor (LPC) credential which permits them to engage in assessment and counseling in settings such as prisons, juvenile detention facilities, child protective agencies, and private forensic practice groups.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Program Description
Pro Forma

ACTION REQUESTED: Approval

COMPONENT: UH - Victoria

Tim Hudson by E. Hunt

PRESIDENT Tim Hudson **DATE** 2/2/10

Elaine Chaiken

SENIOR VICE CHANCELLOR John Antel **DATE** 2/2/10

Renu Khator

CHANCELLOR Renu Khator **DATE** 2-2-10

Master of Arts Degree in Forensic Psychology
University of Houston-Victoria

Congruence with System Goals and University Mission

The Master of Arts degree in Forensic Psychology addresses the system goals of **student success** and **community advancement** by providing educational opportunity for students in a field that contributes to the overall economic advancement of the region. It is consistent with UHV's mission to provide advanced credentials in areas that address local and regional needs and offer healthy employment prospects.

Program Description

The degree focuses on the intersection of psychology and the legal system. The program is a natural outgrowth of currently existing and well-established degree programs in both psychology and criminal justice at UHV. It includes 51 hours of coursework and 9 hours of external practicum in psychology. It will prepare students to obtain the Licensed Professional Counselor credential, which will allow them to engage in assessment and counseling in settings such as prisons, juvenile detention facilities, child protective agencies, and private forensic practice groups.

Student and Job Market Demand

Forensic psychology is a rapidly growing field. Masters level graduates work in a variety of legal settings and perform clinical activities such as leading therapy groups in correctional facilities, participating in conducting court-ordered assessments, custody evaluations, and jury consulting. It is estimated that up to one third of Texas inmates have mental health problems, and there is a tremendous shortage of mental health professionals trained to work with this population. At UHV, a number of students currently work in the criminal justice field as case managers, probation officers, or case workers, and they have expressed interest in pursuing an advanced degree in this area. Thirty students are anticipated by the fifth year.

Program Duplication

Nationwide there are only thirteen universities that offer a terminal masters degree in Forensic Psychology, none of which are in Texas. There is one specialized program, a Masters Degree in Juvenile Forensic Psychology, offered by Prairie View A&M University, but this would not be considered duplicative of the proposed UHV program.

Faculty Resources

The program would draw on faculty members in both criminal justice and psychology. A total of six psychology faculty members and three criminal justice faculty members would teach in this program.

New Master of Arts in Forensic Psychology - UHV
Pro Forma

Assumptions

Faculty/Staff			prorated
New Faculty	100%	60,000	60,000
Existing Faculty - Perz	25%	59,960	14,990
Existing Faculty - Harrington	10%	82,814	8,281
Existing Faculty - Loffredo	10%	63,997	6,400
Existing Faculty - Gordon	20%	60,000	12,000
Existing Faculty - Shelton-Quinn	10%	60,000	6,000
Existing Faculty - Akins	10%	60,000	6,000
Existing Faculty - Hawkins	10%	57,196	5,720
Annual rate of inflation	3%		

Enrollments	Year 1	Year 2	Year 3	Year 4	Year 5	Total
Headcount	15	18	21	26	30	
FTEs (@ 9 sch per semester)	10	12	14	17	20	
SCHs @ 9 Fall/ 9 Spring/ 6 summer	240	288	336	408	480	
Expenses						
Staffing Appointments						
New Faculty	60,000	60,000	60,000	60,000	60,000	
Existing Faculty - Perz	14,990	14,990	14,990	14,990	14,990	
Existing Faculty - Harrington	8,281	8,281	8,281	8,281	8,281	
Existing Faculty - Loffredo	6,400	6,400	6,400	6,400	6,400	
Existing Faculty - Gordon	12,000	12,000	12,000	12,000	12,000	
Existing Faculty - Shelton-Quinn	6,000	6,000	6,000	6,000	6,000	
Existing Faculty - Akins	6,000	6,000	6,000	6,000	6,000	
Existing Faculty - Hawkins	5,720	5,720	5,720	5,720	5,720	
	119,391	119,391	119,391	119,391	119,391	596,954
Annual Maintenance & Operations						
Facilities and Equipment	2,500			2,500		$5,000
Annual maintenance & operations						
Library, Supplies, and Materials						
Library - Journals and books	13,500	1,500	1,500	1,500	1,500	$19,500
Travel	1,160	1,160	1,160	1,160	1,160	$5,800
Office expenses	1,770	1,770	1,770	1,770	1,770	$8,850
Total Non-Personnel	18,930	4,430	4,430	6,930	4,430	$39,150
Total Annual Expense	$ 138,321	$ 123,821	$ 123,821	$ 126,321	$ 123,821	$ 636,104
Revenue						
University Tuition and Fees	60,000	74,160	69,216	84,048	98,880	386,304
Higher Education Assistance Funds (HEAF)	16,000	1,500	1,500	4,000	1,500	24,500
Formula Funding						
Generated	-	-	74,592	90,576	106,560	271,728
Allocated to university operations (40%)	-	-	(29,837)	(36,230)	(42,624)	(108,691)
Net additional formula funding	-	-	44,755	54,346	63,936	163,037
Total new revenue	$ 76,000	$ 75,660	$ 115,471	$ 142,394	$ 164,316	$ 573,841
Net Revenue	**$ (62,321)**	**$ (48,161)**	**$ (8,350)**	**$ 16,073**	**$ 40,495**	

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: Approval of Preliminary Planning Authority for a Bachelor of Science Degree in Software Engineering and a Doctor of Education Degree in Curriculum and Instruction at University of Houston – Clear Lake

DATE PREVIOUSLY SUBMITTED:

SUMMARY

The State requires Board of Regents' approval of preliminary planning curricular authority for engineering programs and doctoral programs. UH – Clear Lake requests approval for preliminary authority for a Bachelor of Science degree in Software Engineering and a Doctor of Education in Curriculum and Instruction.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:
- Executive Summary-Preliminary Authority Request, BS Degree in Software Engineering;
- UH-Clear Lake Preliminary Authority Request for a BS Degree in Software Engineering;
- Executive Summary-Doctor of Education Degree in Curriculum and Instruction; and
- UH-Clear lake Preliminary Authority Request for Ed.D. Program in Curriculum and Instruction.

ACTION REQUESTED: Approval

COMPONENT: UH – Clear Lake

William Staples by E. Hoyt — **PRESIDENT** — William Staples — **DATE** 2/2/10

Elaine Chaiton — **SENIOR VICE CHANCELLOR** — John Antel — **DATE** 2/2/10

Renu Khator — **CHANCELLOR** — Renu Khator — **DATE** 2-2-10

Executive Summary
Preliminary Authority Request

Bachelor of Science Degree in Software Engineering
University of Houston-Clear Lake

Congruence with System Goals and University Mission

The Bachelor of Science Degree in Software Engineering is responsive to the UH System's goals of **student success** and **community advancement** by providing a program that meets student needs for training in a high demand discipline, as well as contributing to the economic vitality of the aerospace and engineering sectors of the Clear Lake region. The proposed degree would be a core offering within UHCL's extensive array of degree programs in the area of Information Engineering, complementing existing programs in systems engineering, computer engineering, and engineering management.

Program Description

The Bachelor of Science Degree in Software Engineering will prepare students to use software engineering technologies and tools to analyze, design, verify, validate, implement, and maintain software systems. It draws upon course work in mathematics, science, computer science, and other supporting disciplines. The curriculum will include a range of design experiences and will culminate in a major team-oriented senior year project that approximates a professional software development work experience.

Student and Job Market Demand

According to the Bureau of Labor Statistics, "job prospects for software engineers are expected to be among the fastest growing occupations through the year 2016." Other sources such as Money Magazine and the website Salary.com corroborate this claim. Locally, companies such as Schlumberger, Halliburton, and Jacobs Engineering have contacted UHCL regarding their need to dramatically increase the hiring of software developers and engineers. Student demand can be inferred from the strong enrollment trends at UHCL in related undergraduate degree programs such as computer science, computer information systems, and computer systems engineering.

Program Duplication

There are relatively few undergraduate software engineering programs in the state, and for that matter, in the nation. Until recently, the programs have existed almost exclusively at the masters level, but due to enormous industry demand, undergraduate programs have begun to appear. In Texas, only UT Arlington, UT Dallas, and Texas

A&M University offer the BS in Software Engineering; the greater Houston region is unserved in the discipline.

Faculty Resources

UHCL currently offers the MS degree in Software Engineering, indicating a strong faculty is already in place in this discipline. Institutional strength in the related disciplines of computer engineering, systems engineering, and engineering management further enhances the instructional capability. Finally, the robust aerospace engineering environment reflected by NASA and the supporting contractor community provides an ample supply of potential adjunct faculty.

University of Houston-Clear Lake
Preliminary Authority Request for a BS Degree in Software Engineering

Software Engineering, BS degree CIP CODE 14.0903.00 06

1. A demonstrated need for a future program in terms of present and future needs of the state and nation.

   According to the Bureau of Labor Statistics' Occupational Outlook Handbook there is a demonstrated need for a future program in terms of present and future needs of the state and nation. "Job prospects for software engineers are expected to be among the fastest growing occupations through the year 2016. The projected increased in employment in this occupation over this period and beyond is expected to be one of the largest increases of any occupation". Certainly this alone is a well documented demonstrated need for trained software engineers. However many other such sources such as Money Magazine and websites such as Salary.com show that software engineering is now, and is predicted to remain, with the top or second to top slot for the most sought after job and one of the most highly paid. The need is clear.

2. Whether the proposed program would complement and strengthen existing programs at UHCL.

   The Engineering Division of UHCL currently offers a graduate degree in Software Engineering, Computer Engineering, Systems Engineering and Engineering Management. The undergraduate degree in software engineering would allow students to concentrate their study to field of software engineering and these students would be very well prepared for work or for continuing study within any of the aforementioned MS degrees. The BS in Software Engineering would complement well with our existing engineering degrees at the undergraduate level and would serve to strengthen the graduate degrees by providing a strong undergraduate basis particularly for the engineering management MS degree and the software engineering MS degree.

3. Whether a future program would duplicate other programs in the region, state or nation.

   There are only a few undergraduate software engineering B.S. programs in the state of Texas, and nationwide for that matter. Software Engineering has been offered almost exclusively as a MS degree for the last 15 years. In the last 6 years Computing Departments have begun to respond to the enormous industry demand for trained software engineers to hire upon completion of an undergraduate degree. In Texas, UT Arlington, UT Dallas, Texas A&M University, and UT Dallas are the only state universities offered a BS degree in Software Engineering. The Greater Houston Area is overdue for implementation of a BS degree in Software Engineering. We need to respond to the national and local need for software engineers and offer this degree at UHCL.

4. Whether a critical mass of students and faculty is likely to be available to allow the program to be offered at a high level of quality and to become self-sufficient on the basis of state funding. Provide evidence of student demand and adequate number of high-quality faculty would be available.

   The demand for this program is market driven. Money Magazine, Salary.com, and the Bureau of Labor Statistics all document the job market needs and the high salaries. Schlumberger, Halliburton, and Jacobs Engineering are three local Houston Companies that have contacted our departed about their extreme hiring needs (for software engineers) and how we can help them. Schlumberger's great need is well demonstrated by the creation of a special website at http://softwaresavestheworld.com that is used to recruit software engineers and to demonstrate the type of software jobs Schlumberger offers. Schlumberger has calculated that they are among the top 10 employers of software developers in the world. In order to meet this need we need to produce more students with this skill set. Houston is a large metropolitan area and the population here as well as students from throughout the state can supply enough students to support this program. Faculty would have to be hired from a National Search. We predict initially we would need one additional faculty member to support the new program along with the existing faculty that would participate.

5. PROGRAM OBJECTIVES

The BS in Software Engineering program, a proposed new BS program within the division of engineering at UHCL, will be formulated so that graduates will: (1) pursue the software engineering profession or advanced studies supported by their abilities to apply knowledge of mathematics, science, computer science and supporting disciplines, and software engineering; (2) advance in the software engineering profession supported by their abilities to effectively communicate and work in one or more significant application domains, function in multidisciplinary teams, analyze, design, verify, validate, implement, and maintain software systems using software engineering technologies and tools; and (3) demonstrate success and leadership while advancing the practice of software engineering by contributing to the growth of their employers, communities, and professional societies through life-long learning, understanding professional ethics and responsibilities, and the impact of engineering solutions in a global and societal context.

During the program of study, design experiences will be included throughout the curriculum and culminate in a major team-oriented project in the senior year that approximates a professional software development work experience. All programs strive to provide students with opportunities to interface with the profession through avenues such as cooperative education programs, professional society activities, plant trips, special projects, and industry speakers programs.

6. PROGRAM OUTCOMES

The program curriculum will be designed to meet the following Program Outcomes, to ensure that its graduates have:

- an ability to apply knowledge of mathematics, science, and engineering
- an ability to design and construct experiments, as well as to analyze and interpret data
- an ability to design a system, component, or process to meet desired needs
- an ability to function on multidisciplinary teams
- an ability to identify, formulate, and solve engineering problems
- an understanding of professional and ethical responsibility
- an ability to communicate effectively
- the broad education necessary to understand the impact of engineering solutions in a global and societal context
- a recognition of the need for, and the ability to engage in, lifelong learning
- a knowledge of contemporary issues
- an ability to use the techniques, skills, and modern engineering tools necessary for engineering practice

Executive Summary
Preliminary Authority Request

Doctor of Education Degree in Curriculum and Instruction
University of Houston-Clear Lake

Congruence with System Goals and University Mission

The Doctor of Education degree in Curriculum and Instruction addresses the system goal of **student success** by providing students with the opportunity to realize the highest level of academic aspirations and thus be qualified for leadership positions in the education sector of the region and the state. The system goal of **community advancement** is addressed by providing the region with an enhanced talent pool of highly trained individuals dedicated to improving the quality of the region's educational institutions and thereby, the life chances of its citizens. UH Clear Lake's mission states that the insitution "offers... selected doctoral programs to enhance the educational...environment of the Houston-Galveston metropolitan region.," this proposed program, which would be the institution's second doctoral program in education, is clearly supportuive of that mission.

Program Description

The Doctor of Education (Ed.D.) degree in Curriculum and Instruction has been designed with a focus on mathematics, science, and technology, three areas with among the most acute shortages in educational doctorates awarded. Building upon the currently existing and heavily subscribed Masters program in Curriculum and Instruction, the Ed.D. would provide studetns with more advanced training in the form of additonal coursework, research requirements, teaching, and practicum experiences. The program would prepare leaders in curriculum and instruction in both school and university settings.

Student and Job Market Demand

It is well-documented that the population of the Houston-Galveston area continues to increase at a rapid rate, with the production of educators failing to keep pace. While Houston has over 30% of the state's population, it has only 19% of the state's doctoral programs. Nationwide, the production of new doctorates in mathematics education and science education are among the lowest of all disciplines. The UHCL School of Education currently enrolls almost 600 students in the various masters programs related to curriculum and instruction, a very robust source of potential doctoral students. The program would fill the local void in doctoral level academic leadership preparation for communities in the UHCL service area.

Program Duplication

There are eight universities in Texas offering a doctoral program in Curriculum and Instruction, two of which are in the greater Houston area. Neither focus on mathematics, science, or technology, as the UHCL program would. Given the supply-demand circumstances in the

field of professional education, program duplication is not currently an issue, nor is it likely to be in the foreseeable futrue.

Faculty Resources

UHCL's current Education faculty, which now focuses on the delivery of the masters program, is qualified and prepared to offer the doctoral program. Over time, as the program grows, it is anticipated that four new faculy members would be added. In addition, given the nature of this proposed doctorate, faculty from UHCL's School of Science and Computer Engineering will also be connected to this program.

University of Houston-Clear Lake
Preliminary Authority Request for Ed.D. Program in Curriculum and Instruction

Program Description

We will be requesting a doctoral program in Curriculum and Instruction with a focus on mathematics, science, and technology. This program will complement and extend the current master's program in curriculum and instruction and the master's program in instructional technology by providing students with more advanced training in the form of additional coursework, research requirements, teaching, and practicum experiences. The intent is to prepare leaders in curriculum and instruction in both school and university settings.

Criteria

a) *Required for all requests (bachelor, master's, and doctoral programs):*

(1) A demonstrated need for a future program in terms of present and future vocational needs of the state and the nation. (Provide short- and long-term evidence of the need for graduates in the job market.)

While the need for the proposed program may be self-evident, given the *Closing the Gaps* document, the case for need is presented below. It is a coalescing of two irrefutable facts: We are faced with an ever increasing population to educate and not enough well-qualified people to do the job.

Two quick facts from the Texas State Data Center and the Office of the State Demographer:

* The Houston-Galveston area [will increase] between 841,000 and 1.3 million by 2010 and between 3.7 and 8.2 million by 2040, increasing its 2000 population of nearly 4.9 million to between 5.7 and 6.1 million by 2010 and to between 8.6 and 13.0 million by 2040.

* Houston-Sugar Land-Baytown with 4.7 million residents in 2000 is projected to have between 8.4 million and nearly 11.1 million by 2040.

And a summary statement from *Knocking at the College Door*, released this year by the Western Interstate Commission for Higher Education:

> Lastly, it is unclear whether several states – including Florida, North Carolina, Georgia, and Texas – will experience a peak at all; rather, they may undergo a consistent expansion in high school graduate numbers, with a single year or two during which the growth pattern is momentarily interrupted. In fact, these states, which are also the largest in the South, account for the vast bulk of the regional expansion described above.

Between 2004-05 and 2021-22, public graduates are projected to climb by over 47,000 in Florida (a 35.5 percent increase), almost 29,000 in Georgia (40.9 percent higher), over 23,000 in North Carolina (up 30.7 percent), and over 96,000 in Texas (a 40.1 percent rise).

The data simply bare out what is already well-known and well-documented – the population is increasing and increasing rapidly in Texas and, particularly, in the Greater Houston Metropolitan Region(GHMR). These data in combination with statements in the *Closing the Gaps* document, to be shown later, indicate the great need for well-qualified educators to not just "cope" with the increasing number of students, but to enhance the students' chances of succeeding in the college classroom and beyond.

The other half of the problem is that we simply are not producing enough well-qualified educators! Data from the National Science Foundation's Integrated Science and Engineering Resources Data System (WebCASPAR) support what is already well-known – we are not producing anywhere near enough doctorates in mathematics education or science education. In that data system there are 47 categories in which doctorates are offered. When considering the number of doctorates awarded in 2006 (the last complete data set), neither mathematics education nor science education rank above the 40th position. Even when added together the combination ranks only 35th. The number of mathematics doctorates awarded in 2006 was 13.5 times greater than the number of mathematics education doctorates awarded.

Short-term or long-term, positions in several different areas covered by curriculum and instruction are going unfilled. In the extreme case of mathematics education, almost half of the available university positions are not filled!

The situation is exacerbated by the fact that while Houston has over 30% of the State's population, it has only 19% of the State's doctoral programs. With respect to curriculum and instruction, there are only two such doctoral programs in the GHMR and they are side-by-side in downtown Houston, some 35 miles away from the UHCL campus.

(2) Whether the proposed addition would complement and strengthen existing programs at the institution.

The doctoral degree would complement and strengthen a number of existing programs at UHCL – including the master's in curriculum and instruction, the master's in instructional technology, the master's in early childhood education, and the master's in reading. All of these master's programs are nationally accredited by the National Council for the Accreditation of Teacher Education and their associated Specialized Professional Association. A doctoral degree in Curriculum and Instruction would, for instance, likely increase the enrollment in such programs and certainly provide an important stimulus to research and scholarship in these associated programs. For example, in mathematics education, one faculty member has held a series of grants to support the Mathematics Institute for the past 18 years. The involvement of doctoral students in the institute would greatly increase its productivity. A doctoral program will enhance the research, teaching,

and training offered through the Institute and significantly increase the services which the Institute, the programs, and the University can offer the surrounding community.

It should be noted that the proposed Ed.D. in Curriculum and Instruction and the existing Ed.D. in Educational Leadership would be mutually supportive. The selection of the Ed.D. in Curriculum and Instruction as the next doctoral program for which we are pursuing planning authority was a direct result of the specializations the students in the first four cohorts of the Ed.D. in Educational Leadership wanted to pursue. The demand for a curriculum and instruction specialization was so strong it was decided to pursue planning authority for a doctorate in curriculum and instruction. Clearly, one of the goals of every Ed.D. is to produce educational leaders in the specific focus area of the degree. The two programs will be mutually supportive and will generate some operational efficiencies.

(3) Whether a future program would unnecessarily duplicate other programs within the region, state, or nation. (Identify similar programs and explain how the future program would not unnecessarily duplicate them.)

As stated earlier, in the entire State of Texas there are only eight institutions offering a doctorate in curriculum and instruction – regardless of its focus. Of those, only two are in the GHMR. Those two are side-by-side in downtown Houston, 35 miles from the UHCL campus. Neither of the two in the GHMR focus on mathematics, science, and technology.

(4) Whether a critical mass of students and faculty is likely to be available to allow the program to be offered at a high level of quality and to become self-sufficient on the basis of state funding. (Provide evidence of student demand for the program. In addition, provide evidence that an adequate number of high-quality faculty would be available.)

There are almost 600 students currently registered in the different curriculum and instruction related master's programs in the School of Education at UHCL. That is a very strong local base on which to start the program. As noted previously, a faculty member has had a series of grants (18 years in a row) to support the UHCL Mathematics Institute. The people trained by the institute would be a rich source of future doctoral students.

With respect to faculty, all faculty participating in the curriculum and instruction related master's programs are also well-qualified to participate in the doctoral program. To ensure the success of the program, however, four additional faculty members will be added the year before the actual start of the program through the first three years of the program. It is also the case that faculty in the School of Science and Computer Engineering will be involved in the program. While the details of the proposed program are not required in this document, the intent is for each doctoral student to be required to take some courses in their content area. For example, a student in the doctoral program in curriculum and instruction who is focusing on mathematics education would also take at least nine hours of courses in the Department of Mathematics.

(b) Additional information required for requests for doctoral programs:

(1) A demonstrated regional, state, or national unmet need for doctoral graduates in the field, or an unmet need for a doctoral program with a unique approach to the field.

There are three parts to showing the need and demand for a doctorate in Curriculum and Instruction with a focus on mathematics, science, and technology. First, the need for more doctoral programs in the southeast section of the GHMR; second, the need for a doctoral program in curriculum and instruction; and, finally, third, the need for a doctoral program focused on mathematics, science, and technology.

1. Need for More Doctoral Degrees in the UHCL Service Area: Houston is the fourth largest city in the United States with a metropolitan population of over five million people with a predicted growth rate to double its population in less that 30 years. According to the data provided in *Doctoral Education in Texas, Part 1: Past Trends and Critical Issues* (Texas Higher Education Coordinating Board, 2004), the Houston area contains over 30% of the State's population, but only 19% of the State's doctoral programs. Houston is in dire need of more doctoral programs across the board to increase the opportunity for doctoral study to just the State average.

2. Need for a Doctorate in Curriculum and Instruction: There are only two universities located in the GHMR with doctoral programs in curriculum and instruction. They are located next to each other in downtown Houston – 35 miles from the UHCL campus. UHCL's proposed doctoral program in curriculum and instruction would serve the growing population on the south and east sides of the GHMR. Currently, the UHCL Master of Science Degree Programs in Curriculum and Instruction and related fields (mathematics education, science education, etc.) have almost 600 graduate students. The proposed doctoral program in curriculum and instruction would fill the local void in doctoral-level academic leadership preparation for communities in the UHCL service area.

3. Need for a Doctorate Focused on Mathematics, Science, and Technology: These are three of the four components in STEM. We will focus on three of them because we have such strong programs and faculty in those three areas. The School of Education houses Instructional Technology. The Schools of Education and Science and Computer Engineering (SCE) have a history of cooperating in the areas of mathematics and science. In fact, six of the teacher certification programs are housed in SCE. There are many different sets of data to show the drastic need for more doctorates in STEM. The drastic shortages in the STEM areas are so well known that only two example sources are cited below.

   The following are two important quotes from *Closing the Gaps The Texas Higher Education Plan* (Texas Higher Education Coordinating Board, 2000):

   The number one strategy to close the gaps in success is to "Focus college and university efforts on increasing graduates in education, engineering, computer science, math [sic], physical science, allied health, nursing and other critical fields." (page 2)

"To provide the Recommended High School Program to all Texas students, many additional well-qualified educators will be required. Severe shortages of teachers exist in math [sic], science, foreign language and technology, subjects required by the Recommended High School Program." (page 10)

One of the main purposes of the proposed doctoral program in curriculum and instruction is to increase the number of "well-qualified educators" in these high needs areas.

The following findings come from *Jobs in Mathematics Education in Institutions of Higher Education in the United States* (Notices of the AMS, v. 55, n. 6):

* There are not enough doctorates in mathematics education produced each year to fill the open positions.
* On average, 40% of the open positions in mathematics education are unfilled.
* Of the mathematics education positions filled, 56% are filled by a mathematics education professor moving to the open position which means that professor's position is then open.
* Of the doctorates in mathematics education produced each year, less than half ever seek a position in higher education.

There is a clear need for a doctorate in curriculum and instruction in the UHCL service area. There is even a stronger need for one which will focus its attention on mathematics, science, and technology.

(2) Evidence that existing doctoral programs in the state cannot accommodate additional students (or that accessibility to these programs is restricted), or that expanding existing programs is not feasible or would not best serve the state.

There are only eight doctoral programs in curriculum and instruction in the entire State of Texas. As mentioned earlier, only two are in the GHMR and they are side-by-side in downtown Houston, 35 miles from UHCL. As we found out with UHCL's only other doctoral program (Ed.D. in Educational Leadership) the location of UHCL makes it a very desirable destination for working students. There were three Educational Leadership doctoral programs in the GHMR with the closest two, again, being 35 miles away. Through the first three cohorts, every available spot has been taken in the UHCL program, for a total of 60 Ed.D. students.

(3) If appropriate to the discipline, the institution has self-sustaining baccalaureate-and master's-level programs in the field and/or programs in related and supporting areas.

The current enrollment in the Curriculum and Instruction related master's programs is given below:

Curriculum and Instruction	97
Early Childhood Education	82
Instructional Technology	172

Mathematics Education	14
Reading	65
Science Education	4
Special Education	31
Teacher Education	95

With the exception of the more generic Curriculum and Instruction, each of these master's programs are "fed" by a related baccalaureate program.

While these numbers certainly show the potential for a self-sustaining source of potential C & I doctoral students, the focus is going to be on the high needs areas – mathematics education, science education, and technology. As noted above, two of these are the smallest programs in the list. It is anticipated that the option of doctoral study in these two areas will also increase the enrollment at the master's level. The size of the other programs show that the doctorate in C & I will be a viable and sustainable program allowing the option to focus on mathematics education and science education.

(4) The program has the potential to obtain state or national prominence and the institution has the demonstrated capacity, or is uniquely suited, to offer the program and achieve that targeted prominence.

Of the 160+ educator preparation programs in the State of Texas, only 13 are accredited at the national level – UHCL is one of the 13. UHCL's School of Education is nationally accredited by the National Council for the Accreditation of Teacher Education (NCATE). The last (2007) re-accreditation by NCATE was with a perfect score – no Areas for Improvement. A part of the NCATE re-accreditation is the national recognition of individual programs at both the baccalaureate and master's levels. Each program which has a Specialized Professional Association is nationally recognized. The list is given below as it pertains to the proposed degree:

Early Childhood Education	National Association for the Education of Young Children
Instructional Technology	International Society for Technology in Education
Mathematics Education	National Council for Teachers of Mathematics
Reading	National Council for Teachers of English and the International Reading Association
Science Education	National Science Teachers Association
Social Studies	National Council for Social Studies
Special Education	Council for Exceptional Children

The master's programs which will feed into the proposed doctoral program are already nationally recognized. As discussed later, the doctoral program will automatically be a part of our next NCATE re-accreditation (2014).

(5) Demonstrated current excellence of the institution's existing undergraduate and graduate degree programs and how this excellence shall be maintained with the development and addition of a high-quality doctoral program. (Measures of excellence include the number of graduates and graduation rates that match or exceed those at peer institutions.)

Since the proposed program is at the doctoral level, we are focusing on a comparison at the master's level. Below is the list of the 12 institutions in the State of Texas to which UHCL is compared and the number of master's degree conferred in FY 2006:

University of Houston – Clear Lake	2,152
University of Houston – Downtown	1,931
Midwestern State University	1,179
The University of Texas at Tyler	1,121
Angelo State University	1,039
The University of Texas at Brownsville	942
University of Houston – Victoria	665
The University of Texas- Permian Basin	612
Texas A & M – Texarkana	533
Sul Ross University	276
Texas A & M at Galveston	258
Sul Ross – Rio Grande College	219.

We have a combined undergraduate/graduate 4-year completion rate of 75.2%. It should also be noted that we have a 4-year completion rate of 79.5% among first-time, full-time Hispanic students.

(6) Satisfactory placement rates for graduates of the institution's current doctoral programs, with comparison to peer group placement rates when available.

UHCL's first doctoral program is the Ed.D. in Educational Leadership. The degree program began in fall 2007 and, therefore, has no graduates to date. It has 60 doctoral students in the program.

(7) How the program will address *Closing the Gaps by 2015.*

Several of the areas under the umbrella of curriculum and instruction are mentioned by name in the *Closing the Gaps* document. Of course, no two more so than mathematics and science. One of the challenges articulated in *Closing the Gaps* is "to build a better-educated population and workforce through collaborations with institutions of higher education, the public school system and the business community" (Texas Higher Education Coordinating Board, 2000, p. 7). The proposed doctoral program focuses on two of the important aspects of education at all levels – curriculum and instruction. While the proposed program focuses on mathematics, science, and technology, it does so not at the exclusion of the other curricular areas. The intent of the program is to develop educational leaders, regardless of whether they work in higher education, the public schools or in the private sector. The graduates of the program would be better able to create collaborations among the different sectors working in education. Equally important, the graduates would see the value in such collaborations.

As mentioned in section *(b)* (1) above, another challenge described in the *Closing the Gaps* document is that "To provide the Recommended High School Program to all Texas

students, many additional well-qualified educators will be required. Severe shortages of teachers exist in math [sic], science, foreign language and technology, subjects required by the Recommended High School Program." (page 10). One of the findings also presented in section *(b)* (1) above was that "Of the doctorates in mathematics education produced each year, less than half ever seek a position in higher education." While that is bad news for filling the many, many open positions in mathematics education, the reason is that those mathematics educators find employment in school districts. The proposed doctoral program is designed to produce "well-qualified educators" for all levels of the education enterprise.

Goal 2 Close the Gaps in Success states, "By 2015, increase by 50 percent the number of degrees, certificates and other identifiable student successes from high quality programs." (page 11). To achieve such a goal we must have the following: a) many more well-qualified educators to work with the students and b) many more well-qualified educators to design new curriculum and instructional techniques to increase student success. These are exactly two of the goals of the proposed doctoral program. To increase student success it is necessary, but not sufficient, to have more faculty to work with the increased number of students. However, we also need faculty who are experts in curricular and instructional issues to design better curriculum and better instructional techniques to enhance student success.

(8) Institutional resources to develop and sustain a high-quality program.

Institutional Support.

UHCL will provide four new faculty lines for the doctoral program. The first appointment to be made the year before the actual start of the program. The remaining appointments to be made in the first three years of operation. In addition, the university is committed to six Graduate/ Teaching Assistants at $18,000 per assistantship and eight scholarships at $6,000 each.

Library Support

Program Support

While applicants can be from anywhere in the world, it is important to have a strong base of potential applicants much closer to home. There are almost 600 students currently in one of the curriculum and instruction related master's programs at UHCL. The details are provided above in section *(b)* (3).

External Support

The faculty in all of the areas to be included under the umbrella of Curriculum and Instruction are active in the area of seeking external funding for projects. When the proposed doctoral program is operational this will certainly a important factor. We have several "long term" grants. As mentioned earlier, we have had external funding for the

UHCL Mathematics Institute for 18 years. We have had external funding for the Greater Houston Area Writing Project even longer. Having doctoral students to work in such projects will not only provide external support for the students, it will increase the productivity of the projects.

(9) Where appropriate, a demonstration of plans for external accreditation, licensing, or other applicable professional recognition of the program.

As mentioned under *(b)* (4), all of the curriculum and instruction related master's programs are already national recognized by their Specialized Professional Association and accredited by the National Council for the Accreditation of Teacher Education. Since UHCL's School of Education is NCATE-accredited, once the proposed program is operational it is automatically on the list of programs which must seek both national accreditation from NCATE and national recognition from its Specialized Professional Association. In the case of a doctorate in Curriculum and Instruction, we will have to seek multiple recognitions due to the nature of the program.

Contact Person

Include the name, title, telephone number, and email address of the institution's contact person for this request.

James Sherrill, PhD
Associate Dean, School of Education
281 – 283 – 3620
Sherrill@uhcl.edu

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: Report by Alice Valdez, University of Houston engineering technology major on the impact of her student experiences.

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

University of Houston junior electrical power engineering technology major and participant in the Mexican American Studies program Alice Valdez will report on her experiences in her UH programs, their impact, and her aspirations.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:

ACTION REQUESTED: Information

COMPONENT: University of Houston

PRESIDENT	Renu Khator	**DATE** 2/11/10
VICE CHANCELLOR	John Antel	**DATE** 2/11/10
CHANCELLOR	Renu Khator	**DATE** 2/11/10

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: FY 2010 Sponsored Research Activity Quarterly Report, Division of Research

DATE PREVIOUSLY SUBMITTED: 08/2009

SUMMARY:

Following is a summary report of the Year to Date December, 2009 Sponsored Research Proposals and Awards

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Report included.

ACTION REQUESTED: Information

COMPONENT: University of Houston System

VC RESEARCH	Donald Birx	**DATE** 1.30.2010
VICE CHANCELLOR	John Antel	**DATE** 2/2/10
CHANCELLOR	Renu Khator	**DATE** 2.2.10

UNIVERSITY OF HOUSTON

Division of Research

Sponsored Research Comparison by Funding Source

Year to Date December, 2009

Award Activity Summary

Funding Source	Main Campus FY 2010	Downtown FY 2010	Clear Lake FY 2010	Victoria FY 2010
FEDERAL AGENCIES	19,145,108.00	1,242,188.00	519,995.00	100,036.00
FY 2009	11,926,222.00	1,623,933.00	261,619.00	98,902.00
STATE AGENCIES	3,427,475.00	140,000.00	455,689.00	683,248.00
FY 2009	10,131,346.00	121,950.00	95,473.00	352,800.00
PRIVATE AGENCIES [1]	7,323,833.00	0.00	9,945.00	0.00
FY 2009	9,330,445.00	0.00	0.00	0.00
OTHER AGENCIES [2]	2,531,009.00	0.00	0.00	1,131,599.00
FY 2009	1,587,558.00	0.00	0.00	1,362,098.00
GRAND TOTAL	$32,427,425.00	$1,382,188.00	$985,629.00	$1,914,883.00
FY 2009	32,975,571.00	1,745,883.00	357,092.00	1,813,800.00

[1] **PRIVATE AGENCIES** consist of Private for Profit agencies, Private Non-Profit agencies and Foundations

[2] **OTHER** consists of Local Government agencies and Universities

UNIVERSITY OF HOUSTON
Division of Research
FY 2010 Monthly Research Activity Summary
YTD December, 2009

PROPOSAL

	FEDERAL	STATE	LOCAL	PROFIT	NON-PROFIT	FOUNDATION	UNIVERSITY	TOTAL
2009	$83,361,303.53	$13,206,806.50	$286,500.00	$4,236,686.50	$7,871,152.00	$2,704,925.00	$9,309,277.00	$120,976,650.53
2010	$146,756,884.23	$72,904,160.00	$1,202,194.00	$8,252,000.40	$12,948,104.39	$2,879,007.00	$4,387,062.00	$249,329,412.02
+/- 2009	76%	452%	320%	95%	65%	6%	-53%	106%

AWARD

	FEDERAL	STATE	LOCAL	PROFIT	NON-PROFIT	FOUNDATION	UNIVERSITY	TOTAL
2009	$11,926,222.23	$10,131,345.54	$187,000.00	$3,989,359.98	$4,946,487.88	$394,596.95	$1,400,557.65	$32,975,570.23
2010	$19,145,108.00	$3,477,475.36	$193,690.06	$4,009,149.32	$2,500,894.00	$813,790.09	$2,287,317.58	$32,427,424.41
+/- 2009	61%	-66%	4%	0%	-49%	106%	63%	-2%

TOTAL EXPENDITURE

	FEDERAL	STATE	LOCAL	PROFIT	NON-PROFIT	FOUNDATION	UNIVERSITY	TOTAL
2009	$9,948,867.53	$4,760,134.74	$92,047.61	$2,143,075.71	$3,047,095.37	$872,212.43	$966,467.40	$21,829,900.79
2010	$12,345,274.63	$8,483,175.70	$48,985.87	$2,773,609.57	$3,787,803.06	$774,684.14	$1,236,770.36	$29,450,303.33
+/- 2009	24%	78%	-47%	29%	24%	-11%	28%	35%

IDC RECOVERY

	FEDERAL	STATE	LOCAL	PROFIT	NON-PROFIT	FOUNDATION	UNIVERSITY	TOTAL
2009	$1,891,952.68	$357,021.53	$15,181.10	$500,134.23	$106,019.42	$24,959.84	$184,193.40	$3,079,462.20
2010	$2,219,637.87	$199,497.74	$11,465.21	$631,751.73	$143,304.34	$24,624.63	$277,006.46	$3,507,287.98
+/- 2009	17%	-44%	-24%	26%	35%	-1%	50%	14%

A&SS – 6.1.2

UNIVERSITY OF HOUSTON

Division of Research

Sponsored Research Comparison by Investigator - Main Campus

Year to Date, December, 2009

College	PROPOSALS Dollar Value						PROPOSALS Number Submitted			
	Federal			Non-Federal			Federal		Non-Federal	
	FY 2009	FY 2010	% Ch	FY 2009	FY 2010	% Ch	FY 2009	FY 2010	FY 2009	FY 2010
Architecture	-	100,000	100%	47,500	-	-100%	-	1	2	-
Business	-	-	0%	-	-	0%	-	-	-	-
Education	1,031,417	14,396,075	1296%	3,424,968	4,570,279	33%	3	12	19	32
Engineering	24,207,799	25,263,186	4%	10,813,181	21,423,538	98%	36	52	55	107
Hotel/Restaurant	-	-	0%	204,050	162,548	-20%	-	-	4	5
Liberal Arts/Social Sciences	3,837,411	17,307,108	351%	7,035,403	10,980,440	56%	8	12	36	76
Law	1,167,038	-	-100%	-	200,000	100%	3	-	-	2
NSM	39,062,948	55,603,438	42%	10,164,987	50,251,968	394%	68	92	30	159
Optometry	2,610,210	2,525,000	-3%	270,964	972,216	259%	3	4	6	8
Pharmacy	4,663,413	15,311,153	228%	754,866	4,792,635	535%	5	19	11	28
Social Work	2,000,420	2,123,511	6%	1,351,697	1,139,460	-16%	1	1	10	12
Technology	4,502,492	3,140,170	-30%	1,217,585	5,163,232	324%	10	4	7	16
Other	278,156	10,987,244	3850%	2,330,149	2,916,212	25%	4	7	12	18
TOTAL	**83,361,304**	**146,756,885**	**76%**	**37,615,350**	**102,572,528**	**173%**	**141**	**205**	**192**	**463**
GRAND TOTAL (Federal + Non-Federal)				**120,976,654**	**249,329,413**	**106%**			**333**	**668**

A&SS – 6.1.4

Year to Date, December, 2009

College	AWARDS Dollar Value						AWARDS Number Received			
	Federal			Non-Federal			Federal		Non-Federal	
	FY 2009	FY 2010	% Ch	FY 2009	FY 2010	% Ch	FY 2009	FY 2010	FY 2009	FY 2010
Architecture	-	-	0%	40,000	-	-100%	-	-	1	-
Business	300,000	1,498,104	399%	-	-	0%	1	3	-	-
Education	624,933	267,854	-57%	1,496,343	1,727,238	15%	3	1	21	20
Engineering	2,086,023	5,565,542	167%	10,245,895	2,536,277	-75%	12	15	42	35
Hotel/Restaurant	-	-	0%	24,048	280,000	1064%	-	-	2	4
Liberal Arts/Social Sciences	2,035,242	989,744	-51%	865,209	1,257,838	45%	5	8	17	18
Law	-	-	0%	45,717	220,022	381%	-	-	1	3
NSM	3,488,769	6,597,677	89%	4,545,160	3,165,414	-30%	15	32	50	38
Optometry	1,866,715	1,956,669	5%	319,130	255,649	-20%	9	9	8	6
Pharmacy	458,419	1,580,433	245%	758,132	576,906	-24%	3	7	6	3
Social Work	280,511	-	-100%	77,058	336,133	336%	2	-	2	4
Technology	84,999	208,376	145%	365,464	116,689	-68%	2	2	9	3
Other	700,611	480,709	-31%	2,267,194	2,810,152	24%	3	3	10	9
TOTAL	**11,926,222**	**19,145,108**	**61%**	**21,049,350**	**13,282,318**	**-37%**	**55**	**80**	**169**	**143**
GRAND TOTAL (Federal + Non-Federal)				**32,975,572**	**32,427,426**	**-2%**			**224**	**223**

Activity is reported by Faculty Home Department, not by credit splits. For example, if a proposal is split 50-50 between an Investigator's Home Department and a Center, this report will show you one full proposal credited to his/her Home Department as research activity.

Approvals: VP for Research Date:

Approvals: President/Chancellor Date:

Report Prepared by: Research Inf. Ctr Date: 4/22/10

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

ENDOWMENT MANAGEMENT

AGENDA ITEM(S)

FOR

FEBRUARY 16, 2010

BOARD OF REGENTS MEETING

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Endowment Management

ITEM: Approval to modify Board of Regents bylaws section 5 (Committees of the Board)

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Approval is requested to modify Board of Regents bylaws section 5.2.1 and 5.4; add a new section 5.7; and renumber sections 5.7 and 5.8. The revision to 5.2.1 adds the Endowment Management Committee as a standing committee of the Board. The revision to section 5.4 deletes oversight responsibility for investment policies and performance from the Finance and Administration Committee. A new section 5.7 is added that defines the oversight responsibilities of the Endowment Management Committee including responsibility for investment policies and performance.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Blacklined version of Board bylaws - section 5

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
EXECUTIVE VICE CHANCELLOR	Carl Carlucci	**DATE** 1/25/2010
CHANCELLOR	Renu Khator	**DATE** 1/29/10

Board of Regents of the University of Houston System
Bylaws

Sec. 5 Committees of the Board

5.1 Executive Committee

5.1.1 The executive committee shall consist of the chair, vice chair, secretary, and, if he or she is still serving as a regent, the immediate past chair of the board. Three members shall constitute a quorum.

The executive committee shall:

a. exercise the powers of the board when action is required and a board meeting cannot be conveniently scheduled;

b. report all actions taken on behalf of the board at the next regular or special board meeting;

c. keep a separate record of executive committee proceedings and submit that record for inclusion in the official record of the board at the next regular or special board meeting;

d. annually review the performance of the chancellor, the internal auditor, and the performance reports on the general officers presented by the chancellor; and

e. consider and approve any other matters that arise concerning the Board.

5.2 Standing Committees

5.2.1 The standing committees are Academic and Student Success, Finance and Administration, Audit and Compliance, ~~and~~ Facilities Construction, and Master Planning, and Endowment Management.

5.2.2 The chair of the board shall appoint a chair and vice chair for each standing committee. Standing committee chairs and vice chairs shall serve one–year terms from September 1 through August 31. A vacancy in a committee chair or vice chair position shall be filled by appointment of the board chair.

5.2.3 All committees will have not less than three regents, nor more than four. Each committee will have a committee chair, vice chair, and one or two additional regents, all of whom shall be appointed by the chair of the board. The chairman will serve as ex-officio for all committees and will count for purposes of determining a quorum. A quorum is three for these committees. The Chancellor will assign one or more vice chancellors or other staff members to provide support to each committee.

5.2.4 One to four advisory members may be appointed to each respective committee with the unanimous approval of the chair of the board, the chancellor, and the chair of the committee. The advisory members will serve one-year terms from September 1 through August 31 and may be reappointed each year. Advisory members will not have voting authority.

5.2.5 The authority of a board committee is subject to the bylaws and policies of the board. Committees shall report and make recommendations to the board. Unless specifically authorized by official action of the board, a committee shall not act for the board.

5.3 Academic and Student Success Committee

The academic and student success committee has oversight of instruction, research, and service policy and performance, including review of the Chancellor's recommendations on academic programs. It also has oversight of all matters related to student success, including academic and student support programs.

5.4 Finance and Administration Committee

The finance and administration committee has oversight of budget, finance, and business operations policy and performance, including reviewing the Chancellor's recommendations on annual budgets and tuition and fees. ~~It also provides oversight of investment policies and performance.~~

5.5 Audit and Compliance Committee

The audit and compliance committee has oversight of all audit matters and all compliance activities, including ensuring sound fiscal and management policies and practices. It also provides oversight to ensure full compliance with all applicable local, state, and federal laws and regulations.

5.6 Facilities, Construction and Master Planning Committee

The facilities, construction and master planning committee has oversight of policy and performance related to the physical assets, including reviewing the Chancellor's recommendations on capital priorities. It also provides oversight of physical master plans for each location.

5.7 Endowment Management Committee

The endowment management committee has oversight of all investment assets and activities, outside investment managers, investment consultants, and any other matters pertaining to endowed and non-endowed assets for the System. It also provides oversight of investment policies and performance. The chair of the Finance and Administration Committee shall be a member of this committee.

5.~~7~~ 8 Special Committees

Special committees may be established by the chair of the board to report to the board, and they shall function until discharged. Membership normally will include regents and may also include persons not on the board.

5.~~8~~ 9 Staff Services to the Board Committees

With the approval of the chair of the board, the chancellor will designate a member of his or her staff as liaison for each standing board committee and subcommittee. The administrator designated shall provide information and assistance as needed to the chair of the committee or subcommittee to prepare the agenda and conduct the business of the committee or special committee.

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Endowment Management

ITEM: Approval to modify Board of Regents policies 55.01.1(D); 55.01.1(E); and 55.01.1(F)

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Approval is requested to modify Board of Regents policies 55.01.1(D); 55.01.1(E); and 55.01.1(F). These changes exclude investment agreements from Board approval. The approval of investment agreements will be part of the Endowment Management Committee's responsibilities as stated in the University of Houston System Endowment Fund Statement of Investment Objectives and Policies.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:

- Comparison of Decision Making Process Related to Endowment Management – University of Houston – Current vs. Proposed
- Comparison of Decision Making Process Related to Endowment University of Houston & Other Texas University Systems/ Foundations
- Blacklined version of Board policy – Section 55.01.1

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
EXECUTIVE VICE CHANCELLOR	Carl Carlucci	**DATE** 1/25/2010
CHANCELLOR	Renu Khator	**DATE** 1/29/10

Comparison of Decision Making Processes Related to Endowment Management
University of Houston
Current versus Proposed

			Asset Allocation	Hire Managers	Terminate Managers	Rebalance Assets within Existing Managers & Asset Classes
Full BOR	UH - Current		Approves	Approves		
	UH - Proposed		No change			
Committee	UH - Current		Recommends to Board	Recommends to Board	Approves	Approves
	UH - Proposed		No change	**Approves (1)**	**Approves (1)**	**Approves (1)**
Chair	UH - Current		Reviews	Reviews	Reviews	Reviews
	UH - Proposed		No change	**see footnote 1**	**see footnote 1**	**see footnote 1**
Staff	UH - Current		Reviews	Reviews	Reviews	Reviews
	UH - Proposed		No change	**Recommends to Chair**	**Recommends to Chair**	**Recommends to Chair**
Consultant	UH - Current		Recommends to Committee	Recommends to Committee	Recommends to Committee	Recommends to Committee
	UH - Proposed		No change	**Recommends to Staff**	**Recommends to Staff**	**Recommends to Staff**

Note: Red text indicates change from current process

(1) In between quarterly meetings, these actions can be taken with the approval of the Chair of the Endowment Management Committee and the Chair of the Finance and Administration Committee when, on the recommendation of the committee staff and investment consultant, the Chair of the Endowment Management Committee and the Chair of the Finance and Administration Committee jointly determine that time is of the essence and immediate action in lieu of a called committee meeting is necessary. Staff will immediately report any such action taken to the members of the Endowment Management Committee and the Chairman of the Board of Regents after such action is taken.

Comparison of Decision Making Processes Related to Endowment Management
University of Houston and Other Texas University Systems / Foundations

		Estimated Assets ($ millions)	Asset Allocation	Hire Managers	Terminate Managers	Rebalance Assets within Managers & Asset Classes
Full BOR	UT	$20,000	Approves			
	TAMU	$700	Approves			
	TAMU Foundation	$1,000				
	TT	$700	Approves	Approves (1)(2)		
	UH	$450	Approves	Approves		
Committee	UT		NA (3)			
	TAMU		Recommends			
	TAMU Foundation		Approves (4)	Approves (5)	Approves	
	TT		Recommends	Recommends	Approves	Approves
	UH		Recommends	Recommends	Approves	Approves
Chair	UT					
	TAMU					
	TAMU Foundation					
	TT					
	UH					
Staff	UT		Recommends	Approves	Approves	Approves
	TAMU		Recommends	Approves	Approves	Approves
	TAMU Foundation		Recommends	Recommends	Recommends	Approves
	TT					
	UH					
Advisor	UT					
	TAMU		Recommends	Recommends	Recommends	Recommends
	TAMU Foundation		Recommends	Recommends	Recommends	Recommends
	TT		Recommends	Recommends	Recommends	Recommends
	UH		Recommends	Recommends	Recommends	Recommends

(1) Board currently considering delegating this action to the Committee.
(2) In between quarterly meetings, a manager can be hired with the approval of the Chairman of the Board, Chair of the Investment Committee, and the Chair of the Finance Committee.
(3) There is no investment committee between UTIMCO and the Board.
(4) Investment committee is the highest level of governance at TAMU Foundation for investment decisions.
(5) Staff is delegated the authority to invest additional capital to follow-on funds within private equity.

55.01 Contracts

No person has the authority to bind the system contractually except in accordance with this policy.

55.01.1 Board Approval

In an open meeting, the board must approve:

A. contracts for the purchase, gift or acquisition of real property;

B. contracts for the sale of real property or conveyance of any rights in real property;

C. as lessee, all real estate leases, lease renewals and extension, if the obligation of the lease is equal to or greater than the submittal threshold requiring Texas Higher Education Coordinating Board action.

D. banking ~~and investment~~ agreements;

E. any single procurement contract for any equipment, goods and services, not specified above, which is expected to exceed $1,000,000 in a fiscal year with exception of contracts that fall within and are apart of approved construction projects set forth in Section 55.04 or investment agreements;

F. any extension, modification, or renewal of an existing contract which is expected to exceed $1,000,000 in a fiscal year with the exception of investment agreements;

G. any series of contracts which are initiated in the same department for the same goods or services with the same party within a fiscal year, that, if combined in one contract, would require board approval or reporting;

H. any other contract the board might designate as having significant importance to require board approval.

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Endowment Management

ITEM: Approve the FY10 University Advancement Endowment Assessment Rate of 1.5%

DATE PREVIOUSLY SUBMITTED:

SUMMARY:
Approval is requested to continue assessing the UH System Endowment at a rate of 1.5% for FY10 (FY11 spending) to fund the University Advancement fee. This represents the annual approval by the Board of this assessment. The endowment statement of investment objectives and policies permits the system to annually assess a reasonable fee against the earnings of specified endowment funds to offset expenses associated with gift acquisition and fundraising at the component universities. The investment policy also states that the Board shall annually review and approve the fee. The fee is based as a percentage of the fiscal year end market value averaged over rolling three-year periods for eligible endowments. If an endowment has been in existence less than three years, the average will be based on the number of years in existence.

FISCAL NOTE: Estimated assessment for FY11 spending is $3.9 million, which represents an estimated $100 thousand increase from FY10 spending.

SUPPORTING DOCUMENTATION:

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
VICE CHANCELLOR Michael Rierson	**EXECUTIVE VICE CHANCELLOR** Carl Carlucci	**DATE**
CHANCELLOR	Renu Khator	1/29/10 **DATE**

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Endowment Management

ITEM: Approval to modify the UH System Endowment Fund Statement of Investment Objectives and Policies

DATE PREVIOUSLY SUBMITTED: August 18, 2009

SUMMARY:

Approval is requested to modify the UH System Endowment Fund Statement of Investment Objectives and Policies to be consistent with changes to section 5 of the Board bylaws and section 55.01.01 of the Board policies. The Preface section has been amended to include a statement that the Endowment Management Committee has been established as a standing committee to assist the Board in fulfilling its fiduciary responsibilities, and this section lists the responsibilities of the Endowment Management Committee. Additionally, the Investment Manager section of the endowment investment policy has been modified to be consistent with the changes to Board policy 55.01.01. The last paragraph of the Asset Selection and Allocation section of this policy has been changed to be consistent with the Committee's responsibilities outlined in the Preface section. Lastly, there are other changes to the endowment investment policy; however, they are administrative in nature as noted in the supporting documentation.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Blacklined version of the policy

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
EXECUTIVE VICE CHANCELLOR	Carl Carlucci	1/25/2010 **DATE**
CHANCELLOR	Renu Khator	1/29/10 **DATE**

UNIVERSITY OF HOUSTON SYSTEM ENDOWMENT FUND
STATEMENT OF INVESTMENT OBJECTIVES AND POLICIES
Approved by the Board of Regents ~~August 18, 2009~~February 16, 2010

PREFACE

The University of Houston System Board of Regents is charged with the fiduciary responsibility for preserving and augmenting the value of the endowment, thereby sustaining its ability to generate support for both current and future generations of students. As part of a commitment to long-range financial equilibrium, the Regents have adopted the broad objective of investing endowment assets so as to preserve both their real value and the long-range purchasing power of endowment income so as to keep pace with inflation and evolving university needs, while generally performing above the average of the markets in which the assets are invested. Pursuant to Board Bylaw, the Endowment Management Committee has been established as a standing committee to assist the Board in fulfilling its fiduciary responsibilities.

To achieve its investment objectives the University of Houston System retains independent investment managers each of whom plays a part in meeting the System's goals over a variety of capital market cycles. The Endowment Management Committee shall:

a) Review and recommend to the Board changes to investment policies;

b) Review and recommend to the Board the university advancement assessment rate;

c) Review and recommend to the Board asset allocation long-term targets and ranges;

d) Review and recommend to the Board external investment consultants;

e) Monitor, evaluate, hire or terminate external investment managers;

f) Establish investment manager guidelines;

g) Monitor and adjust the actual allocation of assets through additions and withdrawals of funds among managers and investment media to conform to the long-term targets insofar as practical; and

h) Oversee the results of the independent managers and report periodically to the Board and the university community.

~~The Endowment Management Committee, Vice Chancellor for Administration and Finance (Vice Chancellor) or designee and Treasurer oversee the results of the independent managers and report periodically to the Board of Regents and the university community.~~

FORWARD

This policy is intended to be ongoing until the next review is completed. Comprehensive reviews are to be completed every five years.

It is the general practice of the University of Houston System to pool endowment resources. For investment purposes however, the assets are managed in separate endowment fund accounts. The following statement sets out explicit policies for the pooled endowment but would apply to non-pooled holdings as well. The Regents seek superior investment returns through professional management but not by assuming imprudent risks.

FINANCIAL OBJECTIVES

The primary long-term financial objective for the University endowment is to preserve the real (inflation-adjusted) purchasing power of endowment assets and income after accounting for endowment spending, inflation, and costs of portfolio management. Performance of the overall endowment against this objective is measured over rolling periods of at least ten years, which should encompass several market cycles.

INVESTMENT OBJECTIVES

In order to meet the financial objective stated above, the primary long-term investment objective of the endowment is to earn a total rate of return that exceeds the spending rate plus the costs of managing the investment fund, and expressed in real (or inflation adjusted) terms. Given the current System spending rate of 7% (which includes 5% payout, 1.5% university advancement assessment, and 0.5% costs of managing the investment fund), the objective of this fund will be to earn a real (inflation adjusted) return of 7.0% when measured over rolling periods of at least five years. It is also understood that due to market conditions there may be five-year periods where this objective is exceeded and purchasing power is enhanced, as well as five-year periods where the objective is not met and purchasing power is diminished. The medium-term objective for the endowment is to outperform each of the capital markets in which assets are invested, measured over rolling periods of three to five years or complete market cycles, with emphasis on whichever measure is longer. In addition, the performance of the overall endowment is expected to be consistently in at least the second quartile of the NACUBO Annual Comparative Performance Survey of all educational endowments over rolling five-year time periods. Thus, the Endowment Management Committee is responsible for allocating assets to segments of the market and to managers who will provide superior performance when compared with both managers of other educational endowments and with capital markets generally.

Finally, the total return of the University's investment portfolio should be evaluated against the return of a composite index consisting of appropriate benchmarks weighted according to the Endowment Management Committee's asset allocation targets.

INVESTMENT MANAGERS

In accordance with Board policy, hiring of ~~investment managers and~~ investment ~~advisors~~ consultants requires approval of the Board. Hiring of investment managers requires Endowment Management Committee approval except, when on the recommendation of the committee staff and ~~advisors~~the investment consultant, the chair of the Endowment Management Committee and the chair of the Finance and Administration Committee jointly determine that time is of the essence and immediate action in lieu of a called committee meeting is necessary to hire or terminate an investment manager, the recommended change can then be made. The chair of the Endowment Management Committee will have the staff immediately report any such action taken to the members of the Endowment Management Committee and the Chairman of the Board of Regents after such action is taken.

Managers of marketable securities are expected to produce a cumulative annualized total return net of fees and commissions that exceeds an appropriate benchmark index over moving three to five-year periods, and should be above a median for active investment managers using similar investment philosophies over the same time periods. At their discretion, managers may hold cash reserves and fixed income securities up to 25% of portfolio market value with the understanding that their benchmark will not be adjusted to reflect cash holdings. Managers who wish to exceed these limits should secure prior approval from the Treasurer. The Treasurer, in turn, shall seek approval from the Executive Vice Chancellor or designee.

ENDOWMENT PAYOUT POLICY

The Regents of the University of Houston System have established an endowment payout policy which attempts to balance the long-term objective of maintaining the purchasing power of the endowment with the goal of providing a reasonable, predictable, stable, and sustainable level of income to support current needs. Payout is derived from interest, dividends and realized gains, net of portfolio management fees. The historical rate of payout has been 4 to 5 percent. Going forward, the endowment will maintain a payout rate of approximately 4% to 5%, with any change to this range to be approved by the Board. The payout rate will be based as a percentage of the fiscal year end market value average over rolling three year periods. If an endowment has been in existence less than three years, the average will be based on the number of years in existence.

UNIVERSITY ADVANCEMENT ASSESSMENT

The System will annually assess a reasonable fee against the earnings of specified endowment funds to offset expenses associated with gift acquisition and fundraising at the component universities. The Board shall annually review and approve the fee. The fee will be based as a percentage of the fiscal year end market value averaged over rolling three year periods. If an endowment has been in existence less than three years, the average will be based on the number of years in existence.

ASSET SELECTION AND ALLOCATION

It is understood that ownership assets (or equities) are to be the dominant asset class in the Endowment due to the superior long-term return offered by such assets. As such, equity assets may be thought of as the drivers of long-term Endowment return.

Although the long-term return from equity assets is superior, they have three primary drawbacks that must be addressed. The first is that periods of prolonged economic contraction (deflation) can be catastrophic. Although such periods are rare, the results of such periods are severe enough to warrant holding a portion of the Endowment in assets (primarily intermediate to long-term high quality, non-callable fixed income securities), which are likely to appreciate in value during such periods. In this context, high quality shall mean a portfolio with an average credit quality of AA or better, although active managers may choose to hold select investment grade securities with lower ratings. The goal of such holdings would be to provide sufficient liquidity to the Endowment to meet payout needs over a three to five-year period without having to sell a significant portion of the equities at "fire-sale" prices. Adherence to this policy will keep equity holdings intact and allow the Endowment to reap the rewards of a return to a more normal economic environment.

The second drawback to a reliance on equity ownership is the effects of an unexpected rise in the rate of inflation. Such rises have traditionally been problematic for most types of equity assets, and given the System's stated goal of preserving purchasing power by achieving an attractive inflation adjusted return, some portion of the Endowment should be invested in assets that will appreciate in value during periods of unexpected inflation.

Lastly, equity assets are subject to greater degrees of risk. Risk takes many forms and is usually thought of in terms of volatility of investment returns. Volatile investment returns translate into a level of support for the System's programs that (even with the smoothing effect of the rolling three-year average market value payout rule) is variable over time. In order to control this variability to a tolerable level, some allocation is warranted to assets that produce attractive returns, but in a more absolute (or less variable) pattern. It is understood that such absolute return assets will invariably return less than equity assets, given rational markets.

After providing for the three broad categories noted above, the remainder of the Endowment should be invested in equity assets, broadly defined and broadly diversified. Broad diversification is required not only to further smooth the pattern of returns, but to protect the endowment from the risks associated with undue concentration in any one type of equity asset. Although other forms of diversification may be considered, it is understood that the Endowment's equity assets will be diversified by style (growth versus value), geography (domestic versus foreign), and market capitalization (large-cap versus small).

Current policy targets and ranges for the Endowment are as follows:

	Long-Term Target	Range

Risk Controlling Assets	32.5%	30 to 50%
Deflation Protection (high quality bonds)	15%	10 to 30%
Inflation Protection	10%	5 to15%

Assets to be considered for inclusion in this category include: Real Estate/REITs, TIPS (Treasury Inflation Protected Securities), and Energy or Commodities.

Absolute Return	7.5%	5 to 15%

Assets to be considered for inclusion in this category would primarily include defensive arbitrage or absolute return funds. Bonds may also be held in some circumstances as absolute return vehicles, and in some circumstances a particular real estate manager may be viewed to qualify as such a holding as well.

Drivers of Return	67.5%	50 to 70%
Domestic Mid to Large Cap Equities	22.5%	15 to 35%
Domestic Small-cap Equities	2.5%	0 to 10%

Large and mid-cap equities are defined as the 1000 largest companies traded in the United States, while small cap equities are considered to be the next 2000 stocks in terms of size. This characterization is compatible with the constitution of the Russell 1000, Russell 2000 and Russell 3000 Indexes.

Non U.S. Equities	25%	15 to 35%
Long/Short Equity Managers	7.5%	5 to15%
Non Marketable Equity Managers	10%	0 to12%

Managers to be considered for inclusion in this category include private equity or venture capital managers, as well as real estate funds with comparable expected returns, and other funds subject to multi-year lock-ups.

The Endowment Management Committee ~~sets~~ reviews and recommends to the Board the above asset allocation long-term targets and ranges, and the actual allocation of assets will be adjusted through additions and withdrawals of funds among managers and investment media to conform to these targets insofar as practical.

REBALANCING

In addition to rebalancing through the deployment of cash flows, the Endowment Management Committee ("Committee") should consider rebalancing whenever an exposure is meaningfully overweight or underweight its target, and must rebalance to the upper range of the asset class once an exposure is 5% or more beyond the range specified for that asset class as measured at the end of the month.

Between meetings of the Committee, should the exposure for any asset class reach a level of 5% or more beyond the uppermost limit of the specified range as measured at the end of the month, the Treasurer shall consult with the System's Investment Consultant (if any) and make a recommendation to the Executive Vice Chancellor or designee as to the appropriate rebalancing actions to take. By mutual agreement, those parties may then proceed either to take what rebalancing actions they deem to be reasonable and practical or, in the alternative, the Executive Vice Chancellor may notify the Committee Chair who may call a special meeting of the Committee. Any rebalancing actions taken by the Treasurer, Executive Vice Chancellor or designee, and Investment Consultant shall be communicated to the entire Committee by the Executive Vice Chancellor in a timely matter but in any case, no later than the next Committee meeting.

Between meetings of the Committee, should the exposure of any asset class reach a level of 5% or more below the lower end of the specified range, as measured at the end of the month, or the System receives an inflow at any one time that is more than 3% of the total market value of the endowment, the Executive Vice Chancellor shall notify the Committee Chair who shall convene a special meeting of the Committee to be held as soon as practical.

INVESTMENT MANAGEMENT

The endowment of the System will be managed primarily by external investment management organizations. Investment managers have discretion to manage the assets in each portfolio to best achieve the investment objectives, within the policies and requirements set forth in this statement, the investment manager agreement with the System including the guidelines for each investment manager, and subject to the usual standards of fiduciary prudence.

Each active investment manager with whom the System has a separate account will be provided with written statements of investment objectives and guidelines as part of the investment management contract that will govern his or her portfolio. These objectives shall describe the role the investment manager is expected to play within the manager structure, the objectives and comparative benchmarks that will be used to evaluate performance, and the allowable securities that can be used to achieve these objectives. Each manager will report performance quarterly, and if applicable monthly, consistent with these objectives and also indicate current annualized income and yield. These statements will be consistent with the Statement of Investment Objectives and Policies for the overall endowment as set forth herein. Investment managers will be provided with a copy of the Statement of Investment Objectives and Policies.

Additionally, each manager will be expected to use best efforts to realize the best execution price when trading securities and the settlement of all transactions (except investment pool funds and mutual funds) shall be done on a delivery versus payment basis.

SECURITIES LENDING

Securities owned by the endowment but held in custody by the endowment custodian may be lent to other parties through a contract between the University of Houston System and the custodian pursuant to a written agreement approved by the Board of Regents. Managers may not enter into securities lending agreements without the consent of the Board of Regents. The System recognizes, however, that, for those investments placed in commingled vehicles, the Board cannot dictate whether or not the manager will engage in securities lending. Therefore, System and its investment consultant (if any) shall make every effort either to avoid commingled investments, or to otherwise limit investment to those managers who will not engage in securities lending. The limited partnerships of marketable and non-marketable alternative investments are excluded from this limitation.

PROXY VOTING

Investment managers are responsible for voting proxies on behalf of the University of Houston System. When the manager is analyzing a proxy voting issue for the endowment and deciding how to vote, they should take into consideration the general positions of the Board of Regents as described in guidelines provided to each manager.

ADVISORY COMMITTEES

The Endowment Management Committee may establish advisory groups to provide general investment advice, as well as advice on special investments, to the Endowment Management Committee and the staff of the Executive Vice Chancellor for Administration and Finance.

REVIEW PROCEDURES

A. Performance Measurement

The Endowment Management Committee intends to review quarterly the performance of the endowment and each investment manager's portfolio relative to the objectives and guidelines described herein. The investment performance review will include comparisons with unmanaged market indices and the Consumer Price Index. A time-weighted return formula (that minimizes the effect of contributions and withdrawals) will be utilized for the overall endowment, although it is understood that individual managers may be evaluated using a dollar-weighted methodology, where appropriate.

B. Review and Modification of Policy

The Endowment Management Committee shall review this Policy at least once a year to determine if modifications are necessary or desirable. If substantive modifications are made, they shall be promptly communicated to ~~the Investment Managers and other~~ responsible parties.

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Endowment Management

ITEM: Approval to modify the UH System Investment Policy for Non-Endowed Funds

DATE PREVIOUSLY SUBMITTED: August 18, 2009

SUMMARY:
Approval is requested to modify the UH System Investment Policy for Non-Endowed Funds to be consistent with changes to section 5 of the Board bylaws and section 55.01.01 of the Board policies. The Investment Reporting and Performance Evaluation section of this investment policy has been changed to clarify that investment reporting and performance evaluation reports are provided to the Endowment Management Committee. There is a change to the Review and Modification of Policy section that provides flexibility in determining when and to whom Board approved changes to this policy should be communicated.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Blacklined version of the policy

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT _____ **DATE** _____

EXECUTIVE VICE CHANCELLOR Carl Carlucci _____ **DATE** 1/25/2010

CHANCELLOR Renu Khator _____ **DATE** 1/29/10

UNIVERSITY OF HOUSTON SYSTEM
INVESTMENT POLICY FOR NON-ENDOWED FUNDS
Approved by the Board of Regents ~~August 18, 2009~~February 16, 2010

I. INVESTMENT AUTHORITY AND SCOPE OF POLICY

General Statement

All non-endowed financial assets of the University of Houston System are to be invested in a manner that will provide the highest investment return with the maximum security while meeting the daily cash flow demands of the System and conforming to all state statutes governing investment of such funds. This investment policy applies to all non-endowed financial assets of all funds of the UH System at the present time and any funds to be created in the future.

Investment Officer

The System Treasurer may invest funds that are not immediately required to pay obligations of the System. The Board shall designate by resolution one or more additional officers or employees as investment officers. The ~~Board~~ Endowment Management Committee may also appoint one or more investment managers to invest the System's funds under the terms of this policy.

If an investment officer has a personal business relationship with an entity or is related within the second degree by affinity or consanguinity to an individual seeking to sell an investment to the System, the investment officer must file a statement disclosing that personal business interest or relationship with the Texas Ethics Commission.

II. INVESTMENT OBJECTIVES

Safety and Maintenance of Adequate Liquidity

Safety of principal is a primary objective in any investment transaction involving non-endowed financial assets. The System's investment portfolio must be structured in conformance with an asset/liability management plan that provides for liquidity necessary to pay obligations as they become due.

Diversification

The System will diversify its portfolio to eliminate the risk of loss resulting from over concentration of assets in a specific maturity, a specific issuer or a specific class of investments.

Yield

The System seeks to earn the maximum rate of return allowed on its investments within constraints imposed by its safety and liquidity objectives, and state and federal law governing investment of public funds.

Maturity

Portfolio maturities will be structured to meet the obligations of the System first and then to achieve the highest return of interest. When the System has funds that will not be needed to meet current-year obligations, maturity restraints will be imposed based upon investment strategy.

Investment Managers

Hiring of investment managers requires Endowment Management Committee approval except, when on the recommendation of the committee staff and the investment consultant, the chair of the Endowment Management Committee and the chair of the Finance and Administration Committee jointly determine that time is of the essence and immediate action in lieu of a called committee meeting is necessary to hire or terminate an investment manager, the recommended change can then be made. The chair of the Endowment Management Committee will have the staff immediately report any such action taken to the members of the Endowment Management Committee and the Chairman of the Board of Regents after such action is taken.

Asset Allocation

The non-endowed funds will be allocated into three tiers of liquidity to better reflect the actual liquidity requirements of these assets. The approved liquidity tiers and target allocation to each tier is as follows:

1. 40% allocated to a cash pool with a one-year time horizon; designed to meet the annual operating needs of the system. This cash pool will be invested in money market funds in conformity with section III. The benchmark for this pool will be the Merrill Lynch 91-Day T-Bill Index.
2. 40% allocated to a liquidity pool with a horizon of one to five years, designed to serve as a margin of safety in the unlikely event that the cash pool is insufficient to meet spending needs. The liquidity pool will be invested in intermediate-term bonds in conformity with section III. The benchmark for this proposal will be the Lehman Brothers Intermediate-Term Government Bond Index.
3. 20% allocated to a core pool with an indefinite time horizon. This pool will be much more broadly diversified than either the cash pool or the liquidity pool and will be designed to generate a higher return over longer periods while remaining liquid enough to serve as a source of funds under extreme circumstances. The core pool may be invested in any asset classes as approved by the Endowment Management Committee. The benchmark for this pool will be the Dynamic Portfolio Benchmark used to measure the performance of the Endowment.

A customized Total Portfolio Benchmark will be employed to measure the overall performance of the portfolio. This benchmark will blend the returns of the three benchmarks specified above, weighted according to the target allocation for each respective tier.

Policy targets and allowable ranges for the Non-Endowed Funds are as follows:

	Target Allocation	Allowable Range
Cash Pool	40%	40 – 100%
Liquidity Pool	40%	0 – 50%
Core Pool	20%	0 -25%

Rebalancing

Given the substantial difference in expected return among these three pools, and the regular use of funds from the cash pool for operational purposes, it is expected that these pools will need to be rebalanced fairly frequently. The Endowment Management Committee should consider rebalancing a pool when it exceeds a five percent over-or-underweight relative to its allowable ranges.

Between meetings of the Committee, should the exposure for any pool reach a level of 5% or more beyond the uppermost limit or 5% below the lower end of the specified range as measured at the end of the month, the Treasurer shall consult with the System's Investment Consultant (if any) and make a recommendation to the Executive Vice Chancellor or designee as to the appropriate rebalancing actions to take. By mutual agreement, those parties may then proceed to take what rebalancing actions they deem to be reasonable and practical. Any rebalancing actions taken shall be communicated to the entire Committee by the Executive Vice Chancellor in a timely matter but in any case, no later than the next Committee meeting.

Quality and Capability of Investment Management

The System will provide periodic training in investments for the System Investment Officers through courses and seminars offered by professional organizations and associations in order to insure the quality, capability and currency of the System Investment Officers in making investment decisions.

III. INVESTMENT TYPES

The System Investment Officer shall use any of the following authorized investment instruments consistent with this policy and governing law.

A. The following are authorized investments:

(1) obligations of the United States or its agencies and instrumentalities;

(2) direct obligations of this state or its agencies or instrumentalities;

(3) collateralized mortgage obligations directly issued by a federal agency or instrumentality of the United States, the underlying security for which is guaranteed by an agency or instrumentality of the United States;

(4) other obligations, the principal and interest of which are unconditionally guaranteed or insured by, or backed by the full faith and credit of, this state or the United States or their respective agencies and instrumentalities; and

(5) obligations of states, agencies, counties, cities, and other political subdivisions of any state rated as to investment quality by a nationally recognized investment rating firm not less than A or its equivalent.

B. Certificates of deposit if issued by a state or national bank domiciled in this state or a savings and loan association domiciled in this state that are:

(1) guaranteed or insured by the Federal Deposit Insurance Corporation or its successor;

(2) secured by obligations that are described by Section 2256.009(a) of the Public Funds Investment Act, including mortgage backed securities directly issued by a federal agency or instrumentality that have a market value of not less than the principal amount of the certificates; or

(3) secured in any other manner and amount provided by law for deposits of the System.

C. A fully collateralized repurchase agreement or reverse repurchase agreement, as defined in the Public Funds Investment Act, if it:

(1) has a defined termination date;

(2) is secured by obligations described by Section 2256.009(a)(1) of the Public Funds Investment Act;

(3) requires the securities being purchased by the System to be pledged to the System, held in the System's name, and deposited at the time the investment is made with the System or with a third party selected and approved by the System; and

(4) is placed through a primary government securities dealer, as defined by the Federal Reserve, or a financial institution doing business in this state.

Notwithstanding any law, the term of any reverse security repurchase agreement may not exceed 90 days after the date the reverse security repurchase agreement is delivered. Money received by a System under the terms of a reverse security repurchase agreement shall be used to acquire additional authorized investments, but the term of the authorized investments acquired must mature not later than the expiration date stated in the reverse security repurchase agreement.

D. A banker's acceptance if it:

(1) has a stated maturity of 270 days or fewer from the date of its issuance;

(2) will be, in accordance with its terms, liquidated in full at maturity;

(3) is eligible for collateral for borrowing from a Federal Reserve Bank; and

(4) is accepted by a bank organized and existing under the laws of the United States or any state, if the short-term obligations of the bank, or of a bank holding company of which the bank is the largest subsidiary, are rated not less than A-1 or P-1 or an equivalent rating by at least one nationally recognized credit rating agency.

E. Commercial paper if it:

(1) has a stated maturity of 270 days or fewer from the date of its issuance; and

(2) is rated not less than A-1 or P-1 or an equivalent rating by at least:

(A) two nationally recognized credit rating agencies; or

(B) one nationally recognized credit rating agency and is fully secured by an irrevocable letter of credit issued by a bank organized and existing under the laws of the United States or any state.

F. Mutual Funds and money market mutual funds with limitations described below:

A no-load money market mutual fund is authorized if it:

(1) is regulated by the Securities and Exchange Commission;

(2) has a dollar-weighted average stated maturity of 90 days or fewer; and

(3) includes in its investment objectives the maintenance of a stable net asset value of $1 for each share.

A no-load mutual fund is authorized if it:

(1) is registered with the Securities and Exchange Commission;

(2) is Securities and Exchange Commission 2a-7 compliant;

(3) has an average weighted maturity of less than two years;

(4) is invested exclusively in obligations approved by this policy; and

(5) is continuously rated as to investment quality by the two nationally recognized statistical rating organizations that rate our debt of not less than AAA or its equivalent.

The System may not invest its funds or funds under its control, including bond proceeds and reserves and other funds held for debt service, in any one mutual fund or money market mutual fund in an amount that exceeds 10 percent of the total assets of the mutual fund or money market mutual fund.

G. Eligible investment pools approved by the Board. An investment pool shall invest the funds it receives from entities in authorized investments permitted by the Public Funds Investment Act. The System by contract may delegate to an investment pool the authority to hold legal title as custodian of investments purchased with its local funds.

H. Cash management and fixed income funds sponsored by organizations exempt from federal income taxation under Section 501 (f), Internal Revenue Code of 1986.

I. Negotiable certificates of deposit issued by a bank that has a certificate of deposit rating of at least 1 or the equivalent by a nationally recognized credit rating agency or is associated with a holding company having a commercial paper rating of at least A-1, P-1, or the equivalent by a nationally recognized credit rating agency .

J. Corporate bonds, debentures, or similar debt obligations rated by a nationally recognized investment rating firm in one of the two highest long-term rating categories, without regard to gradation within those categories.

K. The purchase of units in the System Endowment Fund up to an amount not to exceed 20% of the non-endowed funds.

Prohibited Investment Instruments

The System Investment Officer or appointed investment management company has no authority to use any of the following investment instruments:

(1) obligations whose payment represents the coupon payments on the outstanding principal balance of the underlying mortgage-backed security collateral and pays no principal;

(2) obligations whose payment represents the principal stream of cash flow from the underlying mortgage-backed security collateral and bears no interest;

(3) collateralized mortgage obligations the interest rate of which is determined by an index that adjusts opposite to the changes in a market index;

(4) any security prohibited by the laws of the State of Texas. The Treasurer will inform investment managers of any such prohibitions on an ongoing basis.

IV. INVESTMENT RESPONSIBILITY AND CONTROL

Investment Institutions Defined

The System Investment Officer shall invest System funds with any or all of the following institutions or groups consistent with federal and state law and the current Depository Bank contract:

(1) Depository bank;

(2) Other state or national banks domiciled in Texas that are insured by the FDIC;

(3) Public funds investment pools; or

(4) Government securities brokers and dealers.

Standards of Operation

The System Investment Officer shall develop and maintain written administrative procedures for the operation of the investment program, consistent with this investment policy.

Delivery vs. Payment

All Treasury Bills, Notes and Bonds and Government Agencies' securities shall be purchased using the "Delivery vs. Payment" (DVP) method through the Federal Reserve System. By doing so, System funds are not released until the System has received, through the Federal Reserve wire, the securities purchased.

Standard of Care

Investments shall be made with judgment and care, under prevailing circumstances, that a person of prudence, discretion, and intelligence would exercise in the management of the person's own affairs, not for speculation, but for investment, considering the probable safety of capital and the probable income to be derived. Investment of funds shall be governed by the following investment objectives, in order of priority: preservation and safety of principal; liquidity; and yield. In determining whether an investment officer has exercised prudence with respect to an investment decision, the determination shall be made taking into consideration:

(1) the investment of all funds, or funds under the System's control, over which the officer had responsibility rather than a consideration as to the prudence of a single investment; and

(2) whether the investment decision was consistent with the written investment policy of the System.

V. INVESTMENT REPORTING AND PERFORMANCE EVALUATION

Not less than quarterly, the System shall submit to the ~~Board~~ Endowment Management Committee a written report of the System's investment transactions for the preceding reporting period. The report shall contain:

A. A summary statement of each pooled fund group that states the beginning market

value for the reporting period, additions and changes to the market value during the period, and the ending market value for the period.

B. The book value and market value for each separately invested asset at the beginning and end of the reporting period by type of asset and fund type invested.

For purpose of this section:

"Pooled fund group" means an internally created fund in which one or more institutional accounts are invested; and "Separately invested asset" means an account that is not invested in a pooled fund group.

VI. INVESTMENT COLLATERAL AND SAFEKEEPING

Collateral or Insurance

The System Investment Officer shall ensure that all System funds are fully collateralized or insured consistent with federal and state law and the current Bank Depository Contract in one or more of the following manners:

(1) FDIC insurance coverage;

(2) Obligations of the United States or its agencies and instrumentalities.

Safekeeping

All purchased securities shall be held in safekeeping by the System, or a System account in a third party financial institution, or with the Federal Reserve Bank. All pledged securities by the Depository Bank shall be held in safekeeping by the System, or a System account in a third party financial institution, or with a Federal Reserve Bank.

Review and Modification of Policy

The Endowment Management Committee shall review this Policy at least once a year to determine if modifications are necessary or desirable. If substantive modifications are made, they shall be promptly communicated to ~~the Investment Managers and other~~ responsible parties~~, if necessary~~.

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Endowment Management

ITEM: Approval is requested for delegation of authority to the Chancellor to negotiate and execute contracts associated with the hiring of one or more investment managers for the University of Houston System Endowment

DATE PREVIOUSLY SUBMITTED:

SUMMARY: The Endowment Management Committee received a report from the system's investment consultant, Cambridge Associates, regarding their recommendation to hire one or more new investment managers for the endowment fund as part of a restructuring of the U.S. domestic equity manager structure. Each of the investment managers identified in the report was evaluated. Therefore, approval is requested for delegation of authority to the Chancellor to negotiate and execute contracts associated with the hiring of one or more investment managers for the University of Houston System Endowment Fund.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT _____ **DATE** _____

EXECUTIVE VICE CHANCELLOR Carl P. Carlucci **DATE** 2/11/2010

CHANCELLOR Renu Khator **DATE** 2/11/10

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

FACILITIES, CONSTRUCTION AND MASTER PLANNING

AGENDA ITEM(S)

FOR

FEBRUARY 16, 2010

BOARD OF REGENTS MEETING

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE:	Facilities, Construction and Master Planning
ITEM:	Approval is requested to name the new freshman residence hall under construction as the University of Houston Cougar Village
DATE PREVIOUSLY SUBMITTED:	N/A

SUMMARY: Approval is requested to name the Freshman Residence Hall – Cougar Village. The naming of housing at the University of Houston has followed three primary approaches. The first approach involved naming housing structures after dedicated administrators such as Taub, Settegast, Law and Oberholtzer. The second approach was used at Moody Towers and involved naming the facility for a financial contributor to the campus. The third and more recent approach has been to include a geographical reference point in the name; thus, we have Cullen Oaks for the facility along Cullen, Bayou Oaks for the Greek Housing adjacent to the bayou, and Calhoun Lofts for the graduate housing Calhoun Road.

The proposed name Cougar Village takes a different approach. The word Cougar reflects the youthful "Cougar spirit" of the first year student residents. The "Village" illustrates that inside the building organized programming will build a community comprised of interest group wings and learning activities including an Arts wing, a Business wing, as well as learning workshops.

FISCAL NOTE:

SUPPORTING DOCUMENTATION:

ACTION REQUESTED: Approval

COMPONENT: University of Houston

Renu Khator. — **PRESIDENT** — Renu Khator — **DATE** 2-2-10

EXECUTIVE VICE CHANCELLOR — Carl Carlucci — **DATE** 1/22/2010

Renu Khator — **CHANCELLOR** — Renu Khator — **DATE** 2-2-10

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Facilities, Construction and Master Planning

ITEM: Approval of UH Renovation of Science, Engineering, and Classroom Laboratory build-out Phase 4.

DATE PREVIOUSLY SUBMITTED: Phase III was approved in August 2009

SUMMARY: Approval is requested for the fourth phase of the SERC Laboratory build-out. This phase will include 2nd and 4th floors.

The 2nd floor will be constructed to house biomedical research. The 4th floor will be constructed to house molecular biology.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: SERC Phase 4 Renovation Program

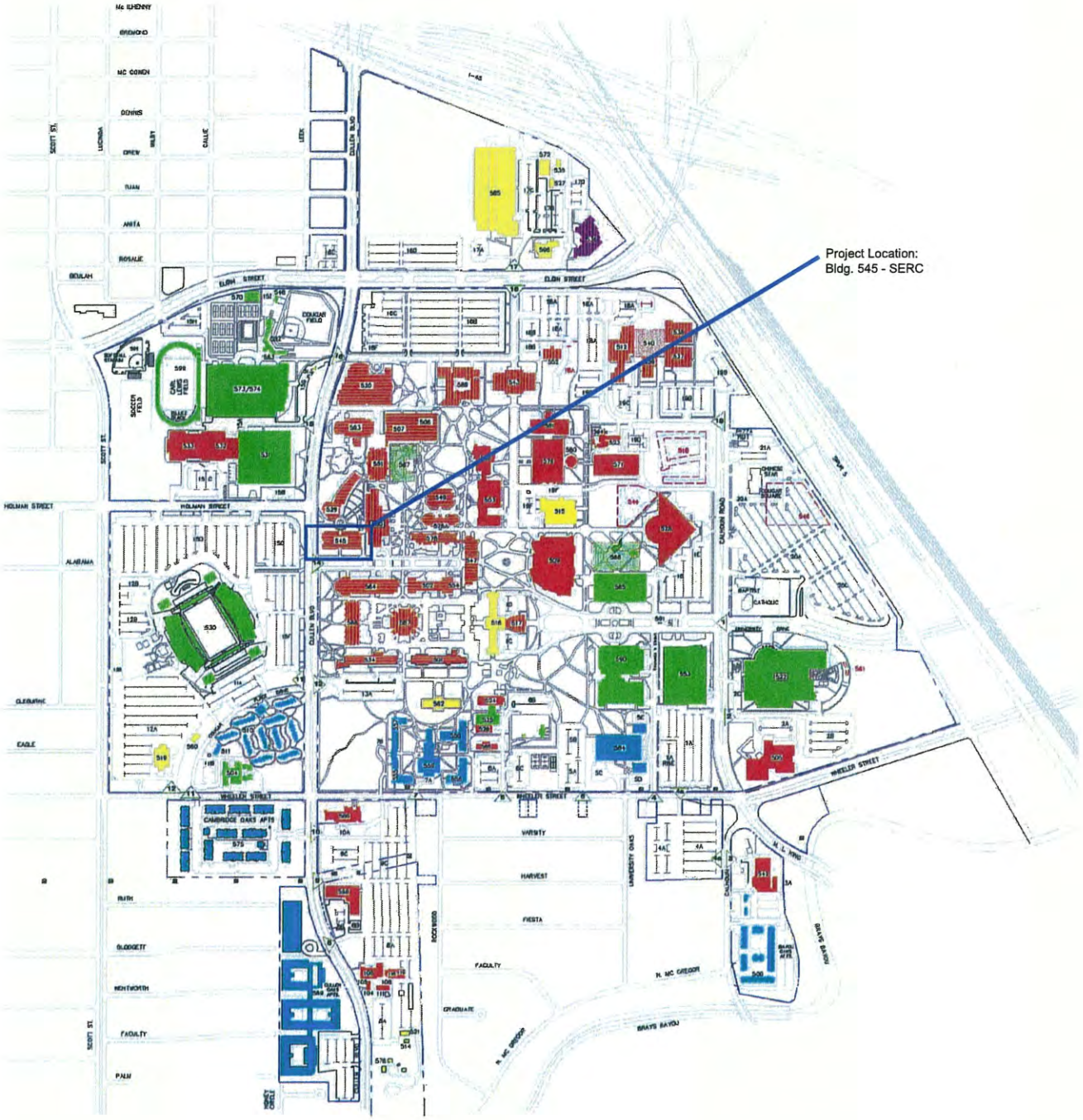
ACTION REQUESTED: Approval

COMPONENT: University of Houston

Renu Khator 2-2-10
PRESIDENT Renu Khator **DATE**

1/22/2010
EXECUTIVE VICE CHANCELLOR Carl Carlucci **DATE**

Renu Khator 2-2-10
CHANCELLOR Renu Khator **DATE**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Bldg. 545 - SERC
ELGIN STREET
HOLMAN STREET
WHEELER STREET
CULLEN BLVD
CALHOUN ROAD
SCOTT ST.
CAMBRIDGE OAKS APTS
VARSITY
HARVEST
FIESTA
FACULTY
GRADUATE
N. MC GREGOR
BRAYS BAYOU
ROCKWOOD
LUNDERSTY OAKS
CATHOLIC
SOCCER FIELD
COUGAR FIELD
ALABAMA
EAGLE
BUTH
BLODGETT
KENTWORTH
PALM
BEULAH
ROSALIE
ANITA
JUAN
DREW
DENNIS
MC COWEN
GREMOND
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MILBY
CALLIE
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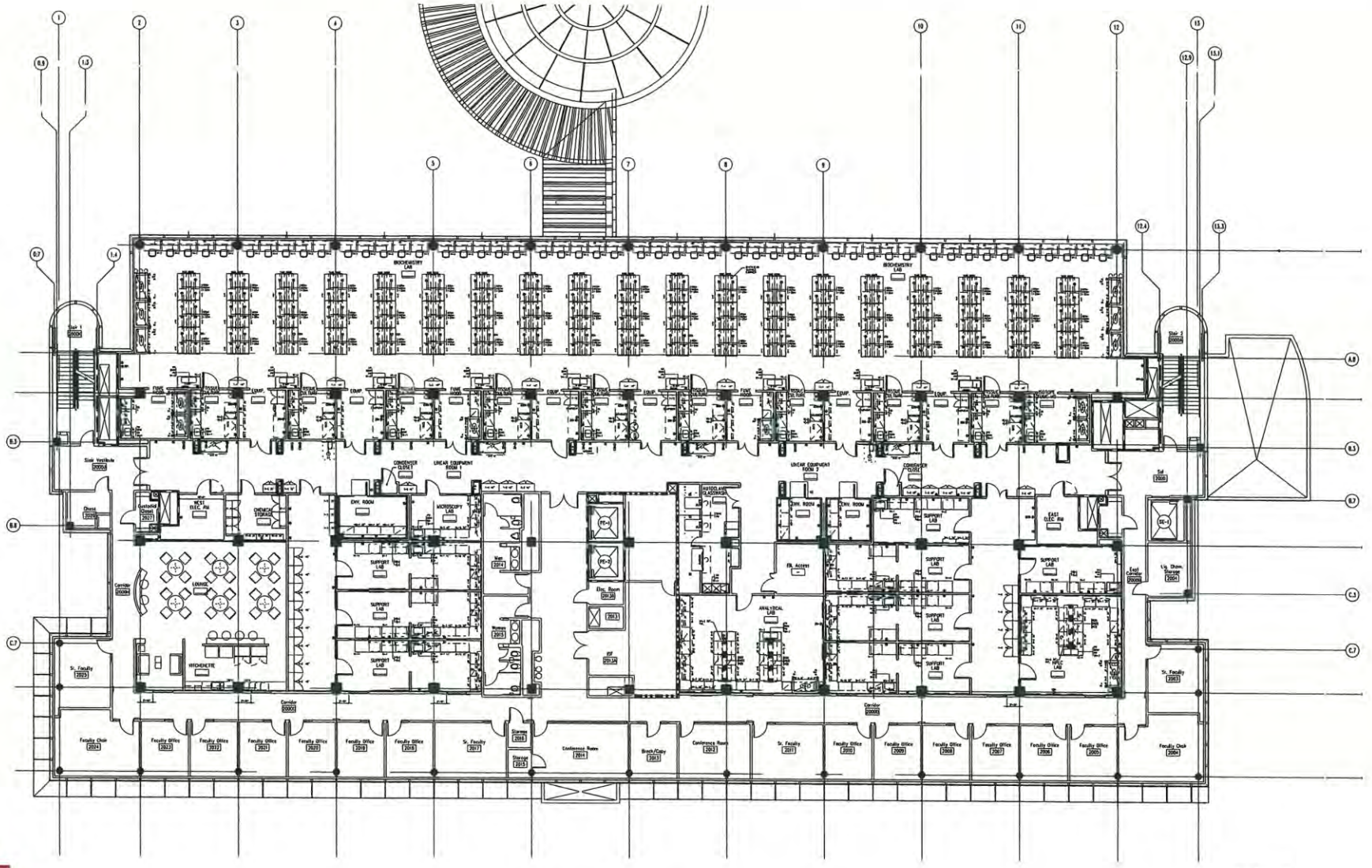
FCMP – 2.1.1

FCMP – 2.1.2



Second Floor
UH SERC Buildout - Phase
081908

Not for regulatory approval, bidding, or construction.

By: Victor Galmmins Jr.

Texas Reg. No.: 05982

Date: 6/19/2008

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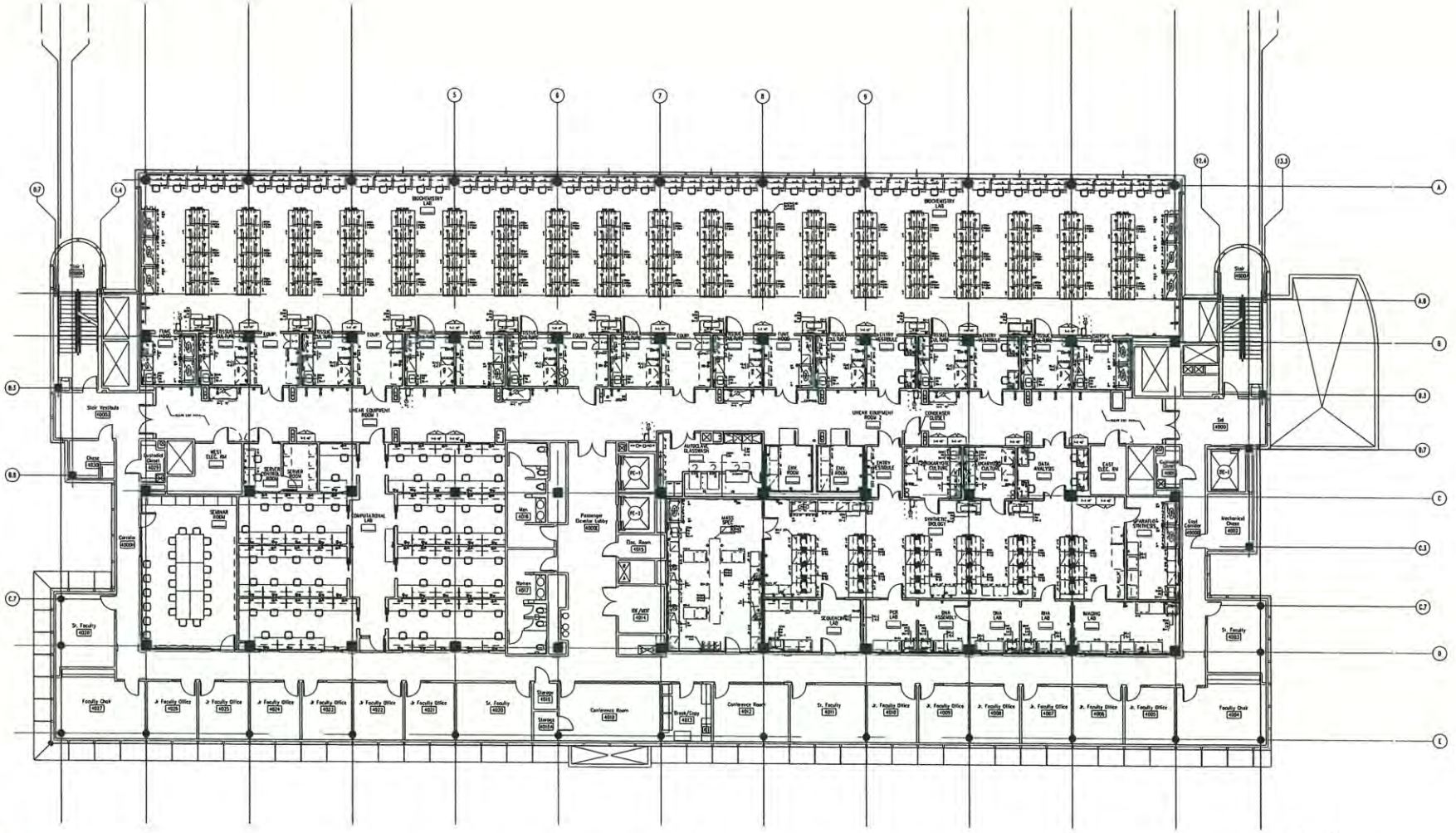
FCMP – 2.1.3



Fourth Floor
UH SERC Buildout - Phase
081908

Not for regulatory approval, bidding, or construction.

By: Victor Gabomina Jr.

Texas Reg. No.: 05982

Date: 6/15/2006

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FCMP – 2.1.4

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

FINANCE & ADMINISTRATION

AGENDA ITEM(S)

FOR

FEBRUARY 16, 2010

BOARD OF REGENTS MEETING

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval is requested for the changes to the undergraduate tuition and fee schedule for the University of Houston System campuses.

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested for undergraduate tuition and fee rate changes for the University of Houston System campuses. The requested changes are to be charged starting in the Fall 2010 semester. The rates presented will result in an average increase for a 12 hour resident undergraduate student of 3.95% at the University of Houston; 4.5% at UH-Clear Lake; 5.1% at UH-Downtown; and 3.8% at UH-Victoria.

The rate changes and estimated revenues to be realized are presented for each university in the supporting documentation. Policies governing tuition, fees, and other charges are approved by the Board of Regents of the University of Houston System and comply with applicable state statutes. Tuition and fees are subject to change by legislative or Board action and become effective on the date enacted.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Undergraduate Tuition and Fee Recommendations

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
EXECUTIVE VICE CHANCELLOR	Carl Carlucci	Feb 2, 2010 **DATE**
CHANCELLOR	Renu Khator	2-2-10 **DATE**

University of Houston
12 Credit Hours per Semester
RESIDENT UNDERGRADUATE Students

12 Hours

FY2011 vs FY2010

	FY2010		Dollar Change		Percent	FY2011	
Tuition	Rate	12 Hours	Rate	12 Hours	Change	Rate	12 Hours
Statutory Tuition (per hour)	$ 50.00	$ 600.00	$ -	$ -	0.0%	$ 50.00	$ 600.00
General Designated Tuition (per hour)	128.45	1,541.40	11.46	137.52	8.9%	139.91	1,678.92
Differential Designated Tuition (average per hour)	6.32	75.84	12.77	153.24	202.1%	19.09	229.08
Subtotal Tuition	184.77	2,217.24	24.23	290.76	13.1%	209.00	2,508.00
College Fees (average per hour)	42.09	505.08	(12.77)	(153.12)	-30.3%	29.33	351.96
University-wide Fees							
Student Service Fee (max per sem, incl Health Ctr) *	185.00	185.00	(185.00)	(185.00)	-100.0%	-	-
University Center Fee (per semester) *	35.00	35.00	(35.00)	(35.00)	-100.0%	-	-
Rec. Wellness Center Fee (per semester) *	84.00	84.00	(84.00)	(84.00)	-100.0%	-	-
Consolidated Student Services Fee (per semester) **			304.00	304.00	n/a	304.00	304.00
Technology Fee (per hour) *	13.75	165.00	(13.75)	(165.00)	-100.0%	-	-
Library Fee (per hour) *	9.00	108.00	(9.00)	(108.00)	-100.0%	-	-
International Education Fee (per semester) *	1.00	1.00	(1.00)	(1.00)	-100.0%	-	-
Campus Card Fee (per semester) *	6.00	6.00	(6.00)	(6.00)	-100.0%	-	-
Instructional Access Fee (per hour) *	3.50	42.00	(3.50)	(42.00)	-100.0%	-	-
Student Academic Service Fee (per hour) *	2.75	33.00	(2.75)	(33.00)	-100.0%	-	-
Utility Assessment (per hour) *	8.50	102.00	(8.50)	(102.00)	-100.0%	-	-
Consolidated University Services Fee (per hour) **			38.08	456.96	n/a	38.08	456.96
Subtotal University-wide Fees		761.00	(0.00)	(0.04)	0.0%		760.96
Total Tuition and Fees per Semester		$ 3,483.36		$ 137.52	3.95%		$ 3,620.88

* Existing fees to be combined into consolidated fees for billing purposes
** Combined fee for billing purposes

F&A – 1.1.1

University of Houston - Clear Lake

12 Credit Hours per Semester

RESIDENT UNDERGRADUATE Students

12 Hours

FY2011 vs FY2010

University Total	FY2010		Dollar Change		Percent	FY2011	
Tuition	Rate	12 Hours	Rate	12 Hours	Change	Rate	12 Hours
Statutory Tuition (per hour)	$ 50.00	$ 600.00	$ -	$ -	0.0%	$ 50.00	$ 600.00
General Designated Tuition (per hour)	102.00	1,224.00	7.00	84.00	6.9%	109.00	1,308.00
Differential Designated Tuition (per hour)	2.32	27.84	2.11	25.32	90.9%	4.43	53.16
Subtotal Tuition	154.32	1,851.84	9.11	109.32	5.9%	163.43	1,961.16
School Fees (average)	4.32	51.84	0.09	1.08	2.1%	4.41	52.92
University-wide Fees							
Student Service Fee (per hour)	30.00	180.00	3.00	18.00	10.0%	33.00	198.00
Computer Use Fee (per hour)	16.00	192.00	-	-	0.0%	16.00	192.00
Student Center Fee (per semester)	30.00	30.00	-	-	0.0%	30.00	30.00
Library Fee (per hour)	6.00	60.00	-	-	0.0%	6.00	60.00
Extended Access and Support Fee (per hour)	6.00	54.00	-	-	0.0%	6.00	54.00
Writing Center Support Fee (per semester)	9.00	9.00	-	-	0.0%	9.00	9.00
Student ID Card Fee (per semester)	3.00	3.00	-	-	0.0%	3.00	3.00
Transcript and Publication (per semester)	15.00	15.00	-	-	0.0%	15.00	15.00
E-Services (per semester)	13.00	13.00	-	-	0.0%	13.00	13.00
Utility Surcharge (two-tiered flat rate, by load)	16.00	16.00	(16.00)	(16.00)	-100.0%	-	-
International Education Fee (per semester)	2.00	2.00	-	-	0.0%	2.00	2.00
Subtotal University-wide Fees		574.00		2.00	0.3%		576.00
Total Tuition and Fees per Semester		$ 2,477.68		$ 112.40	4.5%		$ 2,590.08

F&A – 1.1.2

University of Houston-Downtown

Cost of 12 Credit Hours per Semester

RESIDENT UNDERGRADUATE Students

12 Hours

FY2011 vs FY2010

	FY2010		Dollar Change		Percent	FY2011	
Tuition	Rate	12 Hours	Rate	12 Hours	Change	Rate	12 Hours
Statutory Tuition (per hour)	$ 50.00	$ 600.00	$ -	$ -	0.0%	$ 50.00	$ 600.00
General Designated Tuition (per hour)	90.00	1,080.00	8.00	96.00	8.9%	98.00	1,176.00
Differential Designated Tuition COB ($2 per hour) - NEW			0.57	6.79	NA	0.57	6.79
Subtotal, Tuition	140.00	1,680.00	8.57	102.79	6.1%	148.57	1,782.79
College Fees (average)	0.78	9.36	-	-	0.0%	0.78	9.36
University-wide Fees							
Student Service Fee (per hour)	15.00	140.00	5.00	-	0.0%	20.00	140.00
Computer Use Fee (per hour)	14.00	168.00	2.00	-	0.0%	16.00	168.00
University Center Fee (two-tiered flat rate, by load)	25.00	25.00	10.00	10.00	40.0%	35.00	35.00
Library Fee (per hour)	6.00	60.00	2.00	-	0.0%	8.00	60.00
Student Record Fee (per head)	15.00	15.00	5.00	5.00	33.3%	20.00	20.00
Student Advising Fee (per hour)	3.00	36.00	-	-	0.0%	3.00	36.00
International Education Fee (per head)	3.00	3.00	2.00	2.00	66.7%	5.00	5.00
Utility Assessment (two-tiered flat rate, by load) - ELIMINATED	15.00	15.00	(15.00)	(15.00)	-100.0%	-	-
Extended Access & Support - (per hour)	6.00	54.00	2.00	8.00	14.8%	8.00	62.00
Subtotal, University-wide Fees		516.00		10.00	1.9%		526.00
Total Tuition and Fees per Semester		$ 2,205.36		$ 112.79	5.1%		$ 2,318.15

F&A – 1.1.3

University of Houston-Victoria

Cost of 12 Credit Hours per Semester

RESIDENT UNDERGRADUATE Students

12 Hours

FY2011 vs FY2010

	FY2010		Dollar Change		Percent	FY2011	
Tuition	Rate	12 Hours	Rate	12 Hours	Change	Rate	12 Hours
Statutory Tuition (per hour)	$ 50.00	$ 600.00	$ -	$ -	0.0%	$ 50.00	$ 600.00
General Designated Tuition (per hour)	93.00	1,116.00	6.00	72.00	6.5%	99.00	1,188.00
Subtotal Tuition	143.00	1,716.00	6.00	72.00	4.2%	149.00	1,788.00
College Fees (average)	-	-	-	-	0.0%	-	-
University-wide Fees							
Student Service Fee (per semester)	150.00	150.00	12.00	12.00	8.0%	162.00	162.00
Extended Access and Support Fee (per hour)	24.00	288.00	0.00	0.00	0.0%	24.00	288.00
Library Fee (per hour)	3.00	36.00	0.00	0.00	0.0%	3.00	36.00
Subtotal University-wide Fees		474.00		12.00	2.5%		486.00
Total Tuition and Fees per Semester		$ 2,190.00		$ 84.00	3.8%		$ 2,274.00

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

University of Houston
FY2011 Academic Tuition and Fee Changes - Undergraduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 768,265
	GENERAL DESIGNATED TUITION INCREASE	7,482,259
	DIFF DESIGNATED TUITION INCREASE (Grad & Prof)	(22,692)
	COURSE AND USER FEE INCREASES	(208,385)
	EXECUTIVE/STUDY ABROAD PROGRAMS	
	SUBTOTAL	**8,019,447**
	CONSOLIDATION OF FEES (DELETIONS)	**(9,542,295)**
	CONSOLIDATION OF FEES (DIFF DESIG TUIT INCR)	**9,565,000**
	SUBTOTAL	**22,705**
	TOTAL UNIVERSITY OF HOUSTON	**$ 8,042,152**

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston					
Tuition					
Statutory Tuition					
Resident					
Undergraduate	$50/SCH	$0	$50/SCH	$ -	Resident tuition is established by statute and will not change for FY2011.
Non-resident					
Undergraduate	$327/SCH	$33/SCH	$360/SCH	768,265	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
Subtotal Statutory Tuition				**$ 768,265**	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Designated Tuition					
General Designated Tuition					
Undergraduate	$128.45/SCH	$11.46/SCH	$139.91/SCH	$ 7,482,259	Rate per hour charged, except as discounted below. This funding will provide support for student success in the form of enrollment and support initiatives (advisors, recruiters, customer service staff, classrooms, teaching labs, library resources), scholarships, and instructional faculty positions. **Weekend College Courses** General designated tuition for certain courses offered as Weekend College on Fridays and weekends will be discounted by $12 per hour. **Undergraduate Education Courses** General designated tuition for undergraduate education courses will be discounted by $12 per hour.
Subtotal Designated Tuition				**$ 7,482,259**	
Differential Designated Tuition					
Optometry					
Undergraduate	$72.50/SCH	($72.50)/SCH	$0	$ (22,692)	Delete Fee
Natural Sciences & Mathematics					
Biology & Biochemistry Undergraduate	$0	$12/SCH	$12/SCH	402,000	Offset to elimination of fees
Chemistry Undergraduate	$0	$21/SCH	$21/SCH	509,000	
Computer Science Undergraduate	$0	$54/SCH	$54/SCH	230,000	
Geology Undergraduate	$0	$54/SCH	$54/SCH	157,000	
Mathematics Undergraduate	$0	$18/SCH	$18/SCH	1,200,000	
Physics Undergraduate	$0	$9/SCH	$9/SCH	117,000	
NSM Undergraduate	$4.50/SCH	$39.50/SCH	$44/SCH	6,950,000	
Subtotal Differential Designated Tuition				**$ 9,542,308**	
Subtotal Tuition				**$ 17,792,832**	

F&A – 1.1.7

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Academic Fees					
General Academic Fees					
Consolidated Univ Services Fees Undergrad	NA	$38.08/SCH	$38.08/SCH	$ -	Revenue neutral fee consolidation
Technology Fee	$13.75/SCH	($13.75)/SCH	$0	-	
Library Fee	$9.00/SCH	($9.00)/SCH	$0	-	
International Education Fee	$1.00/sem	($1.00)/sem	$0	-	
Campus Card Fee	$6.00/sem	($6.00)/sem	$0	-	
Instructional Access Fee	$3.50/SCH	($3.50)/SCH	$0	-	
Student Academic Service Fee	$2.75/SCH	($2.75)/SCH	$0	-	
Utility Assessment Fee	$8.50/SCH	($8.50)/SCH	$0	-	
College, Program, and Course based Incidental Fees					
Architecture					
Studio support Fee	$140/Course	($140)/Course	$0	(129,262)	Delete fee
Architecture Program Fee	$271/major	$238/major	$509/major	129,262	Course fee consolidation
Saintes Program Deposit	$350/program	$150/program	$500/program	7,000	For processing and administrative costs related international travel.
Mexico City Program Fee	$3,000/program	$2,000/program	$5,000/program	100,000	To cover processing and administrative costs related to international travel.
Business					
Disc Student Service Fee	$32.75/Course	($32.75)/Course	$0	(12,362)	Delete fee
Disc Foundation	$32.75/Course	$0	$32.75/Course	12,362	Course fee consolidation
MBA Orientation Fee	$225/new student	$75/new student	$300/new student	21,000	Second year orientation
Liberal Arts and Social Sciences					
Art Painting Fee	$50/course	$25/course	$75/course	438	Align painting program which schedules Life Drawing courses and increases to cover current expenses for models used at each class session.
Art Course Fee	$0	$35/course	$35/course	490	New course need fee to support visiting artists and curators and computer needs for software, supplies, peripherals for digital portfolios and visual presentations.
Art Photo/ Digital Media Fee	$100/course	$0	$100/course	7,350	Add course with dedicated computer labs.
Art Sculpture Fee	$100/course	$0	$100/course	1,050	Add course to support instruction in sculpture studios.
Art Slide Maintenance Fee	$33/course	$0	$33/course	4,828	Add course to maintain art history slides, Art Visual Resource Library and provide technical support.
English - Modern/Classical Language Film Fee	$10/course	$0	$10/course	560	New course to schedule use media for teaching
Music - Applied Music Fee	$200/course	$0	$200/course	2,800	New course to support material and equipment costs

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Academic Fees (continued)					
Education					
Human Development Lab	$750/student	($750)/student	NA	(387,597)	Delete fee
Human Development Lab Supply Fee	$150/semester	($150)/semester	$0	-	
Human Development Late Fee	$10% Tuition Rate	($10%) Tuition Rate	$0	-	
Engineering					
BIOMED Lab Materials Course Fee	$30/course	($30)/course	NA	(1,050)	Deleted fee
BIOMED Lab Fee	$20/course	($18)/course	$2/course	(490)	Reduce fee to $2 state minimum
BIOMED UG Major Fee	$0	$35/SCH	$35/SCH	15,890	Restructure and collapse current course/lab fee to support increased cost of materials, software and supplies
Civil Eng - Lab Fee	$30/course	($28)/course	$2/course	(4,995)	Reduce fee to $2 state minimum
Civil Eng - Major Fees	$0	$6/SCH	$6/SCH	18,501	Restructure and collapse current course/lab fee to be used for academic support
Industrial Eng - Lab Fee	$30/course	($28)/course	$2/course	(1,050)	Reduce fee to $2 state minimum
Industrial Eng - Major Fee	$0	$6/SCH	$6/SCH	18,959	Restructure and collapse current course/lab fee to be used for academic support
Industrial Eng -Materials Fee	$45/course	($45)/course	$0	(17,052)	Delete fee
MECE Lab Fee	$5/course	($3)/course	$2/course	(1,050)	Reduce fee to $2 state minimum
Mechanical Eng - Major Fee	$0	$6/SCH	$6/SCH	29,516	Restructure and collapse current course/lab fee to support increased cost of materials, software and supplies
Mechanical Eng - Incidental Fee	$30/course	($30)/course	$0	(14,700)	Delete fee
Honors					
Student Activity/Material Use Fee	$70/student	$30/student	$100/student	15,000	Furnishings, equipment, publications, special events to enhance and assist with graduation ceremony, student organizations, student travel and staff support
Academic Support Svcs Fee	$70/student	$30/student	$100/student	15,000	To support academic advising , instructional support, orientations and operations.
Hotel & Restaurant Mgmt					
Computer Support Fee	$75/major	($75)/major	$0	(145,950)	Delete Fee
Course Fee	$0	$80/course	$80/course	3,696	Supplies for operating lab
Academic Services Fee	$375/major	$75/major	$450/major	145,950	Consolidation of fee

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Academic Fees (continued)					
Natural Sciences & Mathematics					
Bio-Lab Facilities Support & Maint Fee	$120/course	($120)/course	$0	(198,000)	Delete Fee
Bio Sciences Academic Svc Fee	$30/Major	($30)/Major	$0	(161,000)	Delete Fee
BCHS Lab Facilities & Maintenance Fee	$120/course	($120)/course	$0	(43,000)	Delete Fee
Chemistry Lab & Support Fee	$90/course	($90)/course	$0	(394,082)	Delete Fee
Chemistry Equip Access Fee	$75/course	($75)/course	$0	(115,692)	Delete Fee
Computer Science Equip Access Fee	$70/course	($70)/course	$0	(190,000)	Delete Fee
Geology Course Supplies and Samples Fee	$40/course	($40)/course	$0	(130,000)	Delete Fee
Geosciences Field Trip Fee	$80/course	($80)/course	$0	(30,000)	Delete Fee
Math Student Resource Fee	$39.75/course	($39.75)/course	$0	(880,000)	Delete Fee
Math Course Materials Fee	$20/course	($20)/course	$0	(375,000)	Delete Fee
Physics Equip Maint & Repair Fee	$30/course	($30)/course	$0	(117,000)	Delete Fee
NSM Education Resource Fee	$42.60/SCH	($42.60)/SCH	$0	(6,800,000)	Delete Fee
NSM Academic Advising Fee	$19/major	($19)/major	$0	(151,000)	Delete Fee
Library					
Int'l Library Loan - Local Fax Fee	$1/page	($1)/page	$0	-	Delete Fee
Int'l Library Loan - Long Distance Fax Fee	$2/page	($2)/page	$0	-	Delete Fee
Library Rental - Locker Key Fee	$20/incident	($20)/incident	$0	-	Delete Fee
Library Rental - Lost Locker Key Fee	$28/incident	($28)/incident	$0	-	Delete Fee
Library Rental - Semester Locker Fee	$10/incident	($10)/incident	$0	-	Delete Fee
Technology					
CMRT Lab Fee	$20/course	($20)/course	$0	-	Delete Fee
ITEC Laboratory Fee	$25/course	($25)/course	$0	-	Delete Fee
ELET Lab Fee	$2/course	($2)/course	$0	-	Delete Fee
HDCS Lab Fee	$30/course	($30)/course	$0	-	Delete Fee
Tech/Engr Technology Fee	$2/course	($2)/course	$0	-	Delete Fee
Subtotal Academic Fees				**$ (9,750,680)**	
Off-Campus Fees					
Recreation Center Fee	$84/sem	($84)/sem	$0	$ (150,710)	To align fee to use of both on and off campus services by Distance Ed & off-campus students
University Center Fee	$35/sem	($35)/sem	$0	(361,704)	
Student Service Fee	$185/sem	($92.50)/sem	$92.50/sem	(398,305)	
Consolidated Student Service Fee Waiver	NA	$211.50/sem	($211.50)/sem	910,719	
Total Academic Tuition and Fees - University of Houston				**$ 8,042,152**	

F&A – 1.1.10

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Clear Lake
FY2011 Academic Tuition and Fee Changes - Undergraduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 57,518
	EXCESSIVE UNDERGRADUATE HOURS	-
	GENERAL DESIGNATED TUITION	657,346
	DIFFERENTIAL DESIGNATED TUITION	198,215
	SUBTOTAL TUITION	**913,079**
	STUDENT SERVICE FEE	193,457
	UTILITY USE FEE	(172,012)
	NEW STUDENT ORIENTATION FEE	56,700
	ACADEMIC FEES	38,342
	TOTAL UH-CLEAR LAKE	**$ 1,029,566**

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description		Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Clear Lake						
Tuition						
Statutory Tuition						
Resident						
	Undergraduate	$50/SCH	$0	$50/SCH	$ -	Resident tuition is established by statute and will not change for FY2011.
Non-Resident						
	Undergraduate Non-Resident Tuition	$327/SCH	$33/SCH	$360/SCH	57,518	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
	Subtotal Statutory Tuition				$ 57,518	
General Designated						
	Designated Undergraduate Resident	$102/SCH	$7/SCH	$109/SCH	635,735	New revenue will be used to support university priorities for items such as transfer scholarships, compensation, new faculty and accreditation.
	Designated Undergrad Non-Resident	$132/SCH	$11/SCH	$143/SCH	21,611	
	Subtotal General Designated Tuition				$ 657,346	
Differential Designated Tuition (DDT)						
	Business					
	Undergraduate	$8/SCH	$2/SCH	$10/SCH	$ 49,678	New revenue will be used to continue the commitment to full-time faculty to meet accreditation standards. 20% will be set aside for scholarships.
	Human Sciences and Humanities					
	Undergraduate	$0	$3/SCH	$3/SCH	94,741	New revenue will be used to enhance school instructional performance and improve student learning opportunities by increasing the number of full-time faculty, improving the instruction of remaining adjuncts, equipping and maintaining school laboratories and studios. 20% will be set aside for scholarships.
	Science and Computer Engineering					
	Undergraduate	$0	$5/SCH	$5/SCH	53,796	New revenue will be used to enhance the educational process by decreasing the number of adjuncts and hiring more full-time faculty, teaching assistants, and buying and maintaining state of the art equipment within the school . 20% will be set aside for scholarships.
	Subtotal Differential Designated Tuition				$ 198,215	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Clear Lake (continued)					
Fees					
Student Service Fee	$30/SCH $180 max	$3/SCH $18 max	$33/SCH $198 max	$ 193,457	New revenue will be used to cover basic office operations, an anticipated salary increase, and to maintain current programs to increase student satisfaction, academic success, and retention.
Utility Use Fee	$10/sem < 6 hrs; $16/sem > 5 hrs	($10)/sem < 6 hrs; ($16)/sem > 5 hrs	$0	(172,012)	This fee was created in FY06 due to high utility costs. Since that time, several improvements have been made to reduce usage and the cost of utilities. A new natural gas contract also went into effect FY10 which should reduce natural gas costs by 35%. These factors allow the Utility Use Fee to be eliminated.
New Student Orientation Fee	$0	$30/1-time	$30/1-time	56,700	New revenue will be used to support New Student Orientation to fund 1 graduate assistant and 3 orientations. The new revenue will also support the New International Student Orientation to fund student workers, 2 orientations, 2 orientation leader trainings, 2 warm up months, and transportation of students from the airport.
Course Based Fees	$0 to $65	$5 to $75	$10 to $75	38,342	New revenue will be used to defray the cost of materials, supplies, and equipment, as well as to enhance the quality of educational services.
Total Academic Tuition and Fees - University of Houston-Clear Lake				**$ 1,029,566**	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Downtown
FY2011 Academic Tuition and Fee Increases -Undergraduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 341,369
	GENERAL DESIGNATED TUITION	2,556,222
	DIFFERENTIAL DESIGNATED TUITION	153,126
	SUBTOTAL TUITION	**3,050,717**
	ACADEMIC FEES	1,042,061
	STUDENT AFFAIRS FEES	768,161
	ENERGY FEE	(434,545)
	TOTAL UH-DOWNTOWN	**$ 4,426,394**

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Downtown					
Tuition					
Statutory Tuition					
Resident	$50/SCH	$0	$50/SCH	$ -	Resident tuition is established by statute and will not change for FY2011.
Non-Resident/International	$327/SCH	$33/SCH	$360/SCH	341,369	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
Tuition-Designated (all students)	$90/SCH	$8/SCH	$98/SCH	2,556,222	These funds will be used to support ongoing operations. By statute 20% will be used for scholarships. The balance will be allocated through the University's planning process.
Tuition-Differential Designated (College of Business students)	NA	$2/SCH	$2/SCH	153,126	To provide faculty tutoring for students taking 'bottleneck' courses, and other support needed to satisfy ongoing AACSB accreditation requirements.
Subtotal Tuition				**$ 3,050,717**	
Fees					
Student Service Fee	$15/SCH $140 max.	$5/SCH same max.	$20/SCH $140 max.	$ 423,222	Funds will be used to support new initiatives within Student Services and Enrollment Management.
University Center Fee	$15/hd, 1-5 SCHs $25/hd, 6+ SCHs	$10/hd, 1-5 SCHs $10/hd, 6+ SCHs	$25/hd, 1-5 SCHs $35/hd, 6+ SCHs	$ 344,939	A portion will be held in reserve to go toward build out of student lounge space in FY2012. The remainder will be used to support costs of the Student Life Center.
Utility Assessment	$9/hd, 1-5 SCHs $15/hd, 6+ SCHs	($9)/hd, 1-5 SCHs ($15)/hd, 6+ SCHs	$0 $0	$ (434,545)	Fee eliminated in recognition of continued reductions in electricity rates.
Computer Use Fee	$14/SCH $168 max.	$2/SCH same max.	$16/SCH $168 max.	$ 302,462	Funds will be used to support new technology initiatives and technology support staff.
Library Fee	$6/SCH $60 max.	$2/SCH same max.	$8/SCH $60 max.	$ 192,677	Add'l library support
International Education Fee	$3/hd	$2/hd	$5/hd	$ 66,606	Provides student scholarship funds in support of international/study abroad programs.
Student Record Fee	$15/hd	$5/hd	$20/hd	$ 175,744	Provide support for Enrollment Services functions.
Extended Access & Support Fee	$6/SCH $54 max.	$2/SCH $8 max.	$8/SCH $62 max.	$ 304,572	Provide support for Blackboard upgrade, expansion of on-line degree programs, and involvement at distance sites (Hwy 249)
Subtotal Fees				**$ 1,375,677**	
Total Academic Tuition and Fees - University of Houston-Downtown				**$ 4,426,394**	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Victoria
FY2011 Tuition and Fee Increases - Undergraduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 15,319
	GENERAL DESIGNATED TUITION	260,856
	DIFFERENTIAL DESIGNATED TUITION	-
	SUBTOTAL TUITION	276,175
	STUDENT AFFAIRS FEES	33,458
	TOTAL UH-VICTORIA	$ 309,633

F&A – 1.1.16

University of Houston System
FY2011 Academic Tuition and Fee Changes - Undergraduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston - Victoria					
Tuition					
Resident					
Undergraduate	$50/SCH	$0	$50/SCH	$ -	Resident tuition is established by statute and will not change for FY2010.
Non-Resident	$327/SCH	$33/SCH	$360/SCH	15,319	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
Designated Tuition					
Undergraduate Resident	$93/SCH	$6/SCH	$99/SCH	260,856	Additional revenue will be used to support strategic priorities of the University and UH System and increase financial aid.
Subtotal Tuition				**$ 276,175**	
Fees					
Student Service Fee	$25/SCH (Max at $150)	$2/SCH	$27/SCH (Max at $162)	33,458	Additional revenue will be used to support expanded career services, a counseling center, a student employment center, and additional support staff in Financial Aid.
Subtotal Fees				**$ 33,458**	
Total Tuition and Fees - University of Houston Victoria				**$ 309,633**	

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval is requested for the changes to the graduate tuition and fee schedule for the University of Houston System campuses.

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested for graduate tuition and fee rate changes for the University of Houston System campuses. The requested changes are to be charged starting in the Fall 2010 semester. The rates presented will result in an average increase for a 9 hour resident graduate student of 7.7% at the University of Houston; 9.6% at UH-Clear Lake; 5.5% at UH-Downtown; and 3.9% at UH-Victoria.

The rate changes and estimated revenues to be realized are presented for each university in the supporting documentation. Policies governing tuition, fees, and other charges are approved by the Board of Regents of the University of Houston System and comply with applicable state statutes. Tuition and fees are subject to change by legislative or Board action and become effective on the date enacted.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Graduate Tuition and Fee Recommendations

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
		Feb 3, 2010
EXECUTIVE VICE CHANCELLOR	Carl Carlucci	**DATE**
Renu Khator		2/3/10
CHANCELLOR	Renu Khator	**DATE**

F&A – 2.1.1

Graduate Tuition and Fee Increases

For a 9 Credit Hour Semester
Resident Graduate Student

University of Houston	
University Average	7.7%
Architecture	4.1%
Business Administration	2.1%
Education	5.8%
Engineering	8.3%
Hotel & Restaurant Mgt	5.8%
Law	20.0%
Liberal Arts and Social Science	3.7%
Natural Science & Math	3.2%
Optometry	3.9%
Pharmacy	2.5%
College of Social Work	3.3%
Technology	2.9%
UH-Clear Lake	9.6%
UH-Downtown	5.5%
UH-Victoria	3.9%

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

University of Houston
FY2011 Academic Tuition and Fee Changes - Graduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 465,815
	GENERAL DESIGNATED TUITION INCREASE	2,074,142
	DIFF DESIGNATED TUITION INCREASE (Grad & Prof)	3,471,871
	COURSE AND USER FEE INCREASES	50,440
	EXECUTIVE/STUDY ABROAD PROGRAMS	2,082,000
	SUBTOTAL	**8,144,268**
	CONSOLIDATION OF FEES (DELETIONS)	**(499,023)**
	CONSOLIDATION OF FEES (DIFF DESIG TUIT INCR)	**474,867**
	SUBTOTAL	**(24,156)**
	TOTAL UNIVERSITY OF HOUSTON	**$ 8,120,112**

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston					
Tuition					
Statutory Tuition					
Resident					
Graduate	$100/SCH	$0	$100/SCH	$ -	Resident tuition is established by statute and will not change for FY2011.
Non-resident					
Graduate	$377/SCH	$33/SCH	$410/SCH	296,959	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
Law	$487/SCH	$33/SCH	$520/SCH	$43,475	
Optometry	$477/SCH	$33/SCH	$510/SCH	$70,316	
Pharmacy	$427/SCH	$33/SCH	$460/SCH	$22,065	
Premium - Excess Hours					
Doctoral Cap					
Students above 99 total hours (Education code 54.012)	$277/SCH	$33/SCH	$310/SCH	$ 33,000	The doctoral premium tuition is equal to the difference between the graduate resident and non-resident rates above; currently that difference is ($410 - 100 = 310). This legislatively authorized premium is to offset the loss of funding due to the 99 doctoral hour cap on state formula funding. It is estimated that 1000 credit hours will be over the doctoral cap. This rate will be adjusted when Non-Resident rates are announced by the Coordinating Board.
Subtotal Statutory Tuition				**$ 465,815**	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Designated Tuition					
General Designated Tuition					
Graduate	$137.90/SCH	$12.23/SCH	$150.13/SCH	$ 2,074,142	Rate per hour charged, except as discounted below. This funding will provide support for student success in the form of enrollment and support initiatives (advisors, recruiters, customer service staff, classrooms, teaching labs, library resources), scholarships, and instructional faculty positions.
					Weekend College Courses General designated tuition for certain courses offered as Weekend College on Fridays and weekends will be discounted by $12 per hour.
					Undergraduate Education Courses General designated tuition for undergraduate education courses will be discounted by $12 per hour.
Subtotal Designated Tuition				**$ 2,074,142**	
Differential Designated Tuition					
Architecture					
Graduate	$52.25/SCH	$4.50/SCH	$56.75/SCH	$ 10,563	New initiatives
Education					
CUIN - Graduate (excl Grad Students in Exec EDD	$0	$5.00/SCH	$5.00/SCH	21,465	Increase for faculty and staff hires to provide instruction, support and services to students
EPSY - Graduate (only master students in Counseling Psychology)	$0	$5.00/SCH	$5.00/SCH	17,660	Increase for faculty and staff hires to provide instruction, support and services to students
HHP - Graduate (only master students)	$0	$14.00/SCH	$14.00/SCH	17,976	Increase for faculty and staff hires to provide instruction, support and services to students
Engineering					
Graduate	$34.00/SCH	$21.00/SCH	$55.00/SCH	179,520	Year two of hiring plan to increase faculty and salary hires to achieve Tier 1.
Graduate College of Social Work					
Graduate	$30.50/SCH	$12.50/SCH	$43.00/SCH	162,250	Consolidate college fees.
Hotel & Restaurant Management					
Master Graduate Resident	$30.00/SCH	$10.00/SCH	$40.00/SCH	12,240	To provide additional faculty of international fame to college
Law					
International LLM 1 Level	$225.00/SCH	$256.45/SCH	$481.45/SCH	76,935	Support for advancement to top 30 school status including faculty salaries and new facilities
International LLM 2&3 Level	$225.00/SCH	$167.00/SCH	$392.00/SCH	22,267	
International JD 1 Level	$175.00/SCH	$232.70/SCH	$407.70/SCH	54,400	
International JD 2&3 Level	$175.00/SCH	$133.50/SCH	$308.50/SCH	62,419	
Non-Resident LLM 1 Level	$225.00/SCH	$256.45/SCH	$481.45/SCH	25,645	
Non-Resident LLM 2&3 Level	$225.00/SCH	$167.00/SCH	$392.00/SCH	22,267	
Resident LLM 1 Level	$225.00/SCH	$256.45/SCH	$481.45/SCH	141,048	
Resident LLM 2&3 Level	$225.00/SCH	$167.00/SCH	$392.00/SCH	22,267	
Non-Resident JD 1 Level	$175.00/SCH	$232.70/SCH	$407.70/SCH	108,800	
Non-Resident JD 2&3 Level	$175.00/SCH	$133.50/SCH	$308.50/SCH	124,837	
Resident JD 1 Level	$175.00/SCH	$173.64/SCH	$348.64/SCH	1,093,857	
Resident JD 2&3 Level	$175.00/SCH	$110.00/SCH	$285.00/SCH	1,325,500	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Differential Designated Tuition (continued)					
Optometry					
Graduate	$72.50/SCH	$7.50/SCH	$80.00/SCH	132,205	Support salary increases and additional faculty positions
Technology					
Engineering Technology Graduate		$92/SCH	$92/SCH	86,250	Offset to elimination of fees
Human Devel and Consumer Sc Graduate		$85/SCH	$85/SCH	90,667	
Industrial Technology Graduate		$92/SCH	$92/SCH	135,700	
Subtotal Differential Designated Tuition				**$ 3,946,738**	
Subtotal Tuition				**$ 6,486,695**	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Academic Fees					
General Academic Fees					
Consolidated Univ Services Fees Graduate	NA	$39.00/SCH	$39.00/SCH	$ -	
Technology Fee	$13.75/SCH	($13.75)/SCH	$0	-	
Library Fee	$9.00/SCH	($9.00)/SCH	$0	-	
International Education Fee	$1.00/sem	($1.00)/sem	$0	-	
Campus Card Fee	$6.00/sem	($6.00)/sem	$0	-	
Instructional Access Fee	$3.50/SCH	($3.50)/SCH	$0	-	
Student Academic Service Fee	$2.75/SCH	($2.75)/SCH	$0	-	
Utility Assessment Fee	$8.50/SCH	($8.50)/SCH	$0	-	
Grad/Professional Studies Enrollment Fee	$2.50/sem	($2.50)/sem	$0	-	
College, Program, and Course based Incidental Fees					
Architecture					
Studio support Fee	$140/Course	($140)/Course	$0	$ (55,398)	Delete fee
Architecture Program Fee	$271/major	$238/major	$509/major	55,398	Course fee consolidation
Saintes Program Deposit	$350/program	$150/program	$500/program	3,000	For processing and administrative costs related international travel.
Business					
Disc Student Service Fee	$32.75/Course	($32.75)/Course	$0	(5,298)	Delete fee
Disc Foundation	$32.75/Course	$0	$32.75/Course	5,298	Course fee consolidation
MBA Orientation Fee	$225/new student	$75/new student	$300/new student	9,000	Second year orientation
Liberal Arts and Social Sciences					
Art Painting Fee	$50/course	$25/course	$75/course	187	Align painting program which schedules Life Drawing courses and increases to cover current expenses for models used at each class session.
Art Course Fee	$0	$35/course	$35/course	210	New course need fee to support visiting artists and curators and computer needs for software, supplies, peripherals for digital portfolios and visual presentations.
Art Photo/ Digital Media Fee	$100/course	$0	$100/course	3,150	Add course with dedicated computer labs.
Art Sculpture Fee	$100/course	$0	$100/course	450	Add course to support instruction in sculpture studios.
Art Slide Maintenance Fee	$33/course	$0	$33/course	2,069	Add course to maintain art history slides, Art Visual Resource Library and provide technical support.
COMD Duplication Fee	$20/course	$0	$20/course	1,000	Add course which will increase cost of duplicating material
COMD Sign Language Tutorial	$20/course	$0	$20/course	3,600	New course to provide support from lab assistants and special software needed for course
COMD Duplication Fee	$40/course	$0	$40/course	3,600	New course to provide instructional assistant and duplicate course material and maintenance of equipment.
COMD Lab Support	$40/course	$0	$40/course	800	New course to provide support from lab assistants and special software needed for course
English - Language & Cultural Tuition	$2,245/term	$300/term	$2,545/term	300	Support salary and M&O increases
English - Language & Cultural Program	$50/term	$50/term	$100/term	50	Increased cost of travel and food
English - Modern & Classical Languages	$5.00/SCH	$0	$5.00/SCH	-	
English - Modern/Classical Language Film Fee	$10/course	$0	$10/course	240	New course to schedule use media for teaching
Music - Applied Music Fee	$200/course	$0	$200/course	1,200	New course to support material and equipment costs

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University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Academic Fees (continued)					
Education					
Executive EDD Program	$0	$150/SCH	$150/SCH	432,000	Increased funding for faculty and staff hires to provide instruction, support and services to students.
Engineering					
Industrial Eng -Exec MS of Industrial Engineering		$3,000/course	$3,000/course	900,000	Off-campus graduate program to support instructional fees and administrative expenses
BIOMED Lab Materials Course Fee	$30/course	($30)/course	NA	(450)	Deleted fee
BIOMED Lab Fee	$20/course	($18)/course	$2/course	(210)	Reduce fee to $2 state minimum
BIOMED Graduate Major Fee	$0	$10/SCH	$10/SCH	440	Restructure and collapse current course/lab fee to support increased cost of materials, software and supplies
Civil Eng - Lab Fee	$30/course	($28)/course	$2/course	(2,140)	Reduce fee to $2 state minimum
Civil Eng - Major Fees	$0	$6/SCH	$6/SCH	7,929	Restructure and collapse current course/lab fee to be used for academic support
Industrial Eng - Lab Fee	$30/course	($28)/course	$2/course	(450)	Reduce fee to $2 state minimum
Industrial Eng - Major Fee	$0	$6/SCH	$6/SCH	8,125	Restructure and collapse current course/lab fee to be used for academic support
Industrial Eng -Materials Fee	$45/course	($45)/course	$0	(7,308)	Delete fee
MECE Lab Fee	$5/course	($3)/course	$2/course	(450)	Reduce fee to $2 state minimum
Mechanical Eng - Major Fee	$0	$6/SCH	$6/SCH	12,650	Restructure and collapse current course/lab fee to support increased cost of materials, software and supplies
Mechanical Eng - Incidental Fee	$30/course	($30)/course	$0	(6,300)	Delete fee
Graduate College of Social Work					
Technology Fee	$10/SCH	($10)/SCH	$0	(85,817)	Consolidate college fees
American Humanics Nonprofit Certificate Program Registration Fee	$50/registration	$50/registration	$0	(1,950)	Delete fee
American Humanics Non-profit Certificate Program Participation Fee	$50/participant	$50/participant	$100/participant	1,950	Consolidate college fee
Distance Field Practicum Fee	$1,000/field course	various	$450-$1,000/field course	-	Distance field placement
Field Practicum Fee	$25/course/SCH	($25)/course/SCH	$0	(56,686)	Delete fee
Field Liability Insurance Fee	$25/student/year	($25)/student/year	$0	(7,000)	Delete fee
Confirmation Fee	$100/new student	$0	$100/new student	-	Title change
Late Form Submission Fee	$50/incident	($50)/incident	$0	-	Delete fee
Late Course Adjustment Fee	$50/incident	($50)/incident	$0	-	Delete fee
Career Services Fee	$75/major	($75)/major	$0	(60,606)	Delete fee
Ph.D. ABD Program Fee	$100/ABD enrollee	($100)/ABD enrollee	$0	(300)	Delete fee
Ph.D. Late Form Submission Fee	$50/incident	$0	$50/incident	(300)	Delete fee
Ph.D. Late Course Adjustment Fee	$50/incident	$0	$50/incident	(150)	Delete fee
College Fee	NA	$50/Fall & Spring $25/Summer	$50/Fall & Spring $25/Summer	38,000	To support operational and administrative costs

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston (continued)					
Academic Fees (continued)					
Hotel & Restaurant Mgmt					
Computer Support Fee	$75/major	($75)/major	$0	(62,550)	Delete Fee
Course Fee	$0	$80/course	$80/course	1,584	Supplies for operating lab
Academic Services Fee	$375/major	$75/major	$450/major	62,550	Consolidation of fee
Natural Sciences & Mathematics					
Math Graduate Student Resource Fee	$85/course	($85)/course	$0	(65,000)	Delete Fee
Library					
Int'l Library Loan - Local Fax Fee	$1/page	($1)/page	$0	-	Delete Fee
Int'l Library Loan - Long Distance Fax Fee	$2/page	($2)/page	$0	-	Delete Fee
Library Rental - Locker Key Fee	$20/incident	($20)/incident	$0	-	Delete Fee
Library Rental - Lost Locker Key Fee	$28/incident	($28)/incident	$0	-	Delete Fee
Library Rental - Semester Locker Fee	$10/incident	($10)/incident	$0	-	Delete Fee
Optometry					
Major fee	$450/Semester	$20/Semester	$470/Semester	20,000	Increased cost of supplies and equipment
Technology					
Executive Degree Program	$0	$35,000/program	$35,000/program	750,000	To support faculty overloads, operations, staff support
CMRT Lab Fee	$20/course	($20)/course	$0	-	Delete Fee
ITEC Laboratory Fee	$25/course	($25)/course	$0	-	Delete Fee
ELET Lab Fee	$2/course	($2)/course	$0	-	Delete Fee
HDCS Lab Fee	$30/course	($30)/course	$0	-	Delete Fee
ET Graduate Educational Fee	$80/course/SCH	($80)/course/SCH	$0	(75,000)	Delete Fee
Tech/Engr Technology Fee	$2/course	($2)/course	$0	-	Delete Fee
HDCS Graduate Education Fee	$75/hdct/SCH	($75)/hdct/SCH	$0	(80,000)	Delete Fee
ILT Graduate Instructional Resource Fee	$80/course/SCH	($80)/course/SCH	$0	(118,000)	Delete Fee
Subtotal Academic Fees				**$ 1,633,417**	
Off-Campus Fees					
Recreation Center Fee	$84/sem	($84)/sem	$0	$ (150,710)	To align fee to use of both on and off campus services by
University Center Fee	$35/sem	($35)/sem	$0	(361,704)	Distance Ed & off-campus students
Student Service Fee	$185/sem	($92.50)/sem	$92.50/sem	(398,305)	
Consolidated Student Service Fee Waiver	NA	$211.50/sem	($211.50)/sem	910,719	
Total Academic Tuition and Fees - University of Houston				**$ 8,120,112**	

F&A – 2.1.8

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Clear Lake
FY2011 Academic Tuition and Fee Changes - Graduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 355,813
	EXCESSIVE UNDERGRADUATE HOURS	-
	GENERAL DESIGNATED TUITION	924,272
	DIFFERENTIAL DESIGNATED TUITION	780,761
	SUBTOTAL TUITION	**$ 2,060,846**
	STUDENT SERVICE FEE	113,618
	UTILITY USE FEE	(101,023)
	NEW STUDENT ORIENTATION FEE	33,300
	ACADEMIC FEES	22,518
	TOTAL UH-CLEAR LAKE	**$ 2,129,259**

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description		Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Clear Lake						
Tuition						
Statutory Tuition						
Resident						
	Graduate	$100/SCH	$0	$100/SCH	$ -	Resident tuition is established by statute and will not change for FY2011.
Non-Resident						
	Graduate Non-Resident Tuition	$377/SCH	$33/SCH	$410/SCH	$ 355,813	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
	Subtotal Statutory Tuition				$ 355,813	
General Designated						
	Designated Graduate Resident	$153/SCH	$16/SCH	$169/SCH	$ 693,358	
	Designated Graduate Non-Resident	$190/SCH	$19/SCH	$209/SCH	$ 230,914	
	Subtotal General Designated Tuition				$ 924,272	
Differential Designated Tuition (DDT)						
	Business					
	Graduate	$35/SCH	$15/SCH	$50/SCH	$ 254,232	New revenue will be used to continue the commitment to full-time faculty to meet accreditation standards. 15% will be set aside for scholarships.
	Education					
	Graduate	$0	$12/SCH	$12/SCH	$ 159,689	New revenue will be used to maintain and improve the quality of academic programs so as to continue to meet accreditation standards. 15% will be set aside for scholarships.
	Human Sciences and Humanities					
	Graduate	$0	$12/SCH	$12/SCH	$ 148,445	New revenue will be used to enhance school instructional performance and improve student learning opportunities by increasing the number of full-time faculty, improving the instruction of remaining adjuncts, equipping and maintaining school laboratories and studios. 15% will be set aside for scholarships.
	Science and Computer Engineering					
	Graduate	$0	$25/SCH	$25/SCH	$ 218,395	New revenue will be used to enhance the educational process by decreasing the number of adjuncts and hiring more full-time faculty, teaching assistants, and buying and maintaining state of the art equipment within the school. 15% will be set aside for scholarships.
	Subtotal Differential Designated Tuition				$ 780,761	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change		Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Clear Lake (continued)						
Fees						
Student Service Fee	$30/SCH $180 max	$3/SCH $18 max	$33/SCH	$198 max	$ 113,618	New revenue will be used to cover basic office operations, an anticipated salary increase, and to maintain current programs to increase student satisfaction, academic success, and retention.
Utility Use Fee	$10/sem < 6 hrs; $16/sem > 5 hrs	($10)/sem < 6 hrs; ($16)/sem > 5 hrs		$0	(101,023)	This fee was created in FY06 due to high utility costs. Since that time, several improvements have been made to reduce usage and the cost of utilities. A new natural gas contract also went into effect FY10 which should reduce natural gas costs by 35%. These factors allow the Utility Use Fee to be eliminated.
New Student Orientation Fee	$0	$30/1-time		$30/1-time	$ 33,300	New revenue will be used to support New Student Orientation to fund 1 graduate assistant and 3 orientations. The new revenue will also support the New International Student Orientation to fund student workers, 2 orientations, 2 orientation leader trainings, 2 warm up months, and transportation of students from the airport.
Course Based Fees	$0 to $65	$5 to $75		$10 to $75	$ 22,518	New revenue will be used to defray the cost of materials, supplies, and equipment, as well as to enhance the quality of educational services.
Total Academic Tuition and Fees - University of Houston-Clear Lake					**$ 2,129,259**	

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Downtown
FY2011 Academic Tuition and Fee Increases - Graduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 2,124
	GENERAL DESIGNATED TUITION	32,881
	DIFFERENTIAL DESIGNATED TUITION	
	SUBTOTAL TUITION	**$ 35,005**
	ACADEMIC FEES	13,405
	STUDENT AFFAIRS FEES	9,881
	ENERGY FEE	(5,590)
	TOTAL UH-DOWNTOWN	**$ 52,701**

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Downtown					
Tuition					
Statutory Tuition					
Resident	$85/SCH	$0	$85/SCH	$ -	Resident tuition is established by statute and will not change for FY2011.
Non-Resident/International	$327/SCH	$33/SCH	$360/SCH	$ 2,124	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
Tuition-Designated (all students)	$90/SCH	$8/SCH	$98/SCH	$ 32,881	These funds will be used to support ongoing operations. By statute 20% will be used for scholarships. The balance will be allocated through the University's planning process.
Subtotal Tuition				**$ 35,005**	
Fees					
Student Service Fee	$15/SCH $140 max.	$5/SCH same max.	$20/SCH $140 max.	$ 5,444	Funds will be used to support new initiatives within Student Services and Enrollment Management.
University Center Fee	$15/hd, 1-5 SCHs $25/hd, 6+ SCHs	$10/hd, 1-5 SCHs $10/hd, 6+ SCHs	$25/hd, 1-5 SCHs $35/hd, 6+ SCHs	$ 4,437	A portion will be held in reserve to go toward build out of student lounge space in FY2012. The remainder will be used to support costs of the Student Life Center.
Utility Assessment	$9/hd, 1-5 SCHs $15/hd, 6+ SCHs	($9)/hd, 1-5 SCHs ($15)/hd, 6+ SCHs	$0 $0	$ (5,590)	Fee eliminated in recognition of continued reductions in electricity rates.
Computer Use Fee	$14/SCH $168 max.	$2/SCH same max.	$16/SCH $168 max.	$ 3,891	Funds will be used to support new technology initiatives and technology support staff.
Library Fee	$6/SCH $60 max.	$2/SCH same max.	$8/SCH $60 max.	$ 2,478	Add'l library support
International Education Fee	$3/hd	$2/hd	$5/hd	$ 857	Provides student scholarship funds in support of international/study abroad programs.
Student Record Fee	$15/hd	$5/hd	$20/hd	$ 2,261	Provide support for Enrollment Services functions.
Extended Access & Support Fee	$6/SCH $54 max.	$2/SCH $8 max.	$8/SCH $62 max.	$ 3,918	Provide support for Blackboard upgrade, expansion of on-line degree programs, and involvement at distance sites (Hwy 249)
Subtotal Fees				**$ 17,696**	
Total Academic Tuition and Fees - University of Houston-Downtown				**$ 52,701**	

F&A – 2.1.13

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Victoria
FY2011 Tuition and Fee Increases - Graduate

		Additional Revenue
SUMMARY	STATUTORY & PREMIUM TUITION	$ 9,794
	GENERAL DESIGNATED TUITION	234,828
	DIFFERENTIAL DESIGNATED TUITION	-
	SUBTOTAL TUITION	244,622
	STUDENT AFFAIRS FEES	20,506
	TOTAL UH-VICTORIA	$ 265,128

University of Houston System
FY2011 Academic Tuition and Fee Changes - Graduate

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston - Victoria					
Tuition					
Resident					
Graduate	$100/SCH	$0	$100/SCH	$ -	Resident tuition is established by statute and will not change for FY2010.
Non-Resident	$327/SCH	$33/SCH	$360/SCH	$ 9,794	The non-resident tuition rate is established annually by the Coordinating Board as required by the Education Code section 54.051(d). It is based on the average non-resident tuition rates of the public universities in the five most populous states other than Texas. The non-resident rates charged by the various University programs will be adjusted by the rate change announcement from the Coordinating Board.
Designated Tuition					
Graduate Resident	$116/SCH	$9/SCH	$125/SCH	$ 234,828	Additional revenue will be used to support strategic priorities of the University and UH System and increase financial aid.
Subtotal Tuition				**$ 244,622**	
Fees					
Student Service Fee	$25/SCH (Max at $150)	$2/SCH	$27/SCH (Max at $162)	$ 20,506	Additional revenue will be used to support expanded career services, a counseling center, a student employment center, and additional support staff in Financial Aid.
Subtotal Fees				**$ 20,506**	
Total Tuition and Fees - University of Houston Victoria				**$ 265,128**	

F&A – 2.1.15

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval of changes to the voluntary and optional fees and charges schedule for the University of Houston System campus services.

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval of changes to the voluntary and optional fees and charges schedule for the University of Houston System campus services. The proposed fees to be increased include:

- UH and UHV Housing
- UH and UHV Meal Plans
- UHCL and UHD Parking

FISCAL NOTE:

SUPPORTING DOCUMENTATION: University of Houston, UH-Clear Lake, UH-Downtown, and UH-Victoria FY2011 Optional Fee Changes

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT		**DATE**
EXECUTIVE VICE CHANCELLOR	Carl Carlucci	Feb 1, 2010 **DATE**
CHANCELLOR	Renu Khator	2-2-10 **DATE**

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

University of Houston
FY2011 Optional Fee Changes

		Additional Revenue
SUMMARY	HOUSING	$ 5,896,107
	MEAL PLANS	495,910
	TOTAL UNIVERSITY OF HOUSTON	6,392,017

F&A – 3.1.1

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston					
Student Housing				$5,896,107	
9-month leases:					
Moody Towers					The student housing rate increase of 3% will partially offset increased operating costs and salary increases. University Partnership Housing rates increases are: Cambridge Oaks 3.2% and Cullen Oaks 3.5%.
Double Room	$3,814	$114	$3,928		
Single Room	$4,760	$142	$4,902		
Super Single Room	$5,220	$156	$5,376		
Quadrangle					
Double Room	$4,224	$126	$4,350		
Super Single Room	$5,592	$167	$5,759		
Cougar Place					
Single Room	$4,580	$137	$4,717		
Room W/Kitchenette	$5,020	$150	$5,170		
Apartment	$6,656	$200	$6,856		
12-month leases:					
Bayou Oaks					
2 bed/1 bath	$7,128	$216	$7,344		
3 bed/ private bath	$6,972	$204	$7,176		
3 bed/shared bath	$6,840	$204	$7,044		
4 bed/ 2 bath	$6,792	$204	$6,996		
Townhouse (12/14/20 bed)	$5,815	$168	$5,983		
Cougar Village (Proposed Name)					
Single Room	$0	$6,435	$6,435		
Double Room	$0	$4,950	$4,950		
Calhoun Lofts					
Efficiency (Furnished)	$9,960	$298	$10,258		
Efficiency (Unfurnished)	$9,720	$291	$10,011		
Lofts 1-bed/1-bath (Furnished)	$12,300	$369	$12,669		
Lofts 1-bed/1-bath (Unfurnished)	$11,940	$358	$12,298		
Lofts 2-Bed/1-Bath (Furnished)	$9,180	$275	$9,455		
Lofts 2-Bed/1-Bath (Unfurnished)	$8,940	$268	$9,208		
Meal Plans					
Residential Meal Plans (per semester)				$495,910	
RFoC 21 (21 all-you-care-to-eat meals per week plus $100 Cougar Cash)	$1,490	$60	$1,550		Within the industry, meal plan structures are evolving into the LifeStyle method. The idea is for student to chose the correct meal plan based on their lifestyle and not on the cost. We are introducing three new LifeStyle plans in Fall 2011, with a base cost of $1,550
RFoC 15(15 all-you-care-to-eat meals per week plus $100 Cougar Cash)	1,260	290	1,550		
RFoC 160 (160 all-you-care-to-eat-meals semester plus $400 Cougar Cash)	1,150	400	1,550		
RFoC 100 (100 all-you-care-to-eat-meals semester plus $575 Cougar Cash)	1,470	(120)	1,350		
Cougar Cash (Juniors, Seniors and Graduate Residents only)	1,250	100	1,350		
Commuter Meal Plans (per semester)					
Select 300 (all cougar cash)	300	-	300		
Select 500 (all cougar cash)	500	-	500		
Select 800 (all cougar cash)	800	-	800		
Select 1000 (all cougar cash)	-	1,000	1,000		
Select 80 (80 all-you-care-to-eat meals)	420	20	440		
Select Plan 20 Plus (20 all-you-care-to-eat-meals per semester plus $50 Cougar Cash)	165	25	190		
Select Plan 40 Plus (40 all-you-care-to-eat-meals per semester plus $100 Cougar Cash)	350	10	360		
Select Plan 80 Plus (80 all-you-care-to-eat-meals per semester plus $150 Cougar Cash)	570	20	590		
Faculty/Staff Meal Plans (per month)					
Block 5 (5 all-you-care-to-eat meals per month)	30	-	30		
Block 10 (10 all-you-care-to-eat meals per month)	55	-	55		
Block 15 (15 all-you-care-to-eat meals per month)	80	-	80		
Cougar Cash	25	-	25		
TOTAL				**$ 6,392,017**	

F&A – 3.1.2

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Clear Lake
FY2011 Optional Fee Changes

		Additional Revenue
SUMMARY	HOUSING	$ -
	MEAL PLAN	-
	PARKING	115,697
	TOTAL UH-CLEAR LAKE	$ 115,697

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Clear Lake					
Parking Fees					
Student - Annual	$75/annual	$10/annual	$85/annual	$37,010	New revenue will be used to upgrade security technologies, both in the lots (cameras, improved call boxes) and in the building (more reliable access control, cameras and recordin devices). One-third of the revenue generated would be alloca to Facilities, Management and Construction for roadway and lot maintenance.
Student - Semester	$40/semester	$10/semester	$50/semester	$40,430	
Student - Summer	$25/summer only	$10/summer only	$35/summer only	$12,250	
Student - Clear Lake High School	$40/semester	$10/semester	$50/semester	$4,717	
Employee - Annual	$65/annual	$20/annual	$85/annual	$18,840	
Employee - Annual Lot A	$115/annual	$35/annual	$150/annual	$2,450	
Total				$ 115,697	

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Downtown
FY2011 Optional Fee Changes

		Additional Revenue
SUMMARY	HOUSING	$ -
	MEAL PLAN	-
	PARKING	12,000
	TOTAL UH-DOWNTOWN	$ 12,000

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston Downtown					
Parking Fees (various)				$ 12,000	These changes are mostly to adjust outdated grandfathered rates that had become dramatically out of step with market. Will also enable UHD to offer students a full-year permit option rather than just semester-by-semester.
CJC Cadets	$15/all terms	$35/ 4-mo terms $85/ 8-mo terms	$50/ 4-mo terms $100/ 8-mo terms		
Contract Employees (full-time)	$10/sem	$55/yr	$85/yr		
Tier II Reserved	$85/yr	$50/yr	$135/yr		
Annual Student Permit	N/A	-	$100/surface lot $150/garage parking		
Total				$ 12,000	

F&A – 3.1.6

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use

UH-Victoria
FY2011 Optional Fee Changes

		Additional Revenue
SUMMARY	HOUSING	$ 886,500
	MEAL PLAN	803,500
	PARKING	-
	TOTAL UH-VICTORIA	**$ 1,690,000**

FY2011 Academic Tuition and Fee Changes

Fee Description	Current Rate	Change	Proposed Rate	Additional Revenue	Justification/Revenue Use
University of Houston - Victoria					
Student Housing (per academic year)				$ 886,500	Student housing rate for freshmen and sophomores.
Single	NA	NA	$6,000		
Double	NA	NA	$4,050		
Residential Meal Plan (per semester)				$ 803,500	Various meal plans
Residential students (20 meals per week)	NA	NA	$1,250		
Commuter Meal Plan					
Select Plan 20 (20 meals per semester)	NA	NA	$130		
Select Plan 40 (40 meals per semester)	NA	NA	$250		
Select Plan 80 (80 meals per semester)	NA	NA	$420		
Faculty/Staff Meal Plan					
Block 5 (5meals per month)	NA	NA	$30		
Block 10 (10meals per month)	NA	NA	$55		
Block 15 (15meals per month)	NA	NA	$80		
Door/Cash Price					
Breakfast	NA	NA	$6		
Lunch	NA	NA	$7		
Dinner	NA	NA	$8		
Total				**$ 1,690,000**	

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval is requested to write off Accounts and Notes Receivable for FY2009

SUMMARY: Approval is requested to write off Accounts and Notes Receivable totaling $11,326,023 (in 12,048 accounts) for Fiscal Year 2009 for the University of Houston, University of Houston-Clear Lake, University of Houston-Downtown, and University of Houston-Victoria. The FY2008 write-off for all the System was $1,069,117 (in 3,220 accounts). Please see attachment for additional information on the FY2009 write-off for the University of Houston. State universities are required by law to extend credit to students without consideration of credit history or ability to repay.

	FY 2009
University of Houston	10,474,149
University of Houston – System	0
UH - Clear Lake	210,716
UH - Downtown	509,966
UH - Victoria	131,192
	$ 11,326,023

SUPPORTING DOCUMENTATION: Schedule of Accounts and Notes Receivable Write-Offs for FY 2009. Detailed schedules are on file in the UH Division of Finance Offices.

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT — **DATE**

EXECUTIVE VICE CHANCELLOR Carl Carlucci — **DATE** 1/22/2010

CHANCELLOR Renu Khator — **DATE** 2-2-10

UNIVERSITY OF HOUSTON SYSTEM
SCHEDULE OF ACCOUNTS AND LOANS RECEIVABLE WRITE OFFS

	----------Delinquent Accounts and Notes Receivable Written Off for 2009----------					
Proposed Write-Off:	Total Delinquent Accounts from 2007 and earlier	UHS	UH	UHCL	UHD	UHV
Non-Federal						
Loans	410,621	-	563	97,264	233,203	79,591
Tuition & Fees	10,888,633	-	10,455,056	105,213	276,763	51,601
Sales & Services	26,769	-	18,530	8,239	-	-
Total Write Off	$ 11,326,023	-	$ 10,474,149	$ 210,716	$ 509,966	$ 131,192
Write Offs against Allowance for Bad Debt	$ 7,234,900	-	$ 6,566,730	$ 113,452	$ 509,966	$ 44,752
Write Offs against Fund Balance	$ 4,091,123	-	$ 3,907,419	$ 97,264	$ -	$ 86,440
Largest Single Write Off	$ 33,190	-	$ 16,472	$ 7,540	$ 3,373	$ 5,805
# of Student Accounts Written Off	12,048	-	10,712	408	779	149

All UHS components, accounts and notes receivables, other than federal loan programs, are written off if more than two years past due and collection efforts have been exhausted.

Federal regulations do not permit the write-off of federal loans. Each campus has established a reserve for uncollectible federal loans to report receivables at a realistic asset value.

UNIVERSITY OF HOUSTON SYSTEM
FY 2009 WRITE-OFF – UNIVERSITY OF HOUSTON

Background

MAPP 05.04.04 defines Accounts Receivable to be eligible for write-off when they have been outstanding for 720 days (2 years). Approval is requested for Accounts Receivable write-off in the fiscal year after it becomes eligible for write-off.

The University of Houston (UH) collections process is as follows:

1. Student is charged (tuition, fees, room, board, fines).
2. The due date of the charges is reached.
3. One day after the due date, the account is in default, the internal collections process begins and holds are placed on student accounts.
4. Six months after the due date, the student accounting system runs a process to report still delinquent accounts to the State of Texas Warrant Hold System, and the account is transferred to an external collection agency.
5. Two years after the end of the fiscal year in which the charge became due, the account is submitted for write-off approval.

UH collects approximately 99% of student Accounts Receivable, and historically approximately 1.1% of accounts remain uncollected at the end of the two-year aging period and are written off as bad debt. This is comparable with other UH System campuses whose write-offs range between .5% and 1% of tuition and fee revenues, and below the national averages of outstanding Accounts Receivable as reported by the National Association of College and University Business Officers (NACUBO) in June of 2009, which range from 1.9% for Research Institutions to 3.3% for four year institutions.

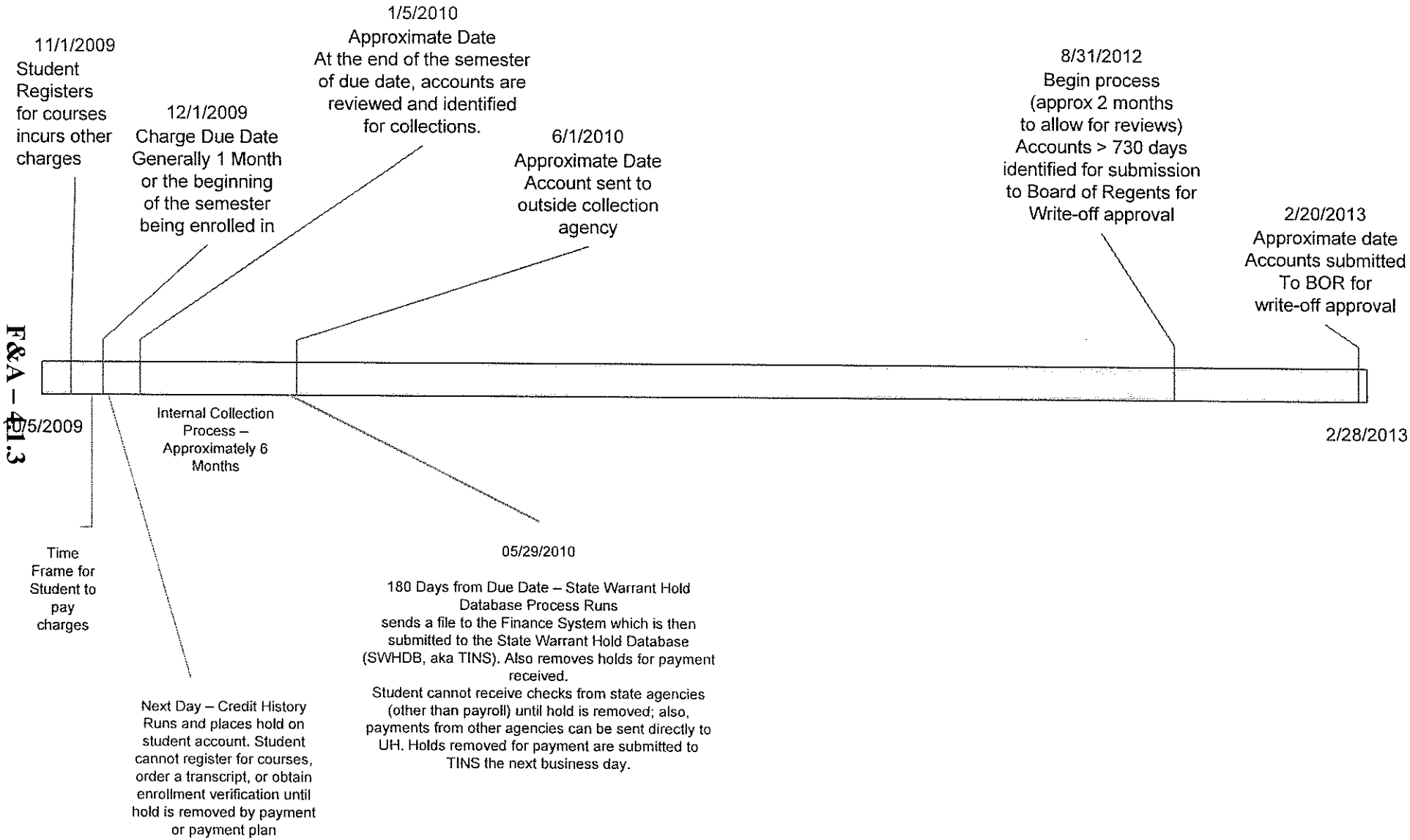
It is important to note that the university does not forgive indebtedness. The amounts written off are accounting entries, necessary so that the institution's assets are fairly presented. However, these amounts continue to be reported to the Texas State Warrant Hold Database and delinquent debt holds remain on student accounts until the amounts are paid in full.

FY 2009 Tuition and Fee Write-Off for UH

The amount of the write-offs submitted by UH for fiscal years 2005 – 2008 are significantly lower than the amount submitted for FY 2009. The amounts submitted in previous fiscal years were understated due to the change in student billing systems in 2007. The previous system identified accounts for write-off based on the overall account being dormant for two years rather than each charge having its own due date, and the conversion to the new system made many accounts appear active (not subject to write-off) when they were in fact not active and should have been written off. The average write-off as a percentage of tuition and fee revenues for UH has been 1.1% over the past five years.

Fiscal Year	WO Submitted	T&F Amount	WO as % T&F
FY 2005	$1,502,512	$215,857,405	0.7%
FY 2006	$1,118,764	$229,680,765	0.5%
FY 2007	$227,754	$248,455,546	0.1%
FY 2008	$330,825	$267,864,198	0.1%
FY 2009	$10,455,606	$294,019,498	3.6%
CUMULATIVE	**$13,838,093**	**$1,255,877,412**	**1.1%**

Student Account Billing, Collection, and Write-Off Timeline



UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval is requested to write off obsolete equipment and library book inventories for FY2009.

SUMMARY: Approval is requested to write off obsolete equipment and library book inventories with a total residual value of $420,157 for FY2009 for the University of Houston, University of Houston-Clear Lake, University of Houston-Downtown, and University of Houston-Victoria and System Administration. The residual value of $420,157 pertains to $9.9 million in original book value less $9.5 million in accumulated depreciation. For FY2008, the comparable reduction of inventory had residual value of $301,666 with a book value of $33.6 million and accumulated depreciation of $33.3 million.

In the disposal of obsolete inventory, the System follows state guidelines for the disposal of fixed assets, which includes sales by public auction, trade-in for purchases, transfers to assistance organizations such as school districts, and, for the library, the removal of books and periodicals from circulation.

	FY 2009
University of Houston	$ 332,473
UH System Administration	2,758
UH - Clear Lake	2,811
UH - Downtown	80,824
UH - Victoria	1,290
	$ 420,157

SUPPORTING DOCUMENTATION: Schedule of Reduction in Capital Assets for FY 2009. Detailed schedules are on file in the UH Division of Finance Offices.

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT — **DATE**

EXECUTIVE VICE CHANCELLOR Carl Carlucci — **DATE** 1/22/2010

CHANCELLOR Renu Khator — **DATE** 2-2-10

University of Houston
Reduction in Capital Assets
Fiscal Year 2009

Summary by Type of Reduction	ORIGINAL COST	DEPRECIATION	RESIDUAL VALUE
Equipment			
Sold	$ 796,402	$ 588,801	$ 207,601
Salvaged	$ 4,906,779	$ 4,799,777	$ 107,002
Obsolete	$ 34,256	$ 29,883	$ 4,373
Traded - In	$ 733,281	$ 672,843	$ 60,438
Lost/Stolen	$ 390,604	$ 351,151	$ 39,453
Transferred to Other Government Units	$ 50,271	$ 48,981	$ 1,290
Total Reductions in Equipment	$ 6,911,593	$ 6,491,436	$ 420,157
Library Books			
Withdrawn From Circulation	$ 2,995,089	$ 2,995,089	$ -
Total Reductions in Capital Assets	$ 9,906,682	$ 9,486,525	$ 420,157

Summary by Campus			
University of Houston	$ 4,727,764	4,395,290	332,473
UH System Administration	$ 15,480	12,722	2,758
UH - Clear Lake	$ 1,310,170	1,307,359	2,811
UH - Downtown	$ 3,678,769	3,597,944	80,824
UH - Victoria	$ 174,499	173,209	1,290
Total Reductions in Capital Assets	$ 9,906,682	9,486,525	420,157

University of Houston
Property Management
Inventory Disposal Procedures Summary

University of Houston departments determine when their assets are no longer useable by the department. This includes both capital/controlled assets that are assigned inventory tag numbers and non-capital items such as office furniture. Property Management has oversight for all disposals of equipment for the University, with the exception of library books removed from service. Property Management's goal is to help ensure that: 1) commonly used items are made available for other areas to use; 2) state property and information resource regulations are complied with; and 3) the maximum value is obtained for disposals that have a resale value. They meet these goals by processing the disposal of each type of property in the manner best suited to that property. Disposal procedures are as follows:

1. Office furniture and non-computing equipment are sent to the Property Management Surplus Property Warehouse, where the items can be selected for use by other campus departments. To help raise awareness of the items available, the Warehouse Manager identifies items that are in good condition and could be useful to other campus departments and post pictures of the items on the Property Management web site. Periodically, generally 2 – 3 times per year, Property Management sends the unused items to an auction company where they are sold.
2. If office furniture and non-computing equipment are to be transferred to Property Management in large quantities, or if there are specialty items available for sale, Property Management works with the department to determine whether the items should be sent directly to an auction company or to an interested buyer. An example of this is when the Hilton Hotel is remodeled and there is a significant quantity of hotel furniture available, or when unusual items such as diving boards for the Wellness and Recreation Center are replaced.
3. Vehicles that are removed from service are immediately sent to an auction company to be resold.
4. Computing equipment requires special handling to comply with the Texas Division of Information Resources requirements for data security. As such, any data processing equipment (CPU towers, laptops, servers) that is disposed of must be recycled through a vendor that either runs the hard drives through a destructive over-write process or physically destroys them. This process is expensive and is currently being bid to other companies to lower the cost of recycling. University departments also have the option of sending computing equipment to an allowable public school, school district, or assistance organization designated by a school/school district. However, as this equipment must also undergo a destructive over-write process prior to transfer, Property Management oversees all such donations.

In addition to items that are sold or recycled, the write-off also includes: trade-ins, where the university allows campus departments to trade in equipment to lower the cost of new equipment; salvaged items, where campus departments are able to use old equipment to repair or create new equipment; and stolen property.

The primary use of funds generated from standard disposals are used to help cover the cost of recycling computing equipment in a manner that meets the standards of the Texas Department of Information Resources. Funds generated through special circumstance disposals (i.e. hotel remodeling or the sale of special items) are returned to the University department that owned the items being disposed of, with a small percentage retained by Property Management to cover expenses.

January 21, 2010

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval to renew investment resolutions for the University of Houston System.

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested to renew the Resolution Governing the Sale, Assignment, Endorsement, Transfer, and Delivery of Gift Securities for Any Component of the University of Houston System; the Resolution Governing the Purchase and Sale of Securities and Similar Investments for the University of Houston System Endowment Fund; and the Resolution Governing the Purchase and Sale of Securities and Similar Investments for all Non-Endowed Funds of the University of Houston System. There are no changes in persons authorized to make investment decisions, however, the resolutions must be renewed semi-annually. The authorized persons are:

Carl P. Carlucci	Executive Vice Chancellor for Administration and Finance
Tom Ehardt	Associate Vice Chancellor for Finance
Raymond S. Bartlett	Treasurer
Roberta Puryear	Assistant Treasurer
Anne Montgomery	Manager, Treasury Operations
Sheryl L. Walton	Manager, Cash Administration

FISCAL NOTE:

SUPPORTING DOCUMENTATION: Resolution Governing the Sale, Assignment, Endorsement, Transfer, and Delivery of Gift Securities of Any Component of the University of Houston System.

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT **DATE**

EXECUTIVE VICE CHANCELLOR Carl Carlucci **DATE** 1/22/2010

CHANCELLOR Renu Khator **DATE** 2-2-10

RESOLUTION GOVERNING THE SALE, ASSIGNMENT, ENDORSEMENT, TRANSFER, AND DELIVERY OF GIFT SECURITIES FOR ANY COMPONENT OF THE UNIVERSITY OF HOUSTON SYSTEM

Effective February 16, 2010

The Board of Regents of the University of Houston System during a meeting held on February 16, 2010 passed and duly recorded the following resolution:

WHEREAS, the component institutions of the University of Houston System do on occasion receive gifts and bequests from donors of stocks, bonds and other securities which are intended for specific or general expenditure by the institution rather than becoming a part of the institution's endowment; and,

WHEREAS, to effectuate the intent of such donors it is often necessary to sell, assign, endorse, transfer and deliver such stocks, bonds and other securities;

BE IT THEREFORE RESOLVED, that:

the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
the Associate Vice Chancellor for Finance, Tom Ehardt;
the Treasurer, Raymond S. Bartlett;
the Assistant Treasurer, Roberta Puryear;
the Manager, Treasury Operations, Anne Montgomery; and

the Manager, Cash & Bank Administration, Sheryl L. Walton

or any (2) of them be and are thereby authorized to sell, assign, endorse, transfer and deliver on behalf of the respective institution's use and benefit, any stocks, bonds, and other securities, now owned or which may hereafter be acquired by such institutions of the University of Houston System by gift or bequest and which are not part of the endowment of such institution, and to affix the seal of the institution upon the instrument of sale, transfer and assignment relating thereto; and that any two (2) of the above named officers are hereby authorized to execute and deliver, and to affix the seal of the institution upon, any and all instruments, contracts, and other documents which may be deemed proper or desirable for the aforementioned purposes; and,

The Board of Regents hereby authorizes the Secretary of the Board of Regents, Nelda Luce Blair, to certify copies of this resolution as being true expressions of the Board of Regents.

CERTIFICATE OF ADOPTION OF RESOLUTION

I, Nelda Luce Blair, hereby certify that at a meeting of the Board of Regents of the University of Houston System held on February 16, 2010 in accordance with its bylaws at which a quorum was present and voted, the foregoing resolution was adopted by the Board, this being a true and correct copy thereof as the same which appears in the minute book of said institution.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the University of Houston, this 16th day of February 2010.

For the Board of Regents of the
University of Houston System

By:_____
Nelda Luce Blair
Secretary, Board of Regents

(University Seal)

RESOLUTION GOVERNING THE PURCHASE AND SALE OF SECURITIES AND SIMILAR INVESTMENTS FOR THE UNIVERSITY OF HOUSTON SYSTEM ENDOWMENT FUND

Effective February 16, 2010

The Board of Regents of the University of Houston System during a meeting held on February 16, 2010 passed and duly recorded the following resolution:

WHEREAS, the Board of Regents authorizes the operation of certain trust-custody accounts at The Bank of New York Mellon, New York, New York styled as follows:

UNIVERSITY OF HOUSTON SYSTEM: Endowment Fund

WHEREAS, the foregoing account is operated and managed pursuant to the Custody Agreement executed by and between the University of Houston System and The Bank of New York Mellon, New York, New York and will involve the periodic purchase and sale of stocks, bonds, other securities, and similar investments;

BE IT THEREFORE RESOLVED that any one (1) of the following UNIVERSITY officers:

the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
the Associate Vice Chancellor for Finance, Tom Ehardt;
the Treasurer, Raymond S. Bartlett;
the Assistant Treasurer, Roberta Puryear;
the Manager, Treasury Operations, Anne Montgomery; and

the Manager, Cash & Bank Administration, Sheryl L. Walton

is hereby authorized in connection with the foregoing endowment account and Custody Agreement, to direct The Bank of New York Mellon, New York, New York in the sale, purchase, assignment, transfer, and/or delivery of any stocks, bonds, and any other securities or types of investments now owned or which may hereafter be acquired by the University of Houston; and that any two (2) of the following officers:

the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
the Associate Vice Chancellor for Finance, Tom Ehardt;
the Treasurer, Raymond S. Bartlett;
the Assistant Treasurer, Roberta Puryear;
the Manager, Treasury Operations, Anne Montgomery; and

the Manager, Cash & Bank Administration, Sheryl L. Walton

are hereby required to confirm in writing to The Bank of New York Mellon, New York, New York the authorization to execute and deliver any and all instruments, contracts, and other documents,

which may be deemed proper or desirable for the aforementioned purposes.

The Board of Regents hereby authorizes the Secretary of the Board of Regents, Nelda Luce Blair, to certify copies of this resolution as being true expressions of the Board of Regents.

CERTIFICATE OF ADOPTION OF RESOLUTION

I, Nelda Luce Blair, hereby certify that at a meeting of the Board of Regents of the University of Houston System held on February 16, 2010 in accordance with its bylaws at which a quorum was present and voted, the foregoing resolution was adopted by the Board, this being a true and correct copy thereof as the same which appears in the minute book of said institution.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the University of Houston, this 16th day of February, 2010.

For the Board of Regents of the
University of Houston System

By:_____
Nelda Luce Blair
Secretary, Board of Regents

(University Seal)

RESOLUTION GOVERNING THE PURCHASE AND SALE OF SECURITIES AND SIMILAR INVESTMENTS FOR ALL NON-ENDOWED FUNDS OF THE UNIVERSITY OF HOUSTON SYSTEM

Effective February 16, 2010

The Board of Regents of the University of Houston System, by this resolution, provides notice that, during a meeting held on February 16, 2010, it considered, approved, and duly recorded the following with regard to transactions associated with securities and other similar investments to be purchased, sold, assigned, transferred, and/or delivered in the name of the University of Houston System and any of its component parts (hereafter referred to as UNIVERSITY):

1. RESOLVED THAT The Bank of New York Mellon; JP Morgan Chase, or any two (2) of the following officers are hereby authorized to direct and transact business in the name of the UNIVERSITY necessary to the successful purchase, sale, assignment, transfer, and/or delivery of securities and similar investments:

   the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
   the Associate Vice Chancellor for Finance, Tom Ehardt;
   the Treasurer, Raymond S. Bartlett;
   the Assistant Treasurer, Roberta Puryear;
   the Manager, Treasury Operations, Anne Montgomery; and
   the Manager, Cash & Bank Administration, Sheryl L. Walton

2. RESOLVED THAT The Bank of New York Mellon; JPMorgan Chase or any one (1) of the following UNIVERSITY officers may through a computerized communication system or by voice (person-to-person or telephonic) communication direct any transaction necessary to affect the purchase and/or sale of one or more investments:

   the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
   the Associate Vice Chancellor for Finance, Tom Ehardt;
   the Treasurer, Raymond S. Bartlett;
   the Assistant Treasurer, Roberta Puryear;
   the Manager, Treasury Operations, Anne Montgomery; and
   the Manager, Cash & Bank Administration, Sheryl L. Walton

   Such transactions shall be confirmed in writing from the Office of the University Treasurer and bear two signatures of any two (2) of the UNIVERSITY officers authorized in Section 1 to act for the UNIVERSITY.

3. RESOLVED THAT The Bank of New York Mellon; JPMorgan Chase, the Executive Vice

Chancellor for Administration and Finance, the Associate Vice Chancellor for Finance, the Treasurer, the Assistant Treasurer, the Manager, Treasury Operations, and/or the Manager, Cash & Bank Administration may through a computerized communication system or by voice (person-to-person or telephonic) communication direct the purchase of any investment authorized for UNIVERSITY by the law(s) of the State of Texas, provided that such transaction is to be confirmed in writing and bear the signatures of any two (2) of the UNIVERSITY officers authorized in Section 1 to act for the UNIVERSITY.

4. RESOLVED THAT any investment purchased on behalf of the UNIVERSITY is to be purchased in the name of UNIVERSITY OF HOUSTON SYSTEM, and that title to such investment is to pass to UNIVERSITY at the time of purchase.

5. RESOLVED THAT the authority hereby confirmed shall remain in force until written notice of revocation of such authority shall be received; and,

6. RESOLVED THAT this resolution hereby revokes any and all previous resolutions respecting the effectuation of investment related transactions for UNIVERSITY.

The Board of Regents hereby authorizes the Secretary of the Board of Regents, Nelda Luce Blair, to certify copies of this resolution as being true expressions of the Board of Regents.

CERTIFICATE OF ADOPTION OF RESOLUTION

I, Nelda Luce Blair, hereby certify that at a meeting of the Board of Regents of the University of Houston System held on February 16, 2010 in accordance with its bylaws at which a quorum was present and voted, the foregoing resolution was adopted by the Board, this being a true and correct copy thereof as the same which appears in the minute book of said institution.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the University of Houston, this 16th day of February, 2010.

For the Board of Regents of the
University of Houston System

By:_____
Nelda Luce Blair
Secretary, Board of Regents

(University Seal)

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts for insurance coverage terms and rates for Fiscal Year 2010 not to exceed $5 million in premiums

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts for insurance coverage terms and rates for Fiscal Year 2010 not exceed $5 million in premiums. The annualized FY2009 aggregate premium was $4,268,613. We project an increase to new buildings coming online.

FISCAL NOTE:

SUPPORTING DOCUMENTATION: UH System Insurance Renewal Status Report

ACTION REQUESTED: Approval

COMPONENT: University of Houston System

PRESIDENT **DATE**

EXECUTIVE VICE CHANCELLOR Carl Carlucci **DATE** Feb 1, 2010

CHANCELLOR Renu Khator **DATE** 2-2-10

University of Houston System

Insurance Renewal Status Report

Current and Projected Insurance Premiums

The University of Houston System's insurance program for Fiscal Year 2009 consisted of 20 policies with an aggregate annual cost of $4.3 million. The following is a summary of current and projected premiums by policy type and component.

Policy Type	Annualized Premium FY08	Annualized Premium FY09	Projected Annual Premium FY10
Property	$2,425,654	$2,940,724	$3,200,000
NCAA Medical Accident	$543,500	$561,460	$570,000
Directors & Officers / Employment Practices Liability	$248,022	$208,060	$209,000
Medical Malpractice	$113,125	$107,032	$108,000
Automobile	$128,798	$72,248	$73,000
All Others (15 Policies) and Fees	$383,495	$379,089	$380,000
Total	$3,842,594	$4,268,613	$4,540,000

Component	Annualized Premium FY08	Annualized Premium FY09	Projected Annual Premium FY10
UH System	$90,546	$83,335	$83,335
UH	$3,211,021	$3,523,162	$3,783,760
UH – Downtown	$220,474	$273,481	$273,481
UH – Clear Lake	$264,126	$305,642	$305,642
UH Victoria	$56,427	$82,993	$93,782
Total	$3,842,594	$4,268,613	$4,540,000

Renewal Process

The State Office of Risk Management (SORM) administers the Property, Directors and Officers/Employment Practices, and Automobile insurance programs through statewide insurance programs. Willis HRH brokers most of the others insurance policies. We should receive quotes for most of the policies in mid February with renewals March 1, 2010 and the property quotes will be received in early April with a renewal of April 30, 2010.

Marketing Projections

Most insurance policies are expected to renew with little premium change but the additional buildings that were added during the past year will increase the cost of the property insurance.

University of Houston System Insurance Expenses

Policy	FY 09 EXPENSE	FY 10 Expense (Projected)
Property - Main **(SORM)**, NFIP Flood, Boiler/Mach **(SORM)**	$ 2,940,724	$ 3,200,000
Automobile **(SORM)**	$ 72,248	$ 73,000
UH NCAA (Sports)	$ 561,460	$ 570,000
Directors & Officers / EPL **(SORM)**	$ 208,060	$ 209,000
Medical Professional/Malpractice	$ 107,032	$ 108,000
Special Events Liability	$ 57,663	$ 25,000
General Liability (Owners, Landlords & Tenants)	$ 26,582	$ 26,582
Business Travel Accident	$ 21,000	$ 21,000
Crime	$ 14,797	$ 14,797
Special Crime (K&R)	$ 10,125	$ 10,125
Lawyers Professional Liability	$ 9,735	$ 9,735
Multimedia Liability	$ 7,350	$ 7,350
Foreign Package	$ 16,269	$ 16,269
Attendant Care Liability	$ 2,812	$ 2,812
Fine Arts	$ 2,120	$ 3,000
Blanket Accident	$ 4,955	$ 7,000
Boat	$ 9,240	$ 11,424
UHV NAIA (Sports)	$ 14,906	$ 14,906
Willis Fee	$ 181,535	$ 210,000
Grand Total	$ 4,268,613	$ 4,540,000

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $15 million for the build-out of the Science and Engineering Research Center (SERC) Phase 4

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $15 million for the build out of the Science and Engineering Research Center (SERC) Phase 4. This will include designing and finishing out the 2nd and 4th floors.

FISCAL NOTE: Source of funds HEAF

SUPPORTING DOCUMENTATION: Fourth Phase SERC Laboratory Build-Out Plan

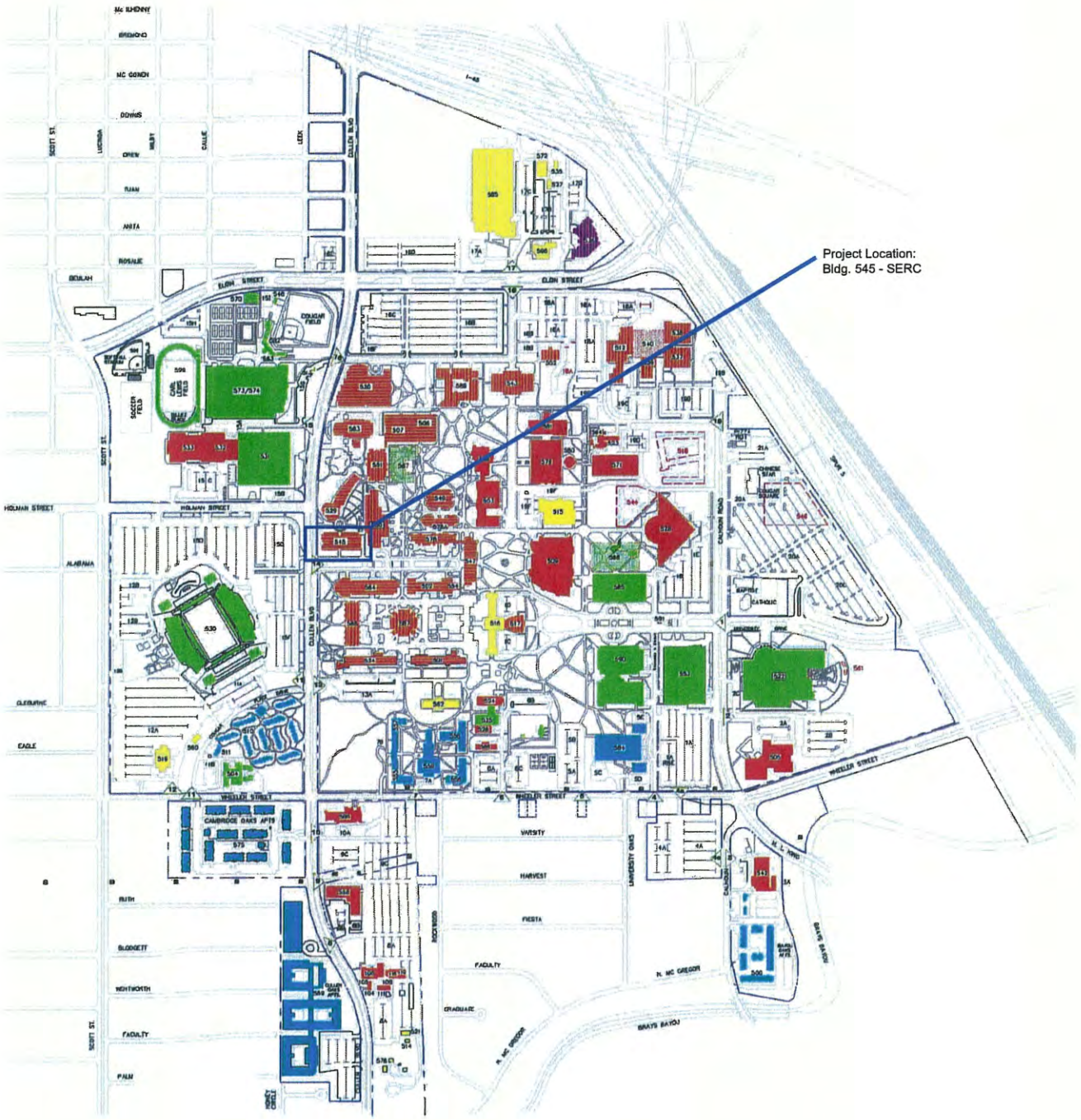
ACTION REQUESTED: Approval

COMPONENT: University of Houston

Renu Khator — 2-2-10
PRESIDENT Renu Khator **DATE**

1/22/2010
EXECUTIVE VICE CHANCELLOR Carl Carlucci **DATE**

Renu Khator — 2-2-10
CHANCELLOR Renu Khator **DATE**


Project Location:
Bldg. 545 - SERC
ELGIN STREET
HOLMAN STREET
WHEELER STREET
CAMBRIDGE OAKS APTS
VARSITY
HARVEST
FIESTA
FACULTY
GRADUATE
N. MC GREGOR
BRAYS BAYOU
CULLEN BLVD
SCOTT ST.
CALHOUN ROAD
LEEK
CALLIE
LUCINDA
MILBY
BEULAH
ROSALIE
ANITA
JUAN
CREW
DENNIS
MC GOWEN
BREMOND
ALABAMA
CLEBURNE
EAGLE
RUTH
BLODGETT
WENTWORTH
PALM
SOCCER FIELD
COUGAR FIELD
CATHOLIC
SAPTIST
CHINESE STAR
SPUR 5
I-45

F&A – 8.1.1

University of Houston
Fourth Phase SERC Laboratory Build-out
Supporting Information

PROPOSED BUDGET

Construction Cost	$9,573,000
Fixed Equipment	$2,186,000
Furniture and Moveable Equipment	$ 625,000
Professional Services Fees	$ 825,000
Project Management	$ 438,000
Testing, Balancing, Misc.	$ 210,000
Art	$ 118,000
Contingency	$ 1,025,000
TOTAL PROJECT COST	**$15,000,000**
SOURCE OF FUNDS	**HEAF, IDC**

PROPOSED SCHEDULE

February 2010	BOR Review and Approval
March 2010	Approval by THECB
April 2010	Design Begins
September 2010	Phase IV Construction Begins
September 2011	Substantial Completion
October 2011	Phase IV Construction Ends

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Finance and Administration

ITEM: University Center (UC) Fee Increase for FY 2011 and FY 2013
University Center Addition and Transformation Project

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested for an increase in the UC Fee for FY 2011 from $35/fall and spring semester to $85/fall and spring semester with the summer semester UC Fee increasing from $17.50 to $42.50. Following the approval of a capital transformational project for the UC, the FY 2013 UC Fee would increase $50 to $135 Fall and Spring semester with the summer semester UC Fee increasing from $42.50 to $67.50.

The proposed increase for FY 2011 and FY 2012 will finance (1) the design of the UC Transformation Project and (2) design and commencement of the first phase of the project, with construction to begin following Board of Regents approval of the project.

FISCAL NOTE: $4,071,331 in annual revenues collected from the UC fee increase

SUPPORTING DOCUMENTATION: Student Government Association (SGA)
UC Transformation Project Fee Implications
Executive Summary

ACTION REQUESTED: Approval

COMPONENT: University of Houston

PRESIDENT Renu Khator	Renu Khator	2/11/10 **DATE**
VICE CHANCELLOR Carl Carlucci	Elwyn C. Lee	2/11/2010 **DATE**
CHANCELLOR Renu Khator	Renu Khator	2/11/10 **DATE**

Student Government Association (SGA)
UC Transformation Project
Fee Implications

Semester / Year of UC Fee Increase	Fee Increase Increment	Total UC Fee for Semester
Fall 2009 - (FY 2010) Current UC Fee	$0	$35
Fall 2010 - (FY 2011)	$50	$85
Fall 2011 - (FY 2012)	$0	$85
Fall 2012 - (FY 2013)	$50	$135
Fall 2013 - (FY 2014)	$0	$135
Fall 2014 - (FY 2015)	$0	$135

F&A – 9.1.1

EXECUTIVE SUMMARY

The University Center is requesting a fifty dollar ($50) increase to the University Center Fee to begin the process for designing, expanding and transforming the University Center. The University of Houston (UH) is requesting a $50 increase in the current mandatory $35 per student regular semester University Center fee (and a $25 increase in the current $17.50 per student summer semester fee). If approved, the University Center Fee would be $85 per student regular semester and $42.50 per student for summer semester, effective in FY 2011.

BACKGROUND - The University Center (UC) at the University of Houston was constructed in 1967 with an underground addition added in 1973. The primary funding for the UC comes from the UC Fee which may "not exceed $35 per student for each regular semester "pursuant to Texas Education Code Section 54.526. UH reached the $35 ceiling limit in 2005; this is one of the lowest student union fees in the state (cf. Texas Tech at $98, and Texas A&M at $100). In 2008 a planning effort that utilized a national architectural and planning group was initiated to assess the facility as well as identify future needs in light of UH's enrollment trajectory and goal of becoming more residential. Significant deferred maintenance, mechanical and space deficiencies were found. Addressing these needs through renovation, transformation or new construction would cost funds beyond the amount achievable with the cap.

On November 18 and 19, 2008, University of Houston students passed a referendum with an impressive 77 percent of those 4161 voting expressing support for a fee increase that might fund a multi-million dollar transformation of the University Center with over 70% supporting Concept C that called for a $25 increase in the UC fee each year beginning with Fall 2010. (See Attachment):

3,204 YES Votes (77%)
957 NO Votes (23%)
4,161 Total Votes (100%)

The number of students voting represents 11.6 % of the 36.104 fall 2008 enrollment. This compares favorably to the percentage of votes cast in other student union referendums:

Texas Tech	1999	10.4%	63% for
UT San Antonio	2003	11.4%	59% for
UT Austin	2006	16.0 %	68% for
Texas AM	2007	14.5%	68% for
Univ. Houston	2008	11.6%	77% for
LSU	2003	9.8%	60% for

The planning and referendum was led by the UC 2010 Initiative, a student led committee to improve the University Center and supported by the Student Government Association that passed a favorable resolution. The vote was a strong expression of student support and willingness to pay for first-class facilities. Securing fee funds to improve the UC depends on increasing the current UC $35 per semester fee.

During the summer of 2009 the Texas Legislature amended Texas Education Code, Section § 54.526, STUDENT FEES FOR UNIVERSITY CENTERS; THE UNIVERSITY OF

HOUSTON, that now provides that "The board of regents of the University of Houston System may levy a student union fee, not to exceed $150 per student for each regular semester and not to exceed $75 per student for each term of the summer session." The statute now provides that any increase in the fee would be null and void after the 5th year unless the University has issued bonds payable in whole or part from the fee. (See Attachment)

Increasing the fee does not endorse or approve any specific renovation or construction project; those must be reviewed and approved through the normal university process. Any *actual* increase to the fee billed to UH students would require approval by "a majority vote of the students voting in an election called for that purpose or by a majority vote of the student government", along with University, and UH System Board approval as required by Section 54.526.

On January 27, 2010, the UH Student Government approved by majority vote its recommendation that the University of Houston "approve the fee increase of $50 to the University Center Fee, beginning in fall of 2010". (See Attachment)

University Center Fee Increase for FY2011 and
University Center Addition and Transformation Project

This fee increase would primarily finance the first phase of the University Center Transformation Project, which includes the following:

- Improving accessibility and ADA compliance for the University Center Satellite, including installation of a passenger/user elevator.
- Student-centric architectural design for the University Center Transformation Project.
- Designing and initiating a *proposed* 72,094 square foot expansion on the east side of the existing University Center to accommodate a new bookstore space as well as mixed-use/ballroom space and a small theater.
- A cash reserve to facilitate transformation of the existing University Center facilities and required maintenance to the University Center HVAC system.

This initial increase is in keeping with the needs and desires of the UH student community, as expressed through multiple fee tolerance surveys as well as the 2008 UC Fee Referendum, which garnered support in excess of 75% of votes cast, and the endorsement of the UH Student Government Association.

Student Affairs and the staff of UH Facilities and Planning are working with the Student Government Association to review plans for both the expansion (phase #1) and comprehensive transformation (phase #2) plans, this requested increase will allow implementation of phase #1 if/when it is approved by the SGA, the UH Administration, and the UH System Board of Regents.

The current UC master plan would require an additional fee increase, beginning in FY13, to bring the UC Fee to $135.00 per student regular semester and $67.50 per student for summer semester. This additional fee increase would finance a comprehensive transformation of the University Center, phase 2 of the University Center Transformation project.

University Center Addition and Transformation Project Schedule

February 16, 2010	Consideration and Approval from UH Board of Regents for FY2011University Center Fee Increase
February 19, 2010	Review Addition and Transformation Plan with FP&C Liaison Revised Plan, Cost Model, Process
February 19, 2010	Review Addition and Transformation Plan with A&F/Barnes and Noble to determine Financial Investment potential for the finish out of the new Bookstore location
February 22, 2010	Review Addition and Transformation Plan with Treasurer's Office to confirm debt capacity for both phases of the UC Transformation Project
April 9, 2010	Consideration and Approval from the UH Facilities Committee
May 11/12, 2010	Consideration and Approval from UH Board of Regents Committee(s) for University Center Transformation Project Phase 1
May 18, 2010	Consideration and Approval from UH Board of Regents for University Center Transformation Project Phase 1
June 4, 2010	Advertise for Professional Design Team – ***Phase 1 and 2*****
August 6, 2010	Select Professional Architecture and Design Team**
TBD	Consideration and Approval from the Texas Higher Education Coordinating Board (THECB)
Fall 2010	Program Confirmation and Concept Design for Phase 1**
October 22, 2010	Advertise for Construction Team for Expansion **
January 14, 2011	Select Construction Team for Expansion**
Spring 2011	Design Documents for Construction of Expansion**
April 2011	Consideration and Approval from the UH Facilities Committee
May 2011	Consideration and Approval from UH Board of Regents Committee(s) for University Center Transformation Project Phase 2
May 2011	Consideration and Approval from UH Board of Regents for University Center Transformation Project Phase 2
June 2011-March 2012	Construction of Expansion – 9 months**
March 2012	Substantial Completion – Phase 1**
March 2012-June 2012	Barnes & Noble Finish Out Bookstore Interior
July or Fall 2012	**Grand Opening – UC Transformation Project Addition**
Fall 2011-Spring 2012	Program Confirmation and Concept Design for Phase 2**
November 2011	Review Phase 2 Transformation Plan with FP&C Liaison
November 2011	Review Phase 2 Transformation Plan with Treasurer's Office
January/February, 2012	Consideration and Approval from the Student Government Association for an increase in the UC Fee to be $135/Fall and Spring Semesters and $67.50 for Summer Semesters as of FY2013
February 2012	Consideration and Approval from UH Board of Regents

	Committee(s) for FY2013 University Center Fee Increase
February 2012	Consideration and Approval from UH Board of Regents for FY2013University Center Fee Increase
April 2012	Consideration and Approval from the UH Facilities Committee
May 2012	Consideration and Approval from UH Board of Regents Committee(s) for University Center Transformation Project Phase 2
May 2012	Consideration and Approval from UH Board of Regents for University Center Transformation Project Phase 2
TBD	Consideration and Approval from the Texas Higher Education Coordinating Board (THECB)
August 2012	Advertise for Construction Team for Transformation Project – Phase 2**
November 2012	Select Construction Team for Transformation Project-Phase 2**
Spring 2013	Design Documents for Transformation Project-Phase 2**
April 2013	Consideration and Approval from the UH Facilities Committee
May 2013	Consideration and Approval from UH Board of Regents Committee(s) for University Center Transformation Project Phase 2
May 2013	Consideration and Approval from UH Board of Regents for University Center Transformation Project Phase 2
May 2013-May 2014	Construction of Transformation Phase 2A—12 Months**
May 2014-May 2015	Construction of Transformation Phase 2B—12 Months**
May 2015	Substantial Completion**
July or Fall 2015	**Grand Opening – The *new* University Center Complex**

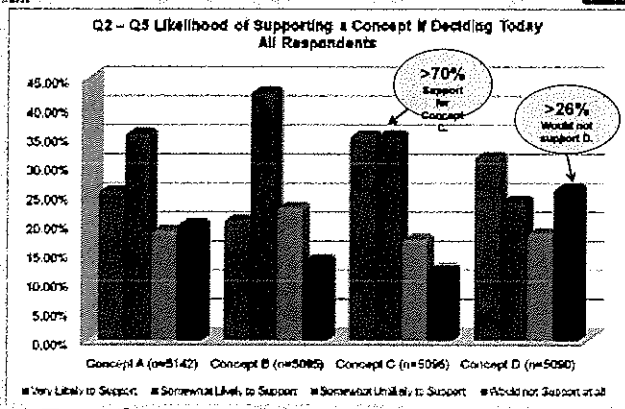
UC 2010 Initiative

Concept Survey Results

- Overall student response was more positive than most might have anticipated given the Economy, hurricane Ike, etc.
- **Concepts A & B** identified "support" at above 60% each; however many of the comments identified a major concern as these (particularly Concept A) were "throwing good **$$$** after bad".
- **Concept D** (the new University Center) had "support" at 55%, but also came in with the highest negative percentage (26%). **Concept D** also received the largest number of negative concerns ranging from the loss of parking for students, convenient parking during nights and weekends close to the UC for events and programs, and the sense that the ending fee was just too high.
- Over 70% of UH students indicated very likely or somewhat likely support for **Concept C** (*The UC Transformation Project*). Breadth of support across most demographic student groups, as comments seem to suggest this Concept was a good compromise of the options. **Concept C** also had the lowest percentage (12.24%) of students in the category that said "I would not support this concept".

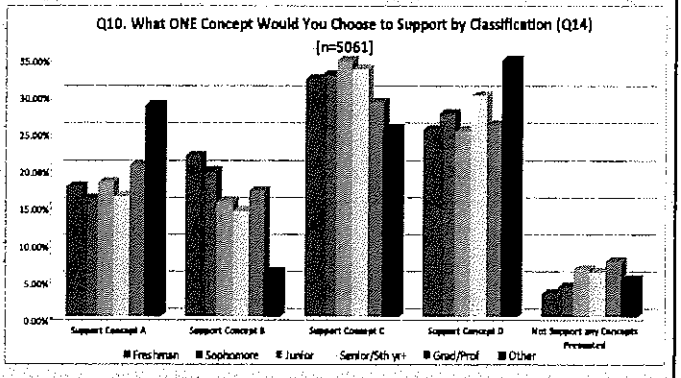
The Transformation of the University Center Complex

UC 2010 Initiative



The Transformation of the University Center Complex

UC 2010 Initiative



The Transformation of the University Center Complex

CONCEPT A

The key components to an improved University Center in **Concept A** would include only the following:

Updated climate control, electrical, plumbing, life safety systems and accessibility ONLY to improve comfort and to comply with existing codes.

No interior or exterior space or appearance modifications.

Incorporate sustainable design principles wherever possible.

The total estimated cost to make the improvements described above is approximately **$40 million dollars**, adjusted for anticipated inflation likely to occur before and during the estimated 3-4 year design & construction timeframe.

CONCEPT A – FEE IMPLICATIONS

Semester / Year of UC Fee Increase	Fee Increase Increment	Total UC Fee for Semester
Fall 2009 - Current UC Fee	$0	$35
Fall 2010	$13	$48
Fall 2011	$13	$61
Fall 2012	$13	$74
Fall 2013	$13	$87
CONCEPT A - Total UC Fee Per Semester at opening		***$87***

CONCEPT B

The key components to an improved University Center in **Concept B** could include the following:

Updated interior areas with new paint, flooring & furnishings. No relocation or reconfiguration of spaces or areas.

Updated climate control, electrical, plumbing, life safety systems & accessibility ONLY to improve comfort and to comply with existing codes.

Incorporate sustainable design principles wherever possible.

The total estimated cost to make the enhancements described above is approximately **$80 million dollars**, adjusted for anticipated inflation likely to occur before and during the estimated 4-5 year design & construction timeframe.

CONCEPT B – FEE IMPLICATIONS

Semester / Year of UC Fee Increase	Fee Increase Increment	Total UC Fee for Semester
Fall 2009 - Current UC Fee	$0	$35
Fall 2010	$19	$54
Fall 2011	$19	$73
Fall 2012	$19	$92
Fall 2013	$19	$111
Fall 2014	$19	$130
CONCEPT B - Total UC Fee Per Semester at opening		**$130**

CONCEPT C

The key components to an improved University Center in **Concept C** could include the following:

Enclosed Arbor improving circulation and efficiently increasing interior activity space.

Significant relocation & reconfiguration of services & spaces to improve visibility, access & convenience.

Expanded food service options and modernized service features.

Enhanced and expanded lounge space located throughout the building.

Larger, more visible & functional student organization space.

All building systems updated & accessibility enhanced.

New outdoor gathering space for socializing as well as events, programs and activities

More efficient and significant application of sustainable design principles.

The total estimated cost for a major renovation as described above is approximately **$100 million dollars**, adjusted for anticipated inflation likely to occur before and during the estimated 4-5 year design & construction timeframe.

CONCEPT C – FEE IMPLICATIONS

Semester / Year of UC Fee Increase	Fee Increase Increment	Total UC Fee for Semester
Fall 2009 - Current UC Fee	$0	$35
Fall 2010	$25	$60
Fall 2011	$25	$85
Fall 2012	$25	$110
Fall 2013	$25	$135
Fall 2014	$25	$160
CONCEPT C - Total UC Fee Per Semester at opening		**$160**

CONCEPT D

Concept D would be a totally redesigned, new University Center building that could be built on the Parking Lot east of the existing UC. Once the new UC opens, the existing building would be used for other purposes including student-related services and departments.

The key components of a totally new University Center could include:

Contemporary food, lounge, student organization & retail areas organized in more functional configurations.

New programming / activity spaces developed specifically for 21st century campus life.

Much more efficient space usage & the opportunity to develop a sustainably designed UC with lower long-term environmental impact.

More transparent, visible connections between indoor and outdoor spaces.

Outdoor gathering spaces designed for events and programs.

The total estimated cost to build a totally new UC as described above is approximately **$135 million dollars**, adjusted for anticipated inflation likely to occur before and during the estimated 4-5 year design & construction timeframe.

CONCEPT D – FEE IMPLICATIONS

Semester / Year of UC Fee Increase	Fee Increase Increment	Total UC Fee for Semester
Fall 2009 – Current UC Fee	$0	$35
Fall 2010	$34	$69
Fall 2011	$34	$103
Fall 2012	$34	$137
Fall 2013	$34	$171
Fall 2014	$34	$205
CONCEPT D - Total UC Fee Per Semester at opening		**$205**

Artist's rendition

H.B. No. 2961

AN ACT

relating to authorizing an increase in the student union fee at the University of Houston.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Section 54.526, Education Code, is amended by amending Subsection (a) and adding Subsection (c) to read as follows:

(a) The board of regents of the University of Houston System may levy a student union fee, not to exceed $150 [$35] per student for each regular semester and not to exceed $75 [$17.50] per student for each term of the summer session. The sole purpose of the fee is financing, constructing, operating, maintaining, and improving a Student Union Building for the University of Houston. The fees herein authorized to be levied are in addition to any use or service fee now or hereafter authorized to be levied.

(b) Such fees shall be deposited to an account known as "The University of Houston Center Fee Account" and shall be placed under the control of and subject to the order of the student fees advisory committee established under Section 54.5062. The committee shall annually submit to the president of the University of Houston a complete and itemized budget to be accompanied by a full and complete report of all activities conducted during the past year and all expenditures made incident thereto. The board of regents shall make such changes in the budget as it deems necessary before approving the budget. The board shall then levy the fees, within the limits herein fixed, in such amounts as will be sufficient to meet the budgetary needs of the University Center Building. An increase in the fee from one academic year to the next must be approved by a

majority vote of the students voting in an election called for that purpose or by a majority vote of the student government. Expenditures from "The University of Houston Center Fee Account" shall be made solely for the purposes set forth in this section, and in compliance with the budget approved by the board of regents.

(c) The fee may not be charged after the fifth academic year in which the fee is first charged unless, before the end of that academic year, the university has issued bonds payable in whole or in part from the fee, in which event the fee may not be charged after the academic year in which all such bonds, including refunding bonds for those bonds, have been fully paid.

SECTION 2. The change in law made by this Act applies beginning with student fees charged by a public institution of higher education for the 2009-2010 academic year. Student fees charged by a public institution of higher education for an academic year before that academic year are covered by the law in effect before the effective date of this Act, and the former law is continued in effect for that purpose.

SECTION 3. This Act takes effect immediately if it receives a vote of two-thirds of all the members elected to each house, as provided by Section 39, Article III, Texas Constitution. If this Act does not receive the vote necessary for immediate effect, this Act takes effect September 1, 2009.

David Dewhurst
President of the Senate

Joe Straus
Speaker of the House

APPROVED: 6/19/2009
Date

Rick Perry
Governor

=

U N I V E R S I T Y *of* H O U S T O N

Student Government Association
www.uh.edu/sga

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713/743-5220
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Label: UB46003
Date Introduced: January 27, 2010
Authors: The New UC Leadership Team
Sponsor(s):
Committee:
Draft: First

Authorizing a Specialized Increase in the University Center Fee for FY11

WHEREAS: The University Center, the heart of student activity since its completion in 1967, offers a wide variety of products, services, programs, and opportunities for students, faculty, staff, and alumni while furthering the educational mission of the University of Houston; and

WHEREAS: As an auxiliary operation of the University of Houston, the University Center receives no funds from state appropriations and is financially responsible for all costs associated with general upkeep, maintenance, renovations, improvements, utilities for facilities, as well as an administrative charge on all expenses from the University Center budgets; and

WHEREAS: The University Center is in dire need of repairs and replacement for its mechanical, electrical, and plumbing systems in addition to updated and expanded facilities to provide a greater standard of excellence for a new generation of students; and

WHEREAS: The current University Center Fee is $35 per student for each long semester (Fall and Spring) and $17.50 per student per each term of summer sessions; and

WHEREAS: The University Center Fee at the University of Houston is the lowest in a comparative analysis of large and public peer institutions in the State of Texas, the Urban 13 Plus and in Region 12 of the Association of College Unions International (ACUI); and

WHEREAS: Students overwhelmingly supported a phased-in fee increase by over 77% in order to support a *transformation* of the current University Center into a new-millennium facility that could include the following pending administrative approval:

- Safe and secure 24-hour access to lounge spaces
- A synergistic Student Organization Center located on the second floor of the University Center
- Enhanced dining options to allow for greater freedom of selection while reducing traffic bottlenecks and expediting checkout

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- An expanded Leisure Services area with additional recreational gaming opportunities
- Additional expanded study areas and relaxing lounges designed to provide students with a sense of place
- A centralized one-stop-shop retail corridor
- Sustainable design principles in compliance with and support of University of Houston Green Initiatives
- A visible representation of school spirit; and

WHEREAS: Present state law requires that each increase of the University Center fee requires approval from either a majority vote of the Student Government Association or the student body; and

WHEREAS: Each phase of bonding for the University Center Transformation Project must be fully supported by student fees in order to occur; and

WHEREAS: A two-phase fee structure of $50 in Fiscal Year 2011 and $50 in Fiscal Year $2013 would greatly accelerate the University Center Transformation Project compared to a $25 per Fiscal Year increase from FY11 to FY14; and

WHEREAS: Due to the depressed nature of the market, raising the UC Fee by $50 to $85 per fall and spring semester and $42.50 per summer semester in Fiscal Year 2011 would allow the University Center Transformation Project to provide more than the initial scope of the project and address many student concerns and desires that were not feasible under the original cost models; and

WHEREAS: The immediate, larger fee increase would allow for an expanded bookstore as well as an additional ballroom and a small theater, which would greatly contribute to the quality of student life on campus; and

WHEREAS: The first-phase bookstore expansion to the University Center would reduce construction and phasing costs for the second phase of the University Center Transformation Project, and

WHEREAS: The University Center would pursue outside funding from Barnes and Noble and/or the Division of Administration and Finance in order to complete the expanded bookstore in order to ensure that student fee dollars are used with discretion; and

WHEREAS: Two phases of fee increase of $50 per increase would allow the University Center to bond the University Center Transformation Project completely with only a $100 fee increase instead of $115, bringing the final UC Fee effective FY13 to only $135 instead of $150, and

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WHEREAS: The Texas Legislature approved with no dissent House Bill 2961, amending § 54.526 of the State of Texas V.T.C.A. Education Code to authorize an increase in the University Center Fee Ceiling to $150.00, and the same bill was signed into law by Governor Perry on June 19th, 2009; and

WHEREAS: The Senate of the 45th Student Government Association has already pledged its support of the UC Transformation Project and any subsequent fee increases to support the project, in UB45007, enacted September 24th, 2008 as well as UB46002, enacted September 30th, 2009

Therefore, be it enacted by the Senate of the 46th Student Government Association of the University of Houston:

Hereby Assembled **approve** the fee increase of $50 to the University Center Fee, . beginning in fall of 2010. The University Center Fee would not exceed $85.00 per spring and fall semester, and $42.50 per summer semester.

LET IT FURTHER BE ENACTED the Senate of the University of Houston Student Government Association Hereby Assembled repeals all previous fee recommendations regarding increases in the University Center Fee to accommodate the University Center Transformation Project.

LET IT FURTHER BE ENACTED the Senate of the University of Houston Student Government Association Hereby Assembled support a 2-phase construction plan for the University Center, and its subsequent 2-phase fee increase.

LET IF FURTHER BE ENACTED the Senate of the University of Houston Student Government Association strongly request that the University Center Transformation Project pursue additional funding for an expanded bookstore from Barnes and Noble and the University of Houston Division of Administration and Finance.

LET IT FINALLY BE ENACTED the Senate of the University of Houston Student Government Association Hereby Assembled strongly recommend that future Senates of the Student Government Association support the second phase of fee increases in order to finance the second phase of transformation for the University Center.

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Passed Unanimously by the Forty-Sixth Senate of the Student Government Association of the University of Houston on January 27th, 2010

Kyrie Ruiz, Speaker of the Senate — Date: 1-29-10

Kenneth Fomunung, SGA President — Date: 01/29/10

Renu Khator, President/Chancellor — Date: